

Counting Binary Trees
@ GCELT Winter Workshop

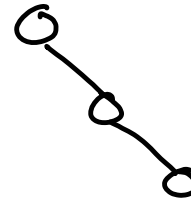
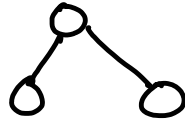
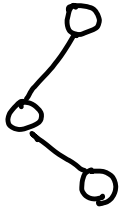
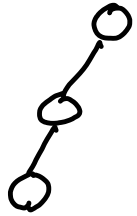
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$B_n \triangleq \#$ Binary trees (rooted) with n nodes

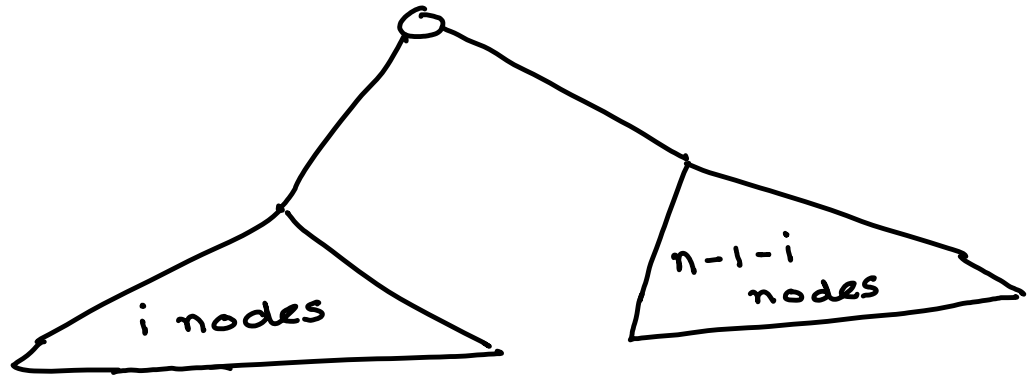
Ex. Binary trees with 3 nodes :-



$$\therefore B_3 = 5$$

Recurrence relation for B_n

$$B_n = B_0 B_{n-1} + B_1 B_{n-2} + \dots + B_{n-1} B_0$$
$$= \sum_{i=0}^{n-1} B_i B_{n-1-i}$$



$B_i B_{n-1-i}$ such trees

Solving the Recurrence

Generating function for B_n

$$\begin{aligned} C(x) &= B_0 + B_1 x + B_2 x^2 + \dots \\ &= \sum_{i=0}^{\infty} B_i x^i \end{aligned}$$

$$\begin{aligned} C(x) \cdot C(x) &= (B_0 + B_1 x + B_2 x^2 + \dots)(B_0 + B_1 x + B_2 x^2 + \dots) \\ &= B_0^2 + (B_0 B_1 + B_1 B_0)x + (B_0 B_2 + B_1 B_1 + B_2 B_0)x^2 + \dots \\ &= B_1 + B_2 x + B_3 x^2 + \dots \end{aligned}$$

$$\therefore C(x) = 1 + x(C(x))^2$$

$$x (C(x))^2 - C(x) + 1 = 0$$

$$\Rightarrow C(x) = \frac{1 \pm \sqrt{1-4x}}{2x}$$

Take $C(x) = \frac{1 - \sqrt{1-4x}}{2x}$

$$= \sum_{n=0}^{\infty} \binom{2n}{n} \frac{x^n}{n+1}$$

Use Taylor series
(a little hairy)
OR
look at wikipedia
entry for
Catalan Number

$$\therefore B_n = \frac{1}{n+1} \binom{2n}{n}$$

$P_n \triangleq$ # Balanced parenthesized expressions with n pairs of parentheses.

