



Popular Matchings

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[Joint work with Chien-Chung Huang]

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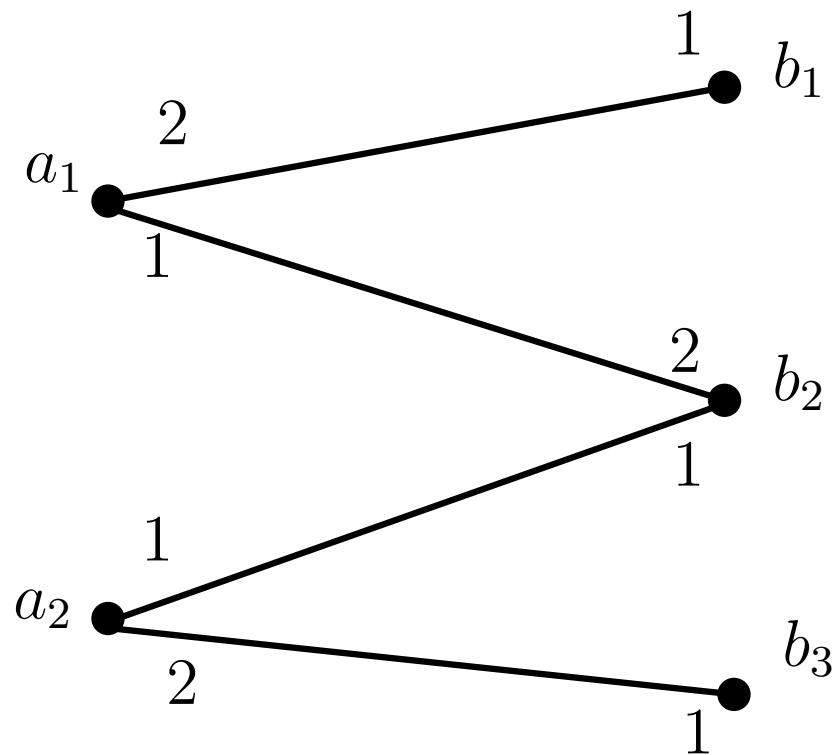


The input graph

- Input: a bipartite graph $G = (\mathcal{A} \cup \mathcal{B}, E)$.

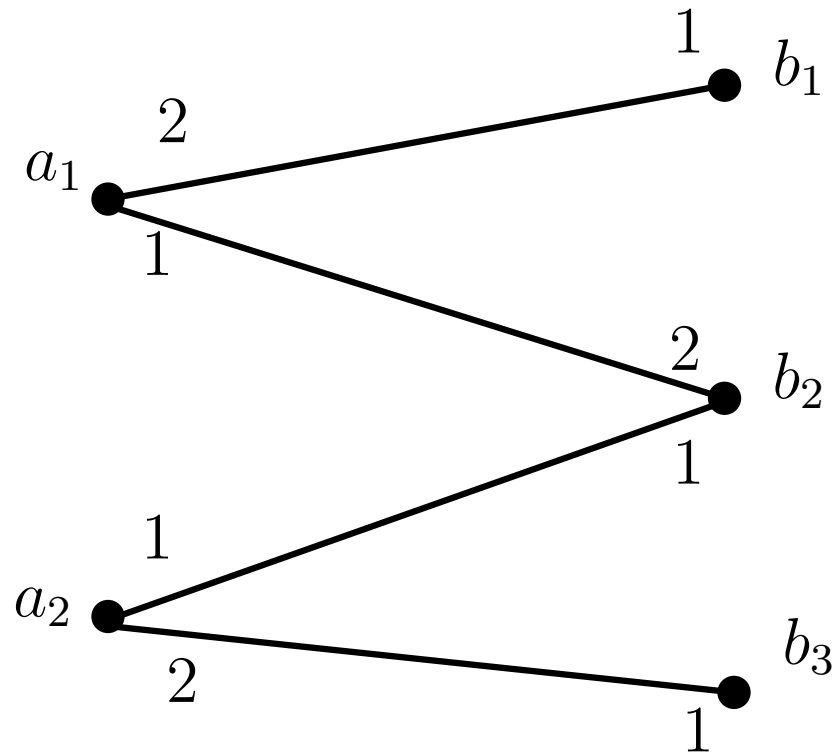
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- \mathcal{A} : set of students; \mathcal{B} : set of advisers.



The input

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The input

- Each $u \in \mathcal{A} \cup \mathcal{B}$ ranks its neighbors in a strict order of preference.
- Problem: compute a “good” matching in G .
 - every vertex is *selfish*
 - u wants to be matched to its best ranked neighbor who is willing to be matched to u .



Optimal matchings

- Let M be the matching obtained.



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Optimal matchings

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- The following property should hold for every u :
 - there is no neighbor ranked better than $M(u)$ who is willing to be matched to u .
- Such a matching M is *stable*.



Stable Matchings

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- edge (u, v) blocks M if u and v prefer each other to their respective assignments in M .



Stable Matchings

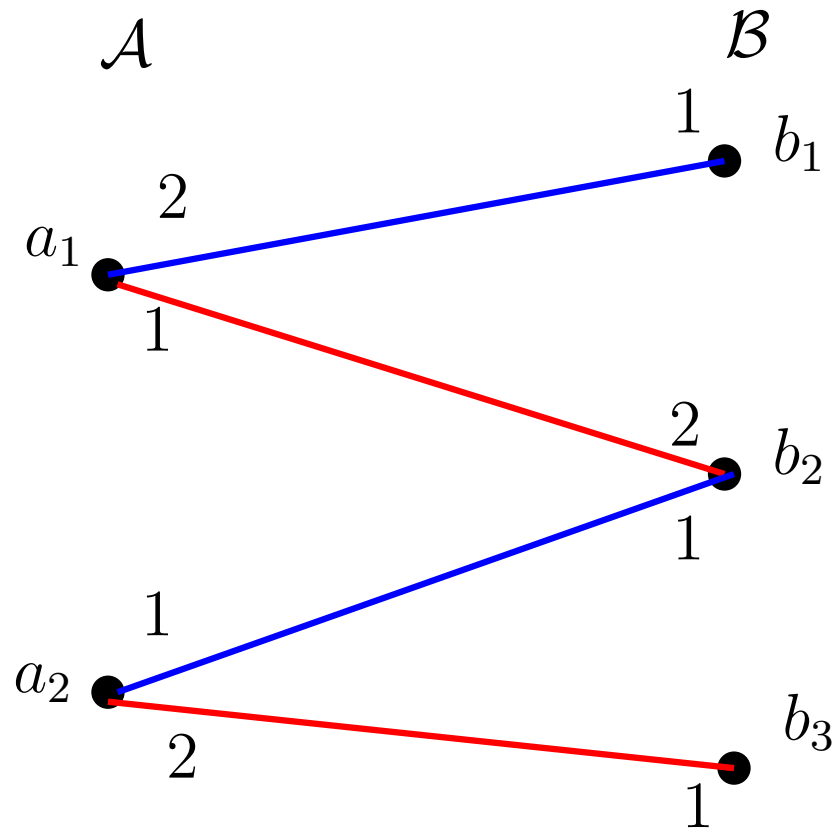
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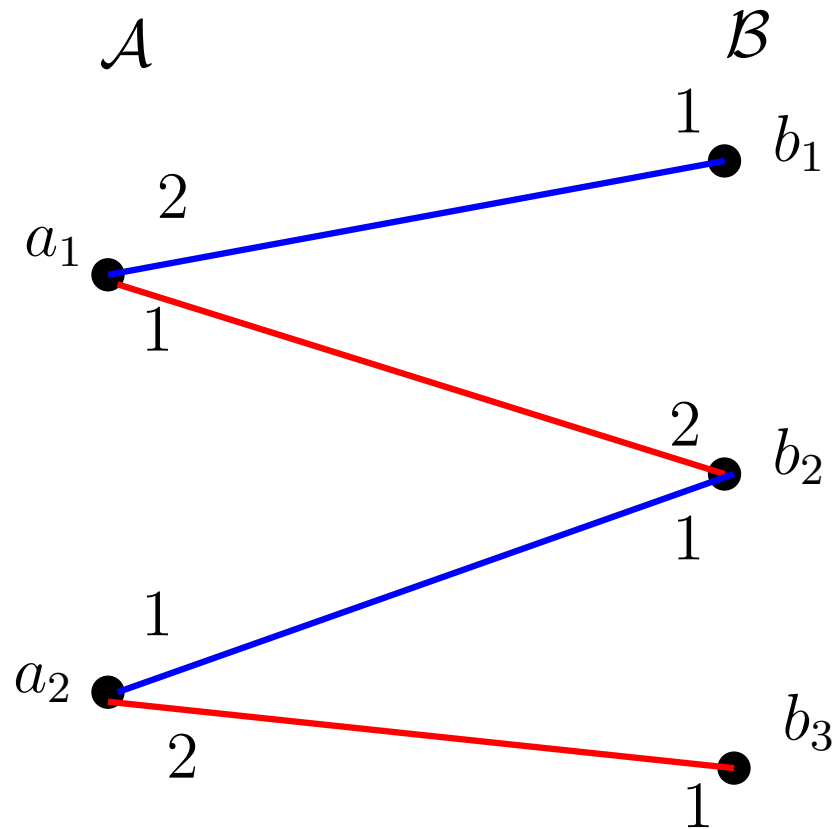
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Stable matchings



Stable matchings



- The blue matching is stable while the red is not.



Stable matchings

- Do stable matchings always exist?



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 - Yes; also such a matching can be computed in linear time [Gale-Shapley, 62].



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 - Yes; also such a matching can be computed in linear time [Gale-Shapley, 62].
- *Gale-Shapley algorithm*: Men (vertices of A) propose and Women (those in B) dispose.

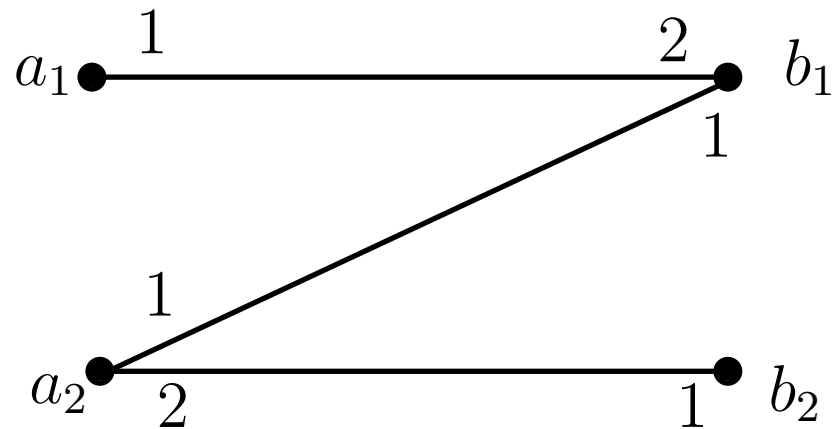


Gale-Shapley algorithm for stable matchings

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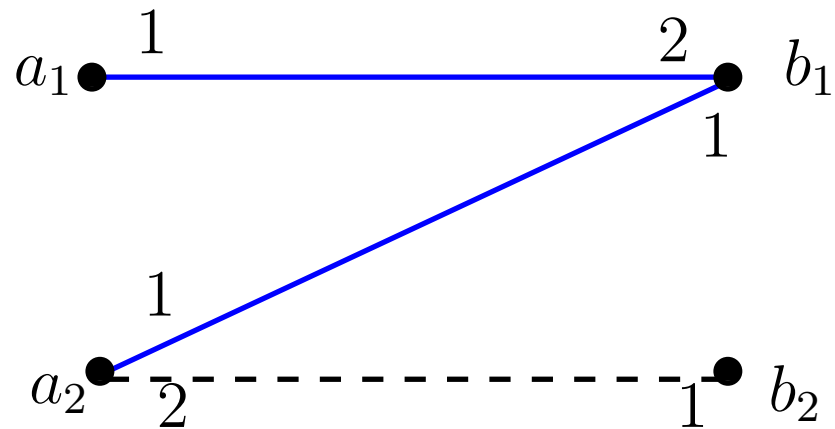
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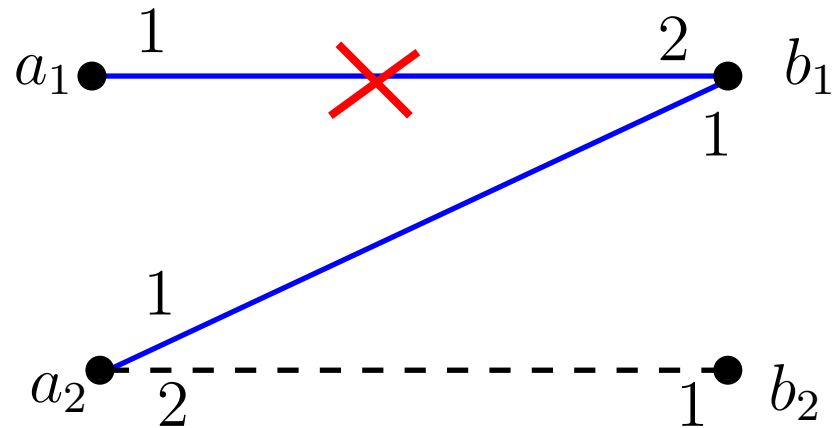
- Men (*vertices of \mathcal{A}*) propose and Women (*those in \mathcal{B}*) dispose.



- a_1 proposes to his top neighbor b_1 ; so does a_2 .

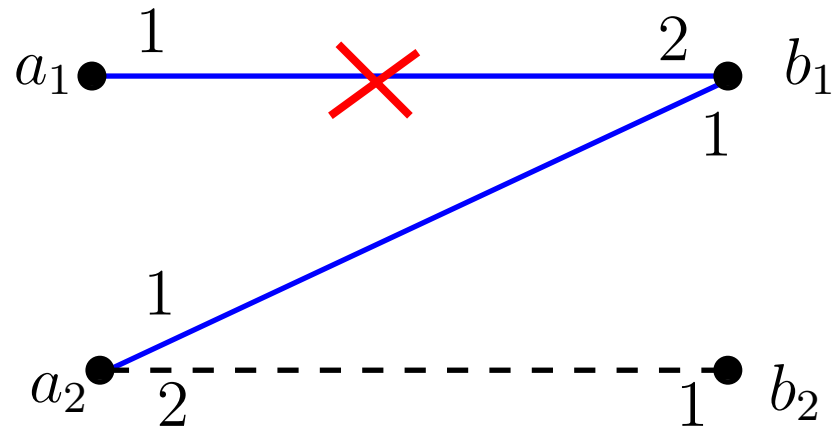
Gale-Shapley algorithm for stable matchings

- b_1 rejects a_1 and accepts a_2 .



Gale-Shapley algorithm for stable matchings

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- The algorithm terminates when every man is either rejected by all his nbrs or gets matched to some nbr.



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- Ideally, M_{max} is the optimal matching.



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Price of stability

- Ideally, M_{max} is the optimal matching.
- Size of a stable matching:
 - all stable matchings in G have the same size.
 - |stable matching| could be as low as $|M_{max}|/2$.



Popular matchings

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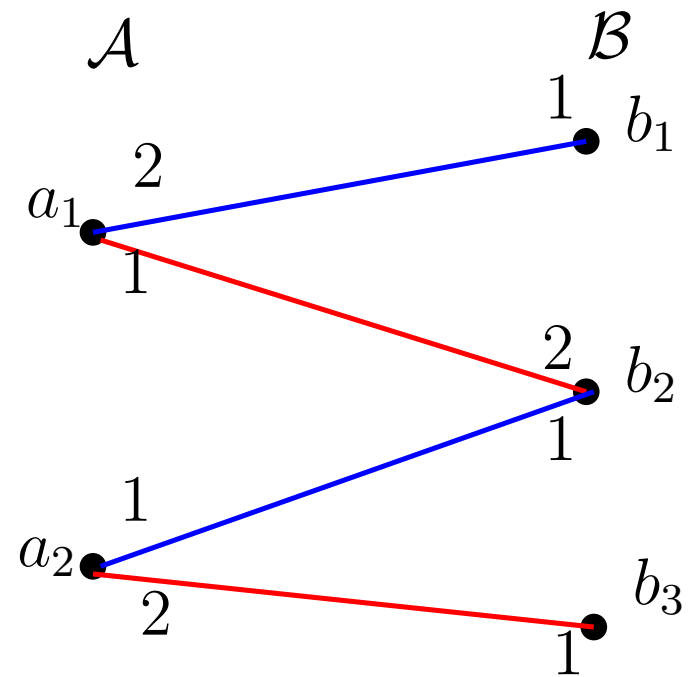


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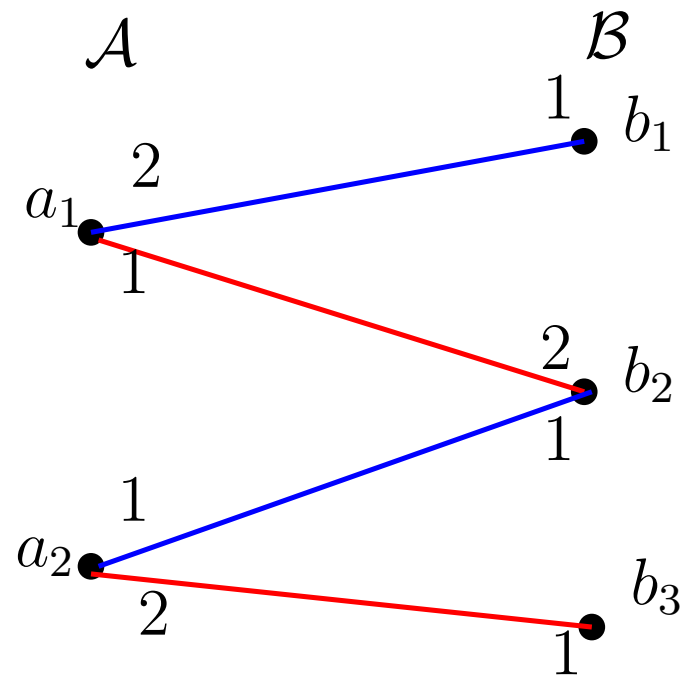
- A new notion of optimality that is a compromise between M_{max} and a stable matching?
- A notion based on *popularity*:

Matching M_1 is *more popular* than matching M_2 if
 $|\{\text{vertices that prefer } M_1\}| > |\{\text{vertices that prefer } M_2\}|.$

An example

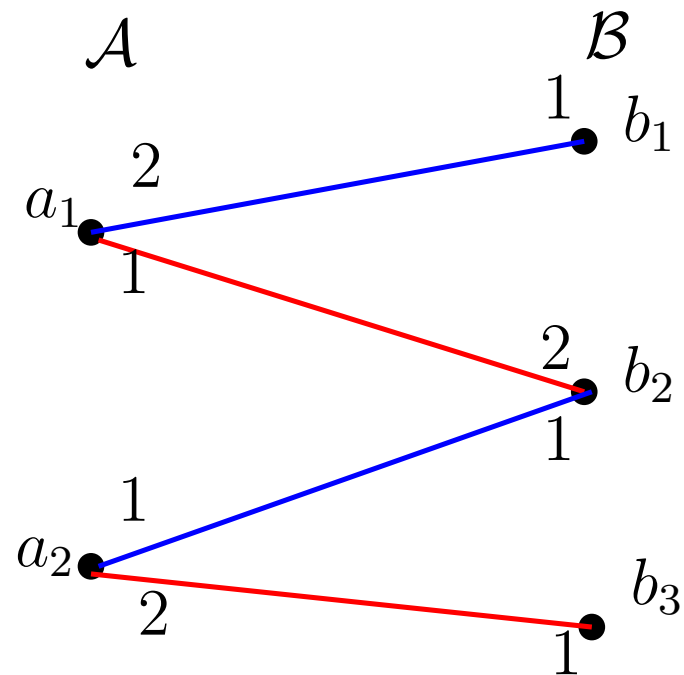


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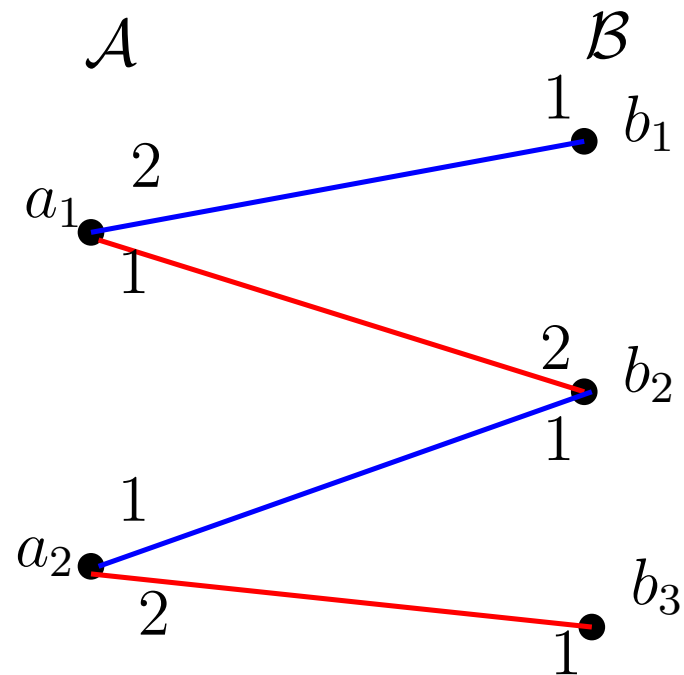
■ a_1 and b_3 prefer the red matching

An example



- a_1 and b_3 prefer the **red** matching
- b_1, b_2 , and a_2 prefer the **blue** matching

An example



- a_1 and b_3 prefer the **red** matching
- b_1, b_2 , and a_2 prefer the **blue** matching
- **blue** matching is more popular than **red** matching.