Characterization of Balanced parentheses :-

i) # left parentheses = # right parentheses

And ii) In any prefix,

left parentheses \geq # right parentheses

Ex. Balanced expressions with 3 pairs of parentheses

$((()))$

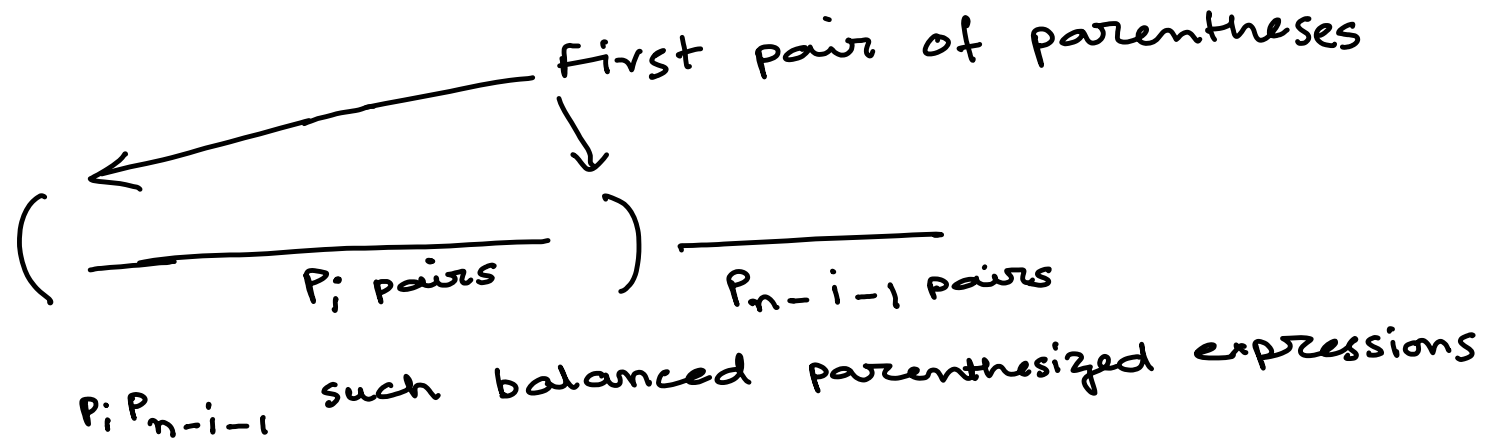
$(() ())$

$(()) ()$

$() (())$

$() () ()$

$$P_n = B_n$$



$$\begin{aligned}
 P_n &= P_0 P_{n-1} + P_1 P_{n-2} + \dots + P_{n-1} P_0 \\
 &= \sum_{i=0}^{n-1} P_i P_{n-i-1}
 \end{aligned}$$

$\therefore P_0 = B_0, P_1 = B_1$ & the recurrence for P_n & B_n are the same, $P_n = B_n$ \square

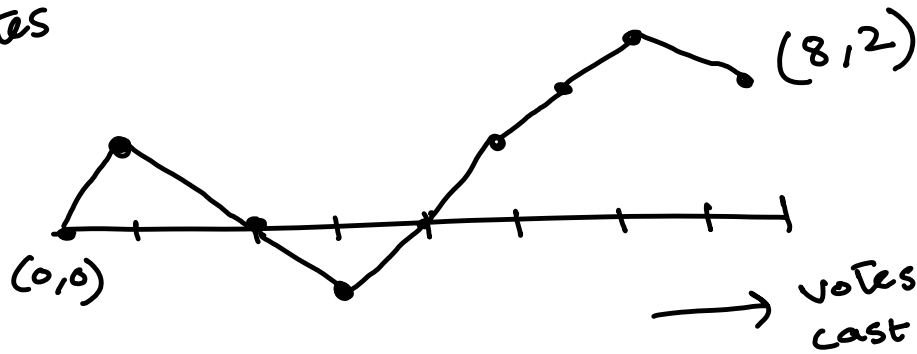
Ballot problem

Suppose in an election, Candidate P gets p votes and candidate Q gets q votes, How many voting patterns are possible, such that Q is never ahead of P.

Represent Voting pattern as a path

Ex. P gets 5 votes, Q gets 3 votes

↑ # votes for P
- # votes for Q

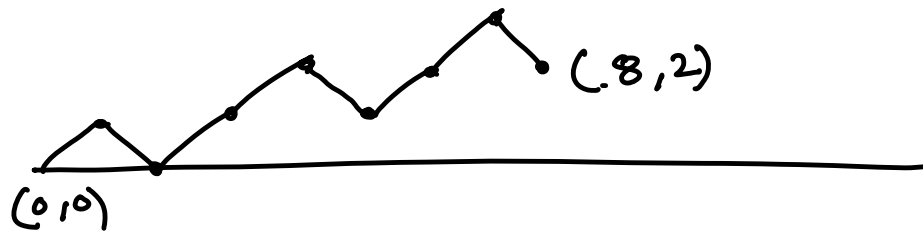


- 1st vote P
- 2nd vote Q
- 3rd vote Q
- 4th vote P
- 5th vote P
- 6th vote P
- 7th vote P
- 8th vote Q