Popular matchings

- We use $M_1 \succ M_2$ to denote the relation that M_1 is more popular than M_2 .



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- The “*more popular than*” relation is not transitive: we can have $M_1 \succ M_2 \succ M_3 \succ M_1$.
- M is **popular** if there is *no* M' such that $M' \succ M$.



Popular matchings

M is popular \Rightarrow for every matching M' we have:

$$|\{\text{vertices that prefer } M'\}| \leq |\{\text{vertices that prefer } M\}|.$$



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- Do popular matchings always exist in G ?
 - yes, in fact, every stable matching is popular.



stable \implies **popular**

- Comparing a stable matching S with any matching M :



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u prefers M to $S \implies M(u)$ has to prefer S to M .



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u prefers M to $S \implies M(u)$ has to prefer S to M .

- So number of votes for $M \leq$ number of votes for S .
 - So a stable matching is always popular.
 - In fact, it is a *minimum* size popular matching.



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- Let S be a stable matching and let M be a smaller matching.



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 - we will show that M has to be unpopular.
- $|M| < |S|$, so $M \oplus S$ has an augmenting path p wrt M .
- *Claim:* $M \oplus p \succ M$.
- $(M \oplus p)(u) = S(u)$ if $u \in p$,
 $(M \oplus p)(u) = M(u)$ otherwise.

The alternating path p



The alternating path p



■ red: edges of M ; black: edges of S .

The alternating path p



- **red**: edges of M ; black: edges of S .
- both the endpoints of p prefer S to M .

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- **red**: edges of M ; black: edges of S .
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- for every **M -edge** (u, v) in p :
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(otherwise (u, v) would block S)

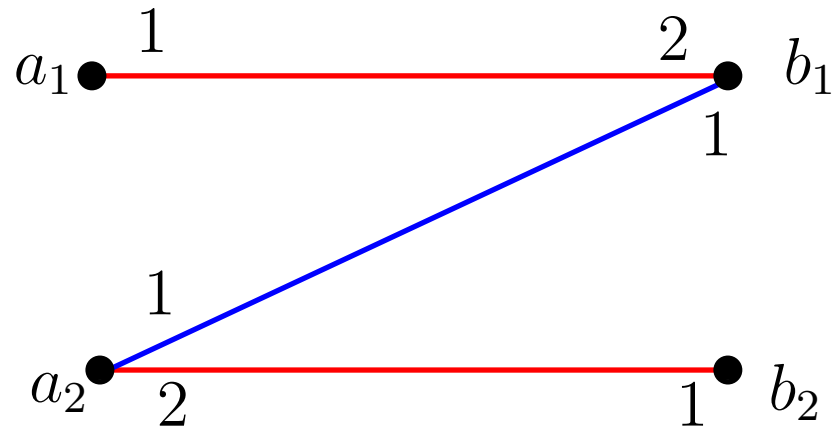
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- both the endpoints of p prefer S to M .
- for every **M -edge** (u, v) in p :
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(otherwise (u, v) would block S)
- Thus restricted to p , we have $S \succ M$.
So $M \oplus p \succ M$.

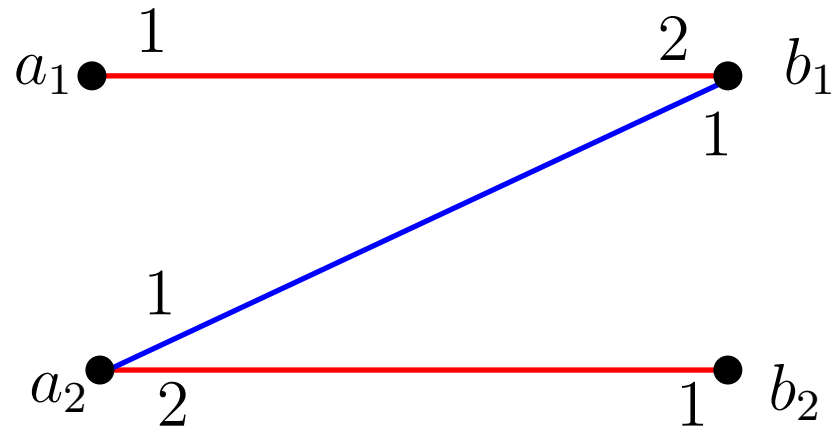
Min vs max size popular matchings

- The **blue** matching is a minimum size popular matching.



Min vs max size popular matchings

- The **blue** matching is a minimum size popular matching.



- The **red** matching is a maximum size popular matching.



Some questions

- Is $|\text{max size popular matching}| / |M_{max}|$ always larger than $1/2$?



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- Can a maximum size popular matching be efficiently computed?
- Characterization of a maximum size popular matching?



A characterization of popular matchings

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- Call an edge (u, v) *negative* wrt M if
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- Delete from G all negative edges wrt M — call this subgraph G_M .



A characterization of popular matchings

- M is popular $\iff M$ has these 3 properties in G_M :



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 - no alternating path has 2 blocking edges.



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Max size popular matchings

- In addition, if M has this 4th property:
 - there is no *augmenting path* wrt M in G_M .
- ⇒ any larger matching has to be *unpopular*.
- That is, M will be a maximum size popular matching.



A first attempt

- Goal: To compute a matching that satisfies those 4 properties.



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- Goal: To compute a matching that satisfies those 4 properties.
- *Idea*: come up with a suitable partition (L, R) of $A \cup B$ such that
 - Gale-Shapley algorithm on (L, R) yields such a matching.



Our first algorithm (Huang and K., 2011)

- Run Gale-Shapley algorithm on $(\mathcal{A}, \mathcal{B})$: let S be this matching.



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- If M_1 is R_1 -perfect, then M_1 satisfies those 4 properties.



Our first algorithm

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 - Note that $B_1 \subset \mathcal{B}$.
- Set $L_2 = L_1 \cup B_1$ and $R_2 = (\mathcal{A} \cup \mathcal{B}) \setminus L_2$.



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- Run Gale-Shapley algorithm on (L_2, R_2) : let M_2 be this matching.



Our first algorithm

- If M_2 is R_2 -perfect, then done.



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 - else move the above men back to the right
 - move unmatched women from right to left
 - start the next round.



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Our first algorithm

- The number of rounds is at most $|\mathcal{B}|$:
 - either round i is the last round or $L_{i+1} = L_i +$ at least 1 woman
 - once a woman moves from right to left, she never moves back to the right side again.
- Running time: $O(m|\mathcal{B}|)$, where $m = |E|$.



Max size popular matching

- Result: an $O(mn_0)$ time algorithm to compute a max size popular matching. ($m = |E|$, $n_0 = \min(|\mathcal{A}|, |\mathcal{B}|)$).



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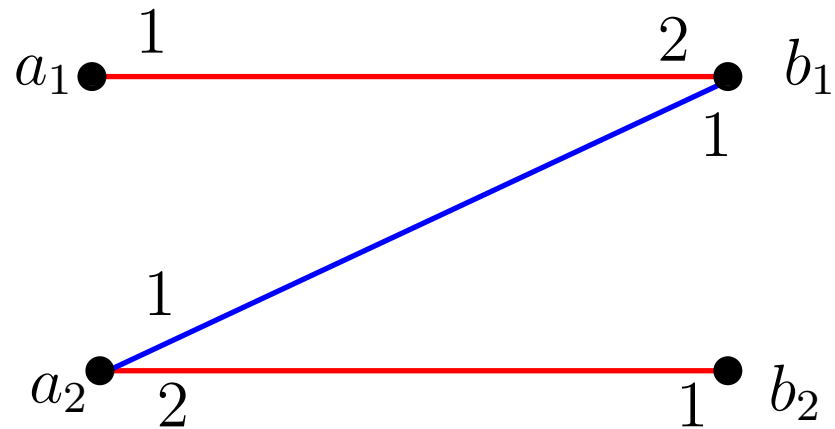


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- However a stable matching is faster to compute.
- A linear time algorithm for maximum size popular matching?

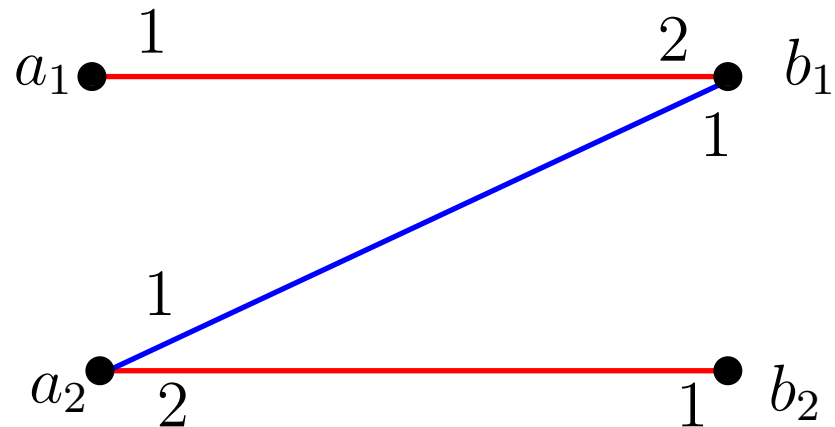
Stable vs max size popular matching

- The blue matching is stable.



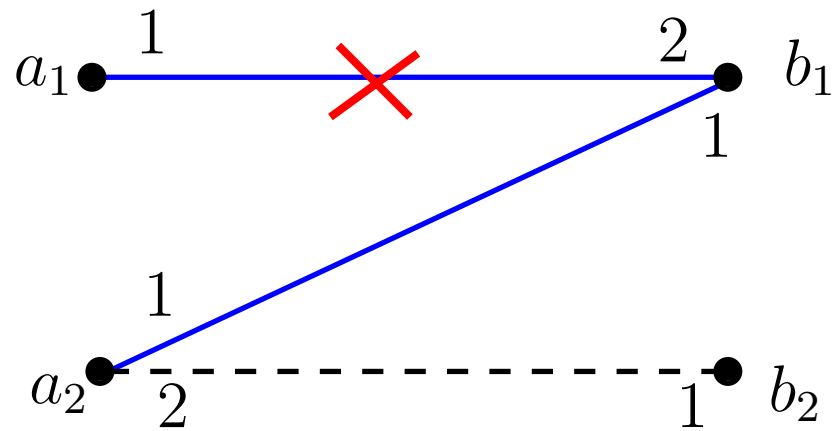
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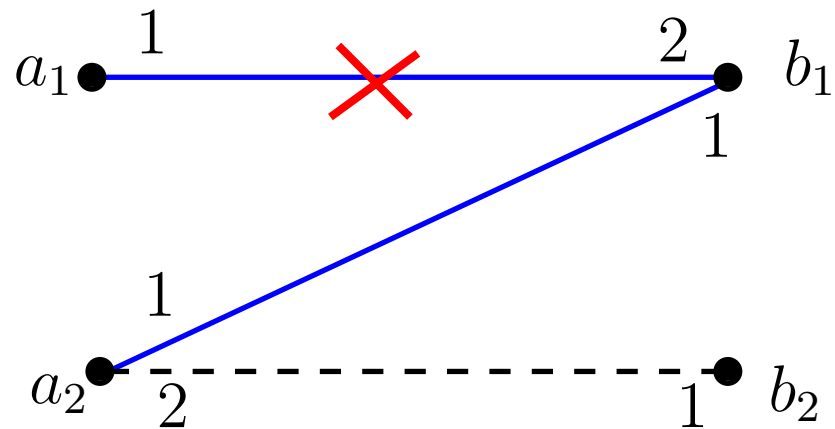


- The **red** matching is a maximum size popular matching.

Modifying Gale-Shapley ...

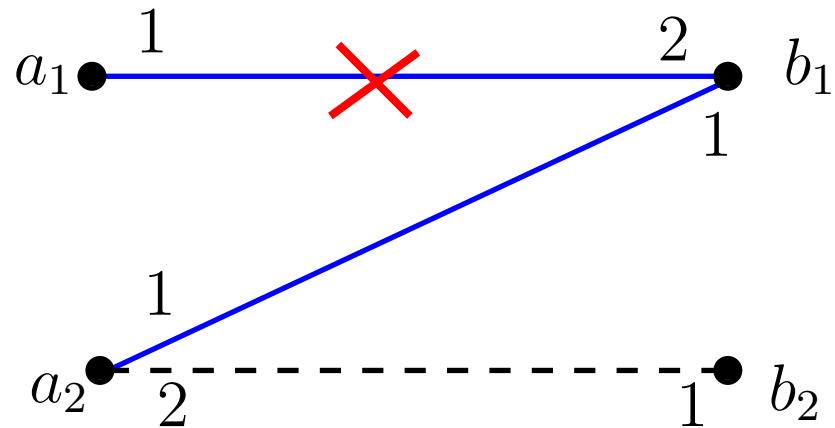


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- Modify the Gale-Shapley algorithm so that a_1 gets a “second chance” to propose to b_1 .
- when a_1 proposes for the *second* time to b_1 , then b_1 should prefer a_1 to a_2 .



Implementing this idea

- Have *two* copies a^0 and a^1 of every man a :



Implementing this idea

- Have *two* copies a^0 and a^1 of every man a :
 - there will be two edges (a^1, b) and (a^0, b) corresponding to every edge (a, b) in G .



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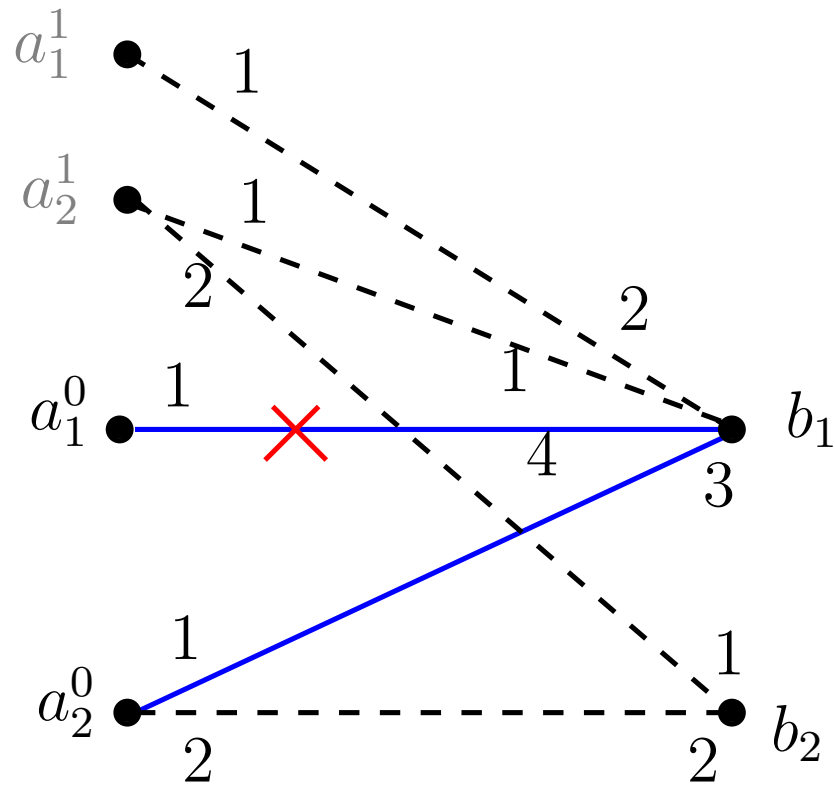
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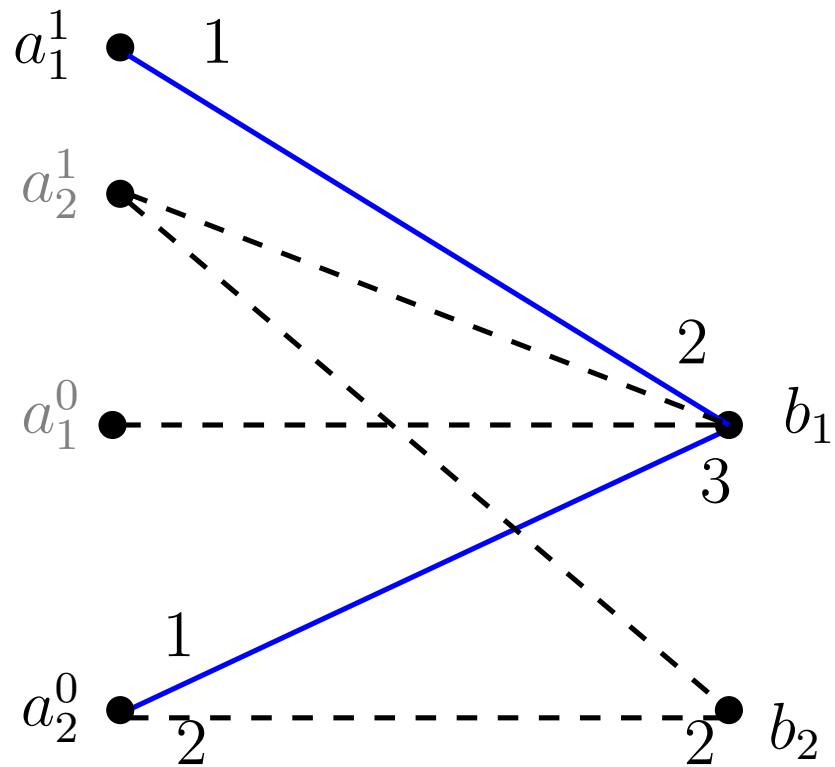
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In the new graph



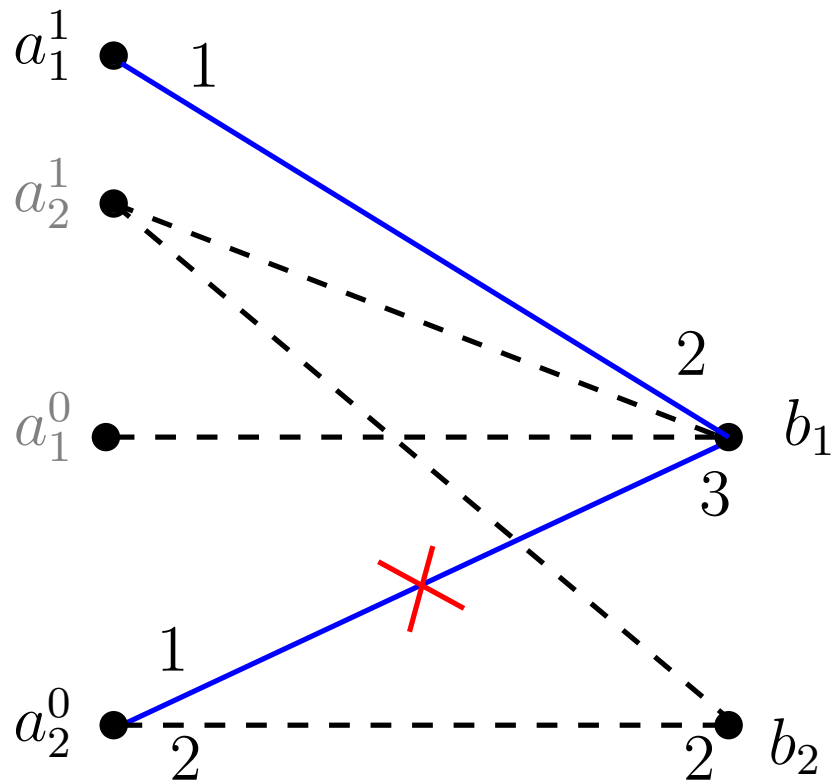
- a_1^0 is rejected by his only neighbor b_1 .