$$\text{Total \# paths} = \binom{8}{3} = \binom{8}{5}$$

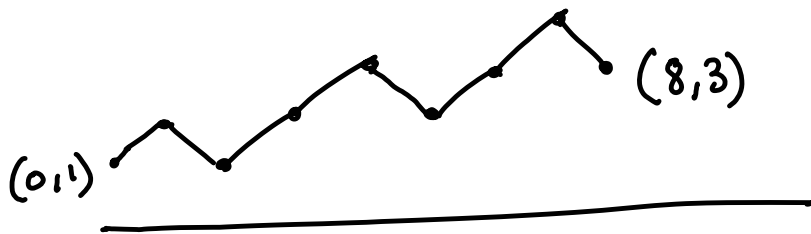
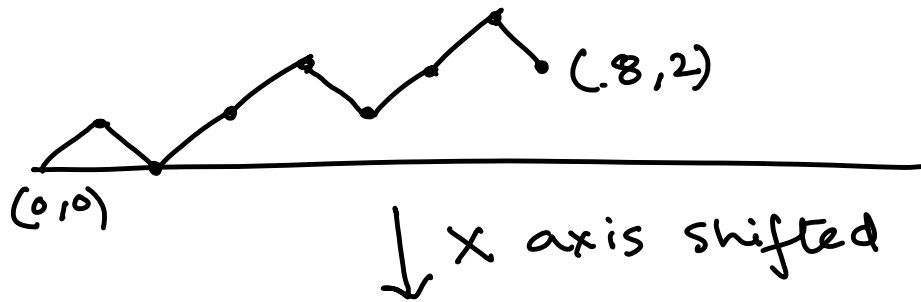
Since 8 votes cast
and 3 votes are for Q

Paths of Interest : when Q is never ahead of P .