In the new graph



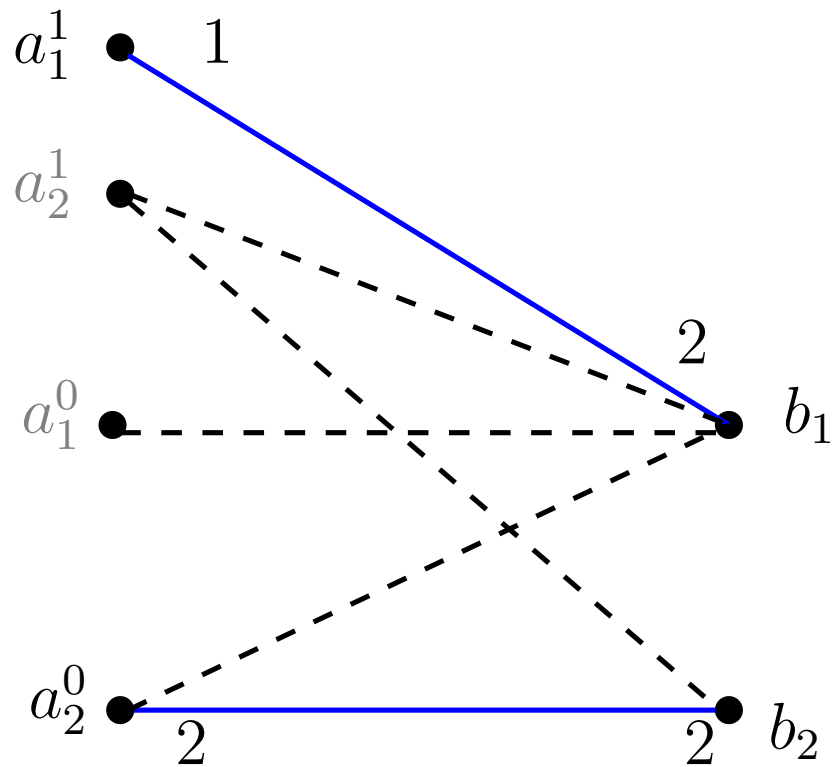
- So a_1^1 becomes active and proposes to b_1 .

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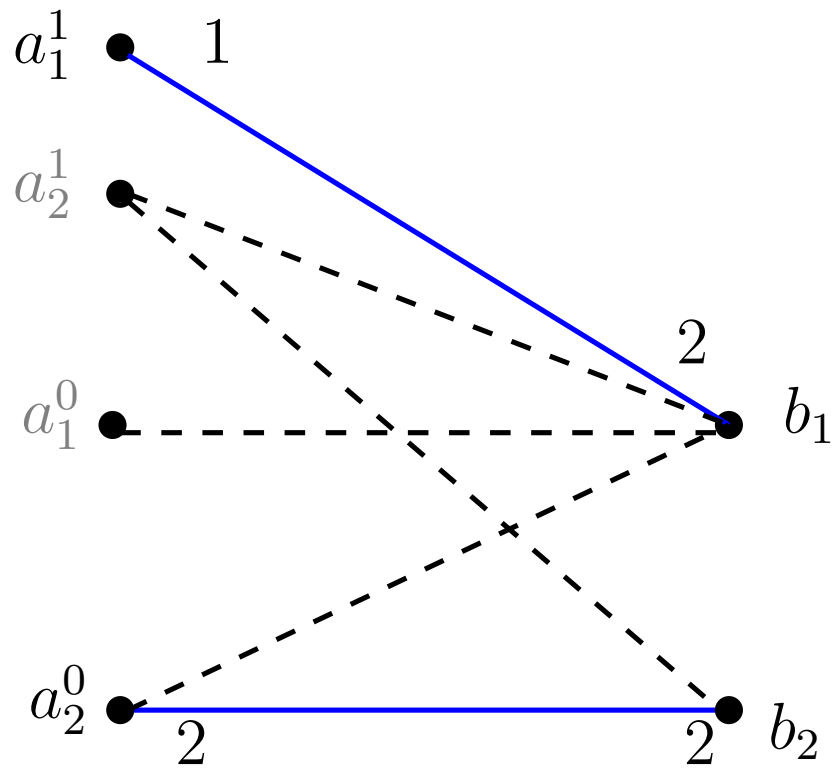
■ b_1 accepts a_1^1 and rejects a_2^0 .

In the new graph



- So a_2^0 proposes to his next preferred neighbor b_2 .

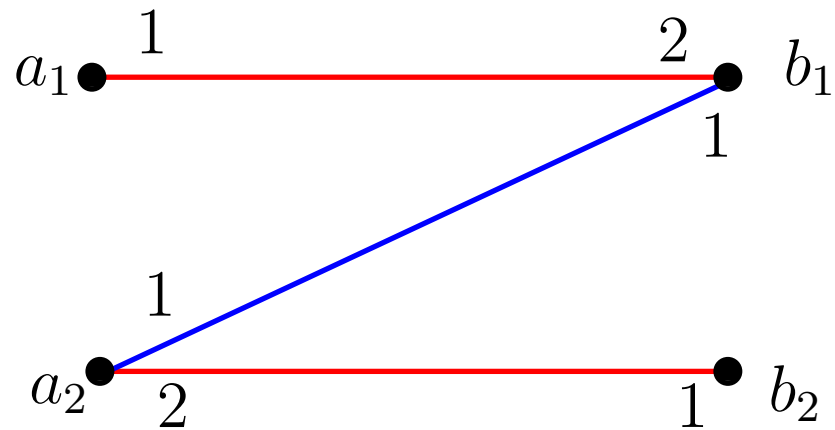
In the new graph



- The matching $\{(a_1^1, b_1), (a_2^0, b_2)\}$ is computed.

Back in the original graph

- Thus $\text{OPT} = \{(a_1, b_1), (a_2, b_2)\}$, the **red** matching, is found.





A linear time algorithm (K., 2012)

- Let \tilde{G}_2 be the graph on $\tilde{A}_2 \cup \mathcal{B}$ where \tilde{A}_2 consists of two copies a^0 and a^1 of each $a \in \mathcal{A}$.



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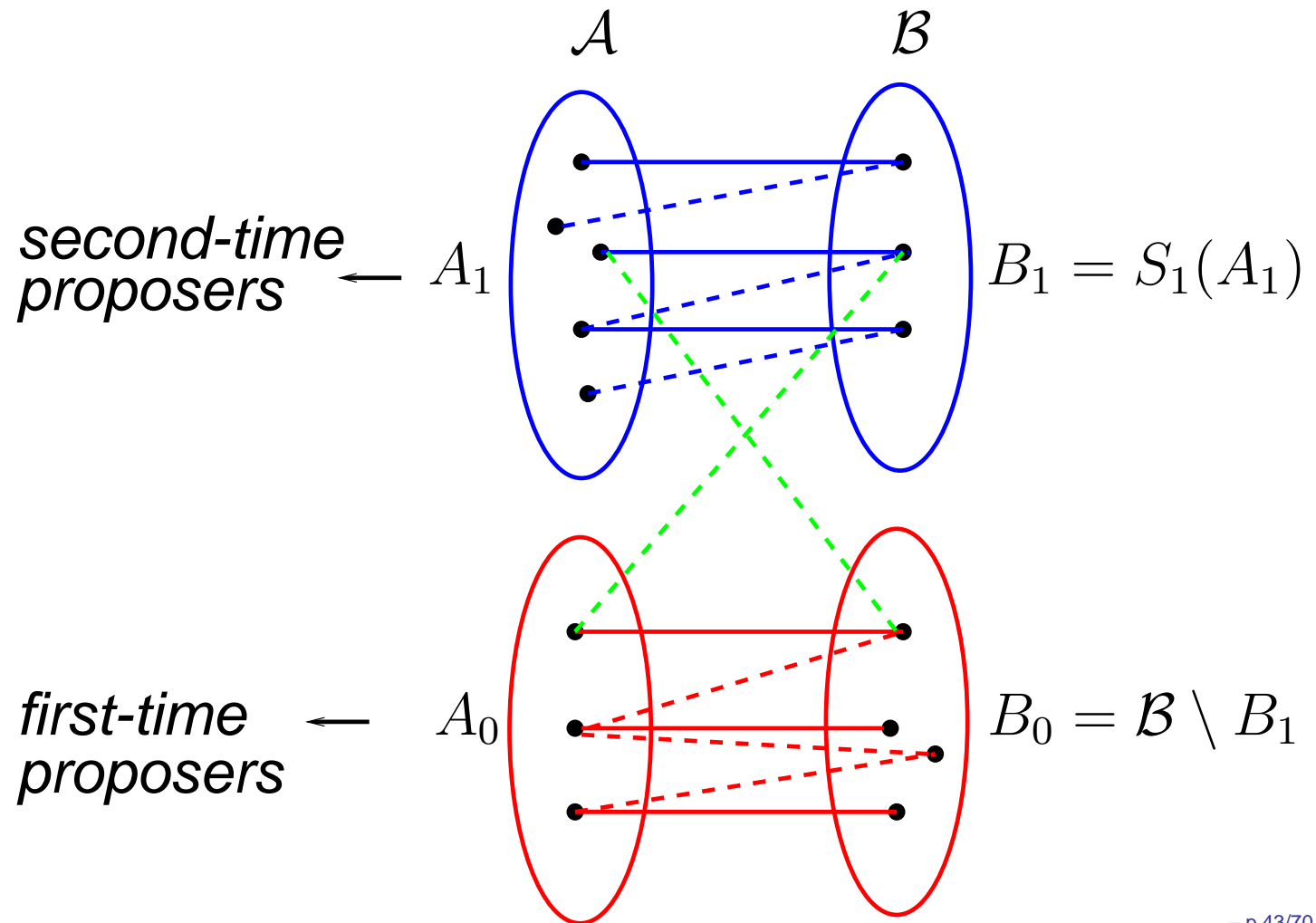


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Properties of the output matching S_1

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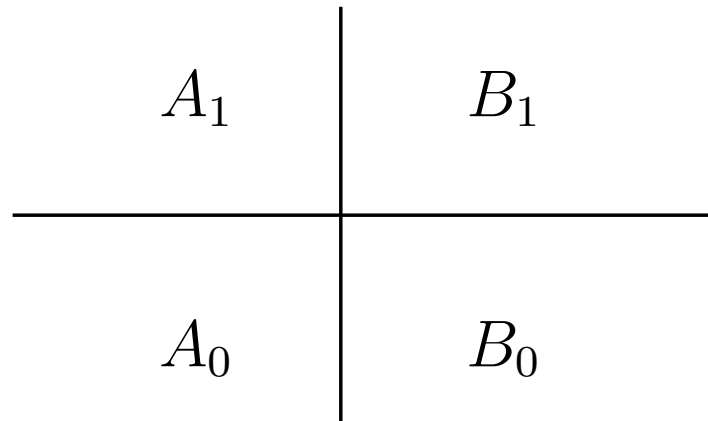


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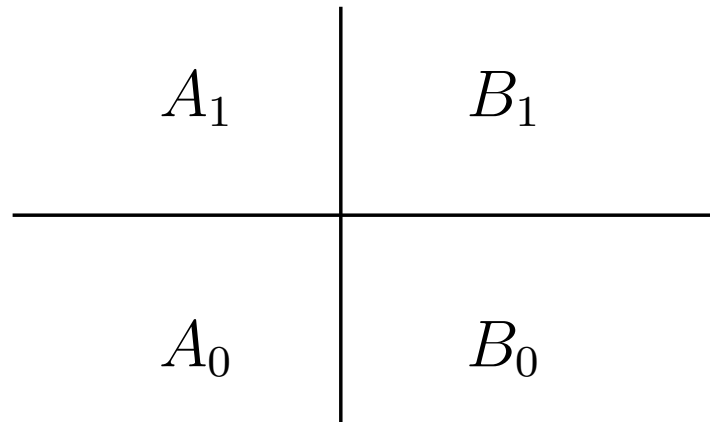
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- Any **blocking edge** to S_1 has to be in $A_0 \times B_1$.

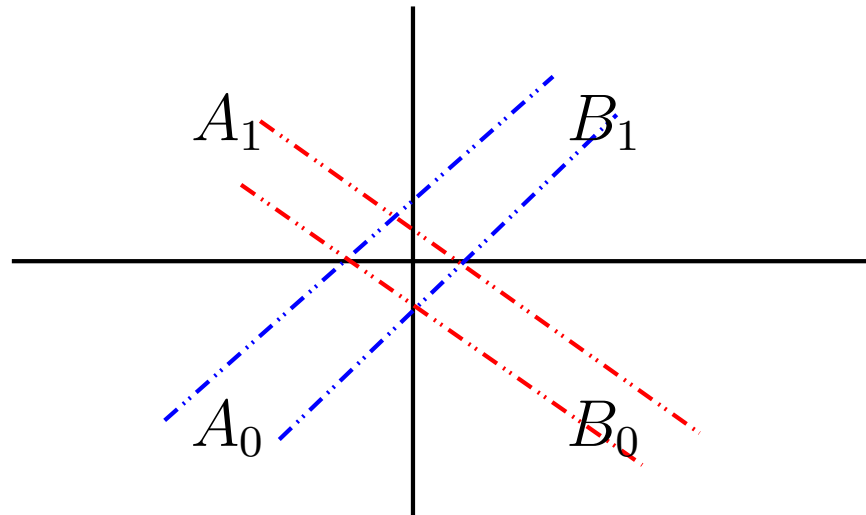


Partition of \mathcal{A} and \mathcal{B}

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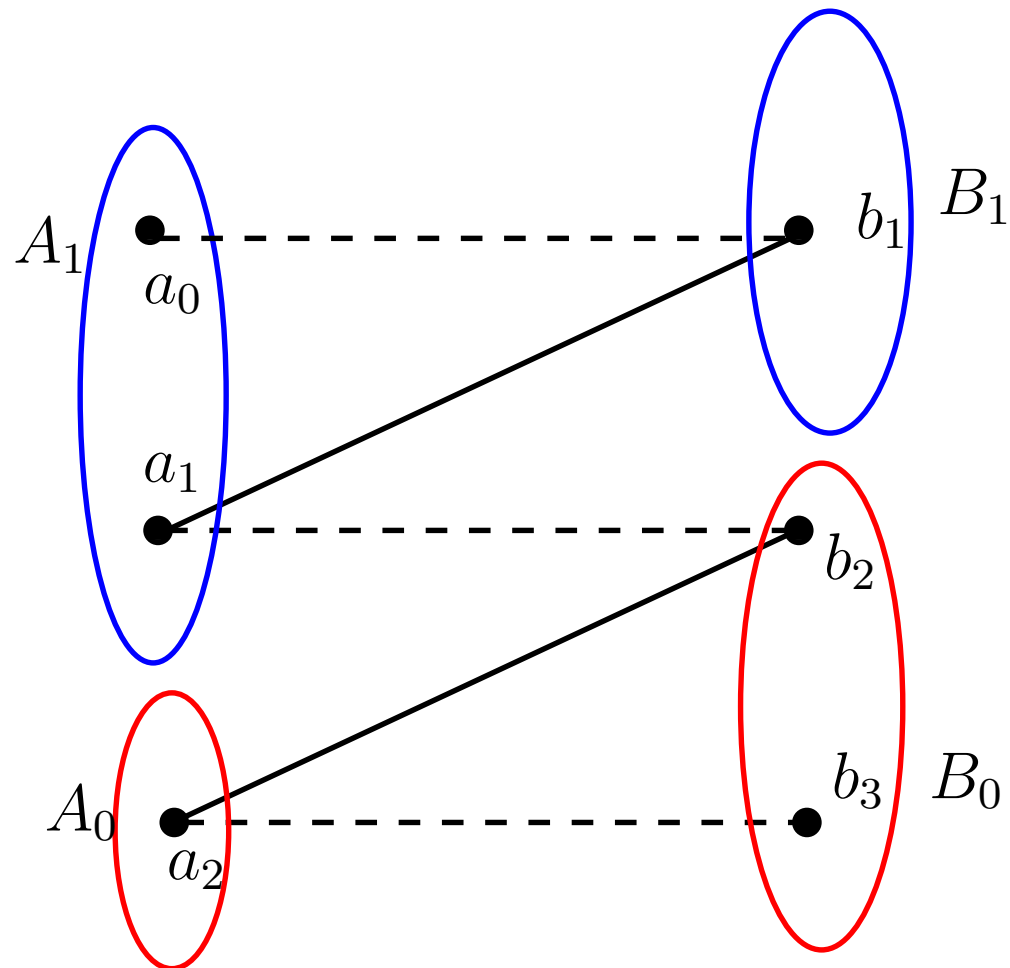


Size of the matching S_1

- There is *no* augmenting path wrt S_1 in G_{S_1} .
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- What about $|S_1|$ in terms of $|M_{max}|$?

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- Any augmenting path wrt S_1 in G has size ≥ 5 :





Size of the matching S_1

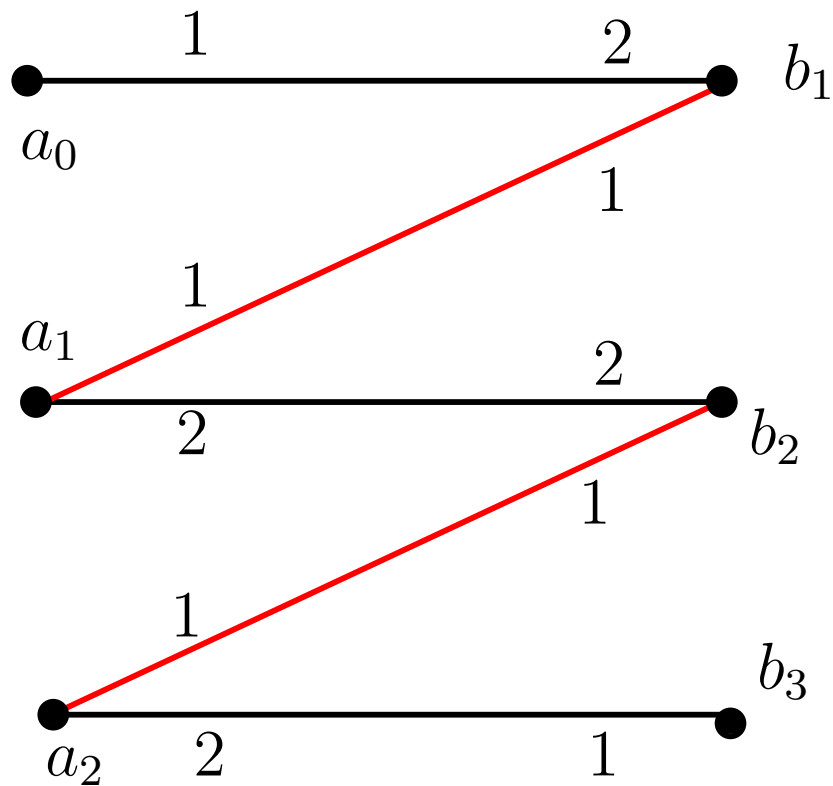
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Size of the matching S_1

- Any augmenting path wrt S_1 in G has size $\geq 5 \Rightarrow$
 - $|S_1| \geq \frac{2}{3}|M_{max}|.$

A tight example for the $2/3$ bound



- $|S_1| = 2$ while $|M_{max}| = 3$.



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$u(M) = \beta \Rightarrow$ for every matching M' we have:

$$|\{\text{vertices that prefer } M'\}| \leq \beta \cdot |\{\text{vertices that prefer } M\}|.$$



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- Is there an $M^* \equiv$ a **maximum cardinality** matching s.t. for each max cardinality matching M : $M^* \succeq M$?



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(a^i is a level i vertex)
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 - and at the bottom are level 0 neighbors.