All paths from $(0,0)$
to $(8,2)$ such that
the path never
goes below the
x axis

Shift the X axis 1 below



All paths from $(0,0)$
to $(8,2)$ such that
the path never
goes below the
x axis

↓ x axis shifted

All paths from $(0,1)$
to $(8,3)$ such that
the path never
touches the
x axis

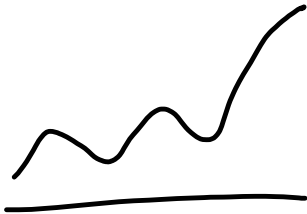
Strategy: Complementation

$$\# \text{ Good paths} = \text{Total \# paths} - \# \text{ Bad paths}$$

$$\downarrow$$
$$\binom{p+q}{p}$$

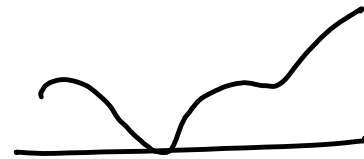
Good path

Ex

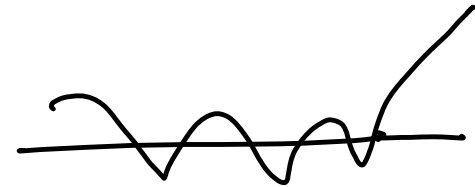


Bad path

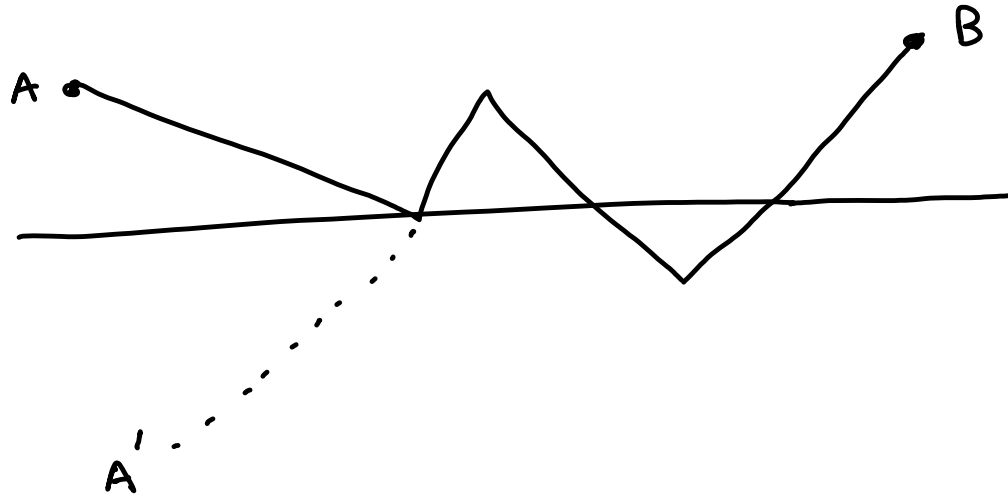
Ex.



Ex

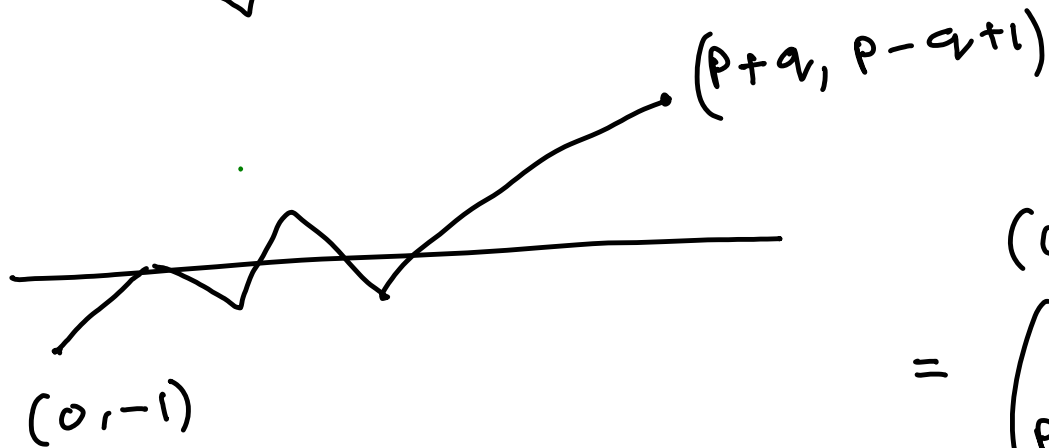
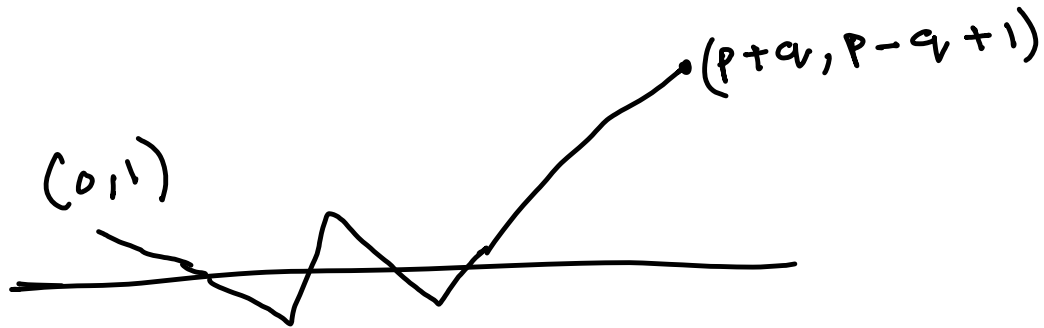


Reflection Principle



Number of paths from A to B that touches or crosses the x axis is same as the number of paths from A' to B.

Count bad paths using Reflection principle



All paths from $(0,-1)$ to $(p+q, p-q+1)$

$$= \binom{p+q}{\frac{p+q - (p-q+2)}{2}}$$

$$= \binom{p+q}{q-1}$$

$$\therefore \# \text{ Good Paths} = \binom{p+q}{p} - \binom{p+q}{q-1}$$

when $p=q$, $B_n = \# \text{ Good paths}$

$$= \binom{2n}{n} - \binom{2n}{n-1} = \frac{1}{n+1} \binom{2n}{n}$$

□

Thank You for your attention!