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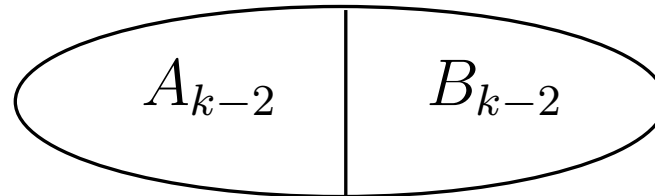
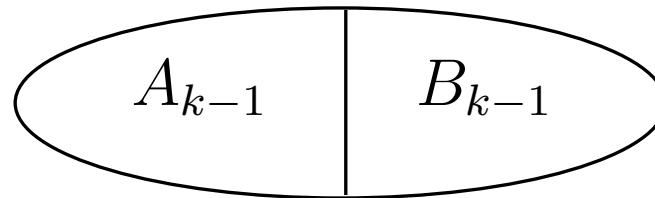


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- Essentially Gale-Shapley with the active men proposing and women disposing:
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- Let S_{k-1} be the matching returned by this algorithm.

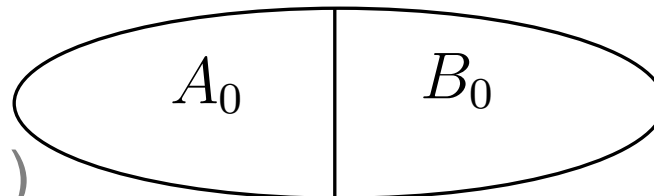
The partition of \mathcal{A} and \mathcal{B}

- $A_i = \{a \in \mathcal{A} \text{ such that } a \text{ is in level } i \text{ at the end}\}.$



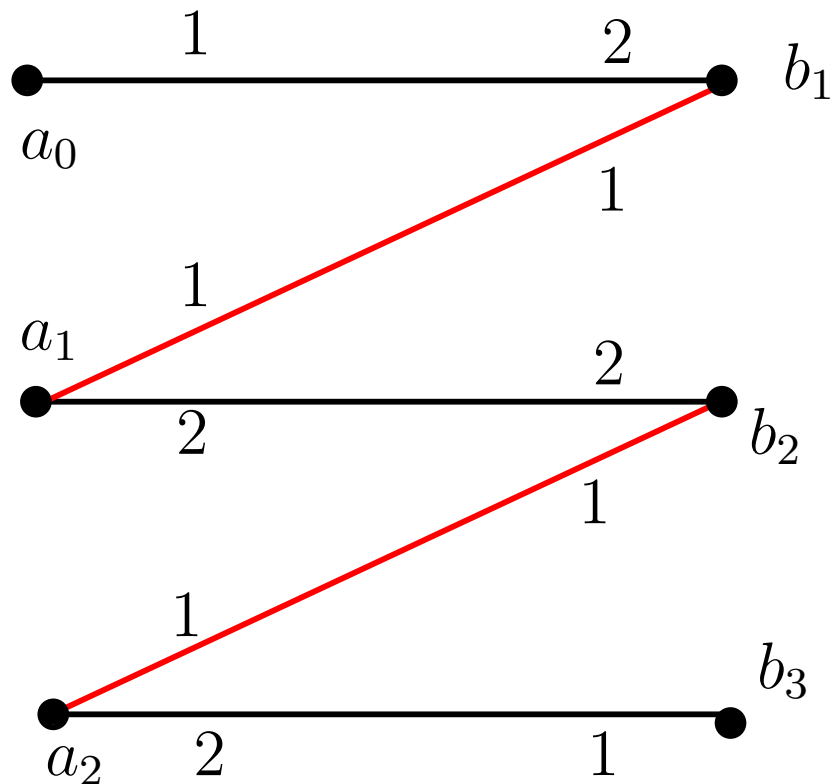
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•

- $B_i = S_{k-1}(A_i)$
(for $1 \leq i \leq k - 1$)

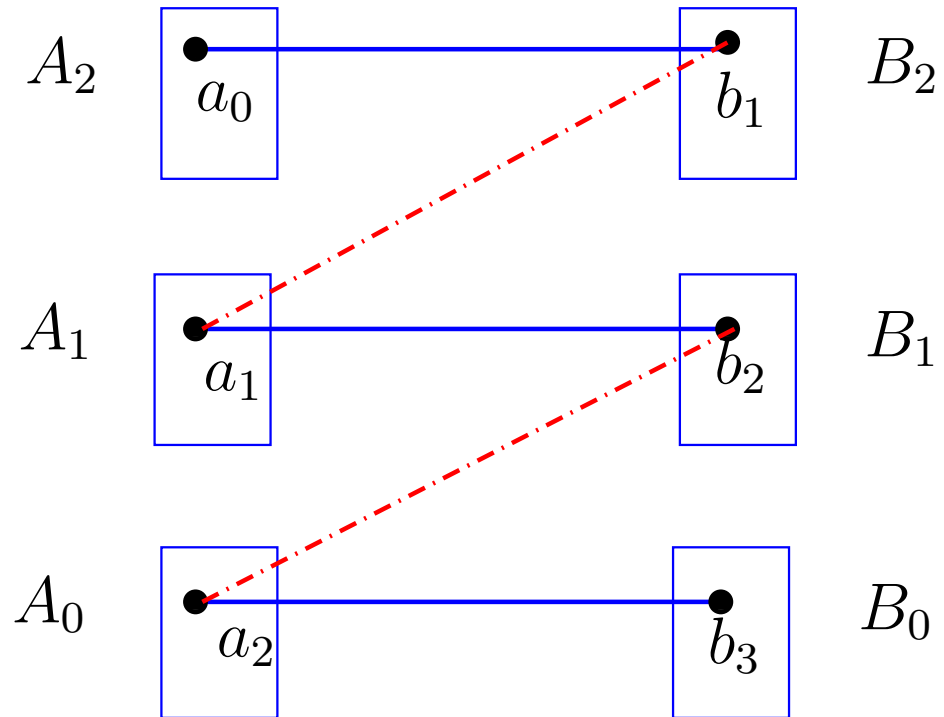


The 3-level algorithm

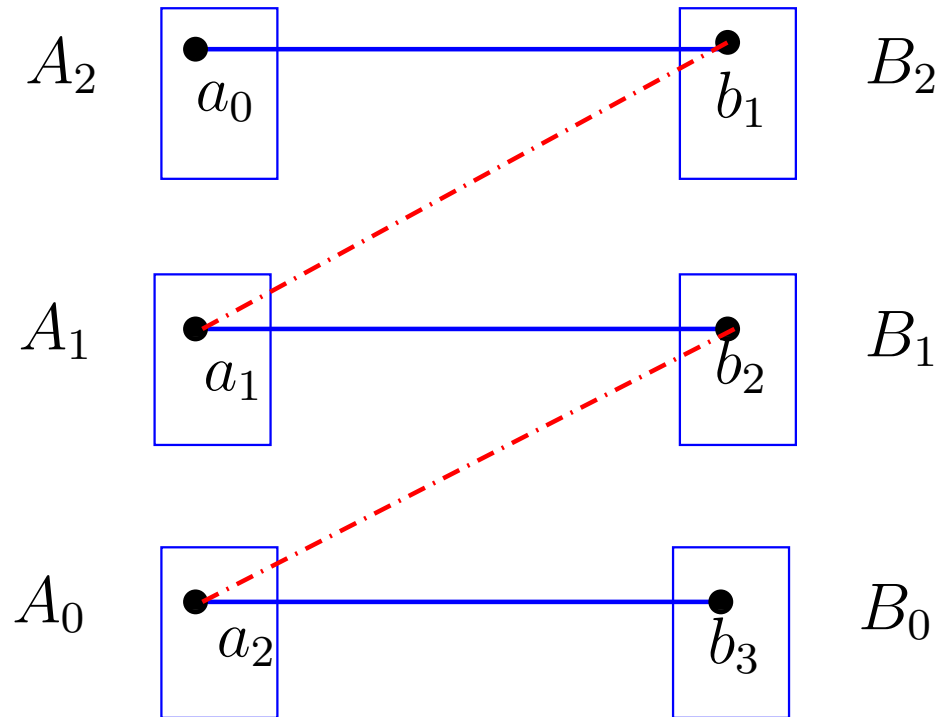
- Say we run the 3-level algorithm on our tight example for the 2-level algorithm ...



In the 3-level algorithm



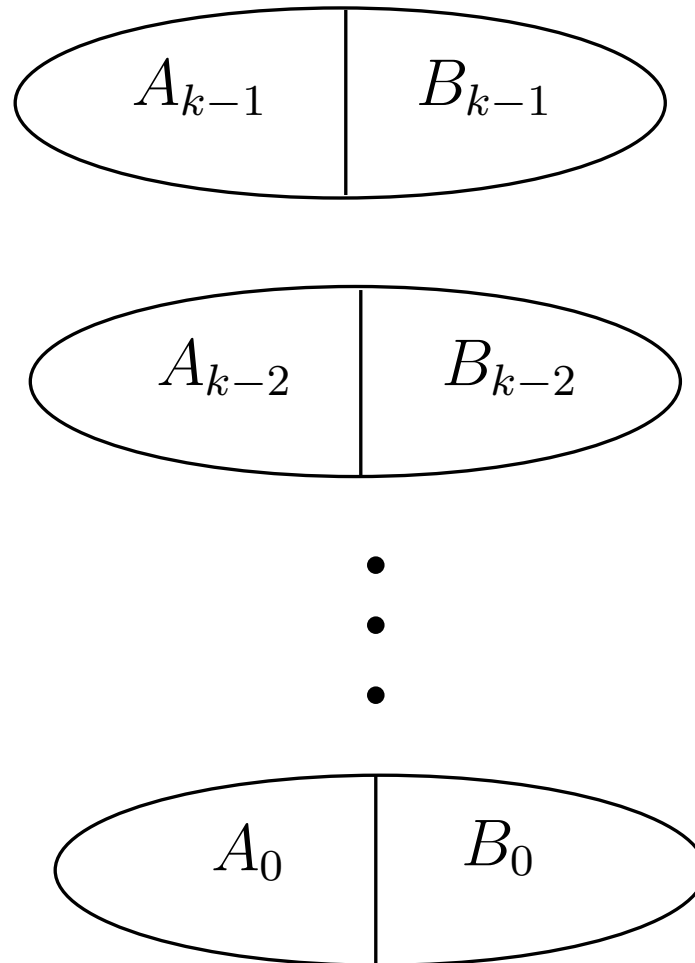
In the 3-level algorithm



- The matching $S_2 = \{(a_0, b_1), (a_1, b_2), (a_2, b_3)\}$ is output by the 3-level algorithm.

Properties of the matching S_{k-1}

- $S_{k-1} \subseteq (A_{k-1} \times B_{k-1}) \cup (A_{k-2} \times B_{k-2}) \cup \dots \cup (A_0 \times B_0)$.





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- S_{k-1} can be computed in $O(mk)$ time.



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In general graphs

- Input $G = (V, E)$: a general graph with strict 2-sided preference lists

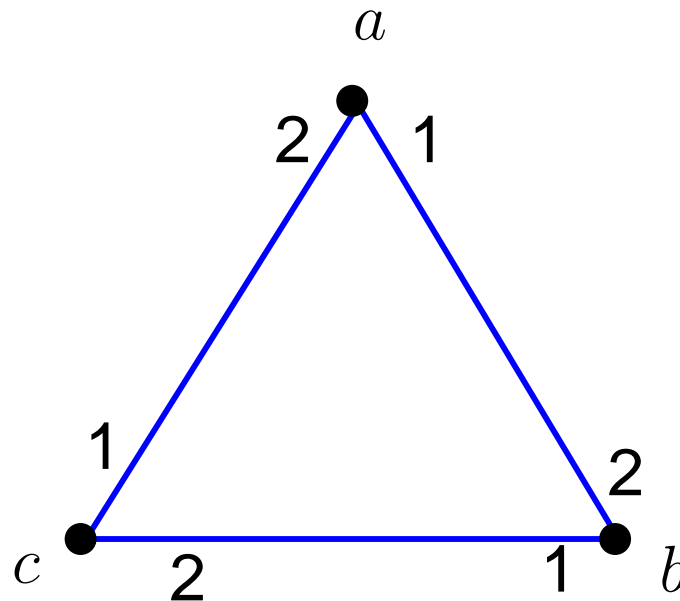


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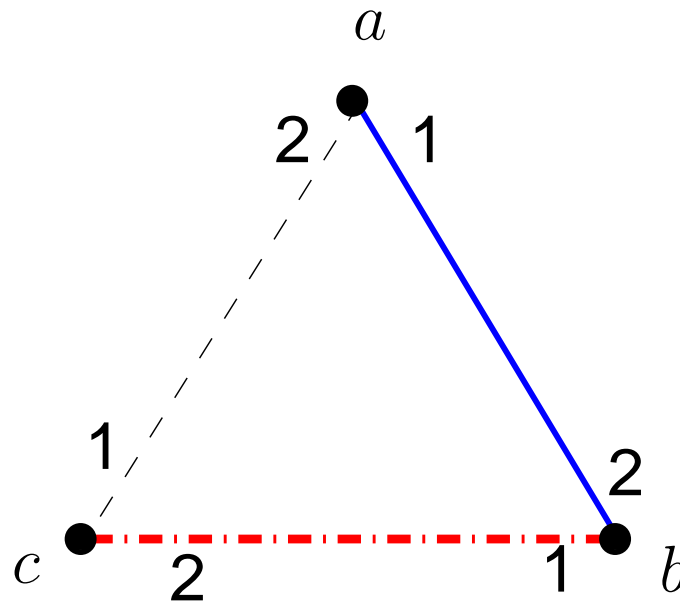
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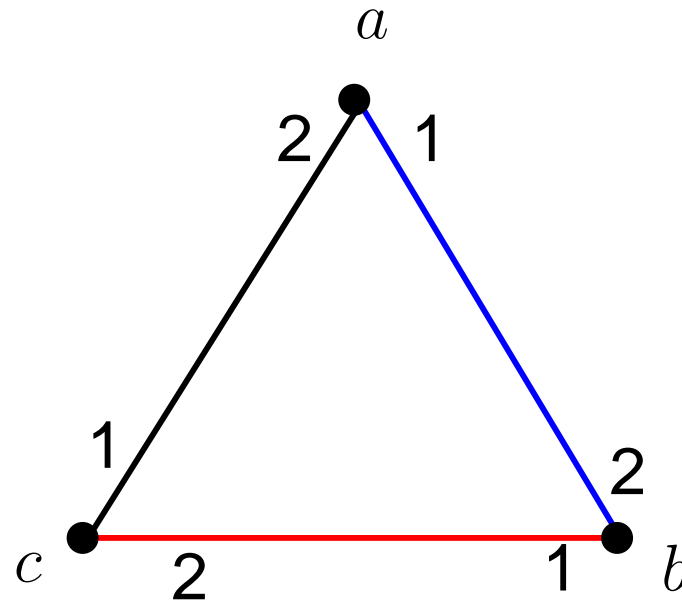
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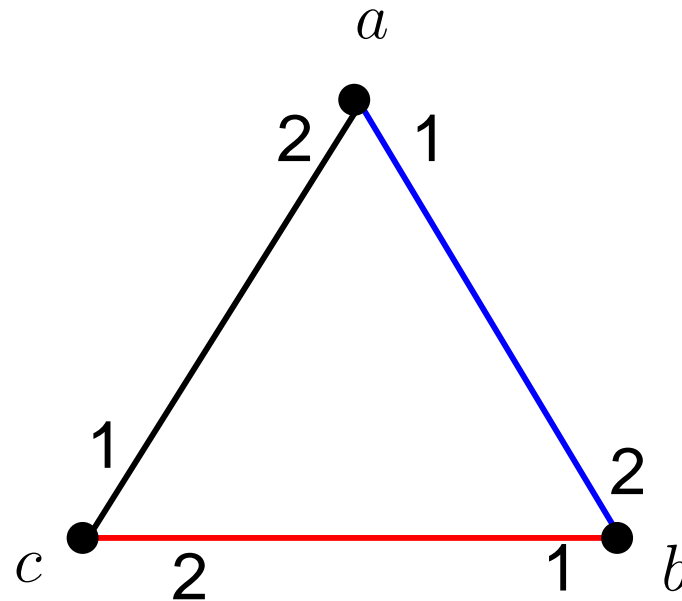
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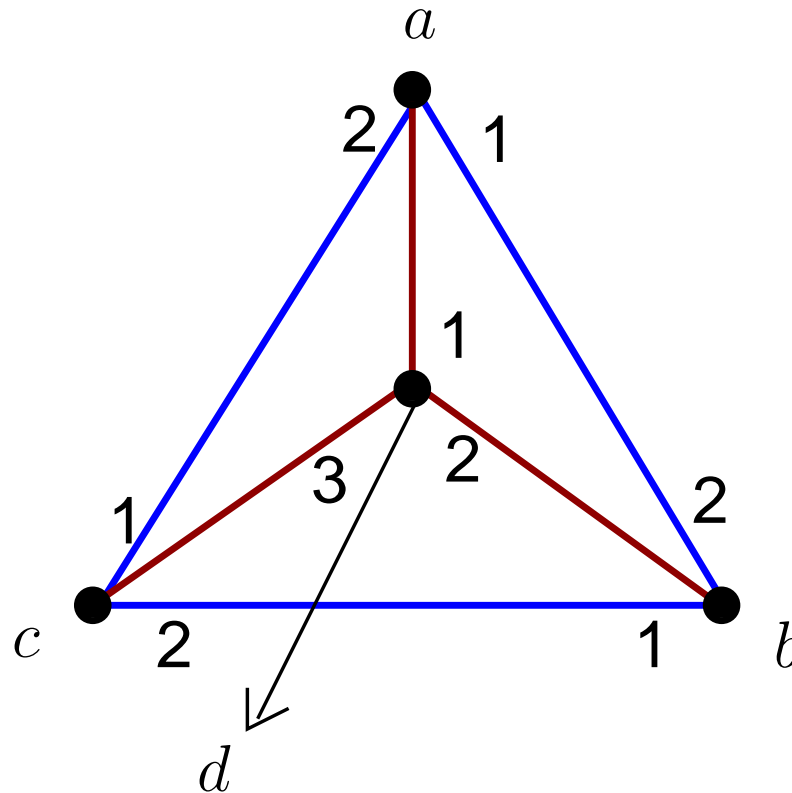
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- We have $M_1 \prec M_2 \prec M_3 \prec M_1$ here,
where $M_1 = \{(a, b)\}$, $M_2 = \{(b, c)\}$, and $M_3 = \{(a, c)\}$.

In general graphs

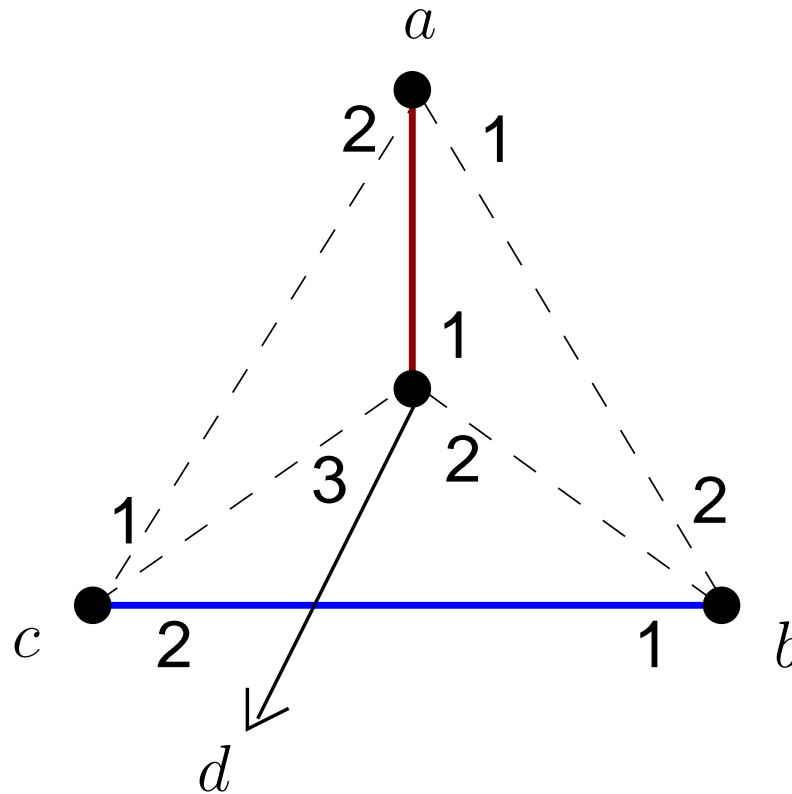
- An instance with no stable matching but with popular matchings:



- d is the least preferred neighbor for a, b, c .

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In general graphs (Huang and K., 2011)

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- Computing a *least* unpopularity factor matching is NP-hard.
- *Open problem*: complexity of determining if G admits a popular matching or not.



Thank you!