

Today

- Complexity of Counting

\* Promise Problems

\* Unique SAT (Valiant-Vazirani Thm)

\* Counting Class, #P

Lecture 15: Computational Complexity

Instructor: Prahladh Harsha

## 1. Promise Problems

Qn: Given a graph, check if it is connected.

Underlying language

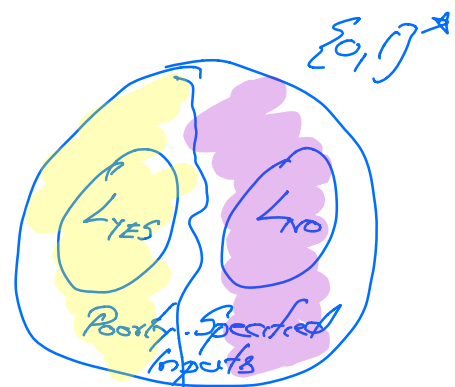
$L = \{ \langle G \rangle \mid G \text{ is a graph} \wedge G \text{ is connected} \}$

$\bar{L} = \{ \langle G \rangle \mid G \text{ is either not a graph or if a graph, not connected} \}$

Alg: assumes  $G$  is a graph  $\} \rightarrow$  promise

$L_{YES} = \{ \langle G \rangle \mid G \text{ is a graph} \wedge \text{connected} \}$

$L_{NO} = \{ \langle G \rangle \mid G \text{ is a 'disconnected graph'} \}$



(1)

Defn: Promise Problem:

$(L_{YES}, L_{NO}) \subseteq \Sigma^* \times \Sigma^*$  s.t.  $L_{YES} \cap L_{NO} = \emptyset$

In most cases, promise is easy to detect.

Complete problems for BPP

pr P, pr NP, pr BPP.

Circuit Acceptance Probability Problem ( $CAP^\epsilon$ )  
 $\epsilon \in (0, 1/3)$

Promise Problem

$CAP_{YES}^\epsilon = \{ (C, p) \mid C \text{ is a ckt } \wedge p \in [0, 1] \text{ s.t. } \Pr_x [C(x) = 1] \geq p + \epsilon \}$

$CAP_{NO}^\epsilon = \{ (C, p) \mid C \text{ is a ckt } \wedge p \in [0, 1] \text{ s.t. } \Pr_x [C(x) = 1] \leq p \}$

Observations:

①  $CAP^\epsilon \in \text{pr BPP}$

On input  $(C, p)$ , choose  $x_1, \dots, x_t$  at random  
& accept if  $\#\{i \mid C(x_i) = 1\} \geq (p + \frac{\epsilon}{2})t$   
& reject otherwise. (Suff to choose  $t = O(1/\epsilon^2)$ .)

②.  $CAP^\epsilon$  is pr BPP-complete  
(ie, every  $L \in \text{pr BPP}$ ,  $L \leq_p CAP^{1/3}$ )

(2)

$\exists \epsilon \text{ prBPP} \Rightarrow \exists$  a prob TM  $M$  st  
 $\forall x \in L_{\text{YES}} \quad \Pr_x [M(x, r) = 1] \geq \frac{2}{3}$   
 $\forall x \in L_{\text{NO}}, \quad \Pr_x [M(x, r) = 1] \leq \frac{1}{3}$   
 $x \mapsto (M(x, \cdot), \frac{1}{3})$

Qn: Is a planar graph Hamiltonian.

$L_{\text{YES}} = \{ \langle G \rangle \mid G \text{ is planar} \wedge \text{Hamiltonian} \}$

$L_{\text{NO}} = \{ \langle G \rangle \mid G \text{ is planar} \wedge \text{non-Hamiltonian} \}$

Possibly easier than checking Hamiltonicity

DNF-counting.

$\# \text{DNF} = \{ (\varphi, N) \mid \varphi \text{ is a DNF formula} \wedge \# \text{SAT}(\varphi) \geq N \}$

#DNF is coNP-hard

Promise Version:

①  $(1+\epsilon)$ -Approx - #DNF ( $\epsilon \in (0, 1)$ )

$L_{\text{YES}} = \{ (\varphi, N) \mid \varphi \text{ is a DNF-formula} \wedge \# \text{SAT}(\varphi) \geq N(1+\epsilon) \}$

$L_{\text{NO}} = \{ (\varphi, N) \mid \varphi \text{ is a DNF-formula} \wedge \# \text{SAT}(\varphi) < N \}$

Surprising:  $(1+\epsilon)$ -Approx DNF is in prBPP  $\forall \epsilon \in (0, 1)$ .

③

Promise is not known to be easy to check.

- (2)  $(1+\epsilon)$ -Approx Permanent
- $$L_{YES} = \{ (M, \epsilon) \mid \text{per}(M) \geq (1+\epsilon)\epsilon \}$$
- $$L_{NO} = \{ (M, \epsilon) \mid \text{per}(M) \leq \epsilon \}$$

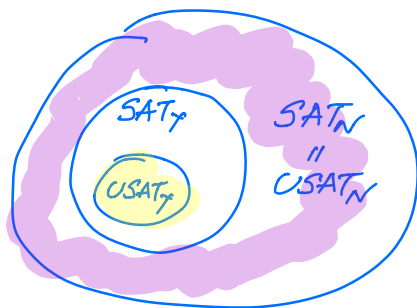
Surprisingly,  $(1+\epsilon)$ -Approx-Per  $\in$  pr-BPP  $\forall \epsilon \in (0,1)$

### Unique SAT:

USAT:  $USAT_Y = \{ \varphi \mid \varphi \text{ is a 3CNF formula} \\ \varphi \text{ has a unique sat assign} \}$

$USAT_N = \{ \varphi \mid \varphi \text{ is an unsatisfiable 3CNF formula} \}$

Qn: Is USAT easy?



$\varphi$ -3CNF

Valiant-Vazirani: No  
(Unlikely).

Randomized Redn  
 $SAT \leq_{RP} USAT$

Randomized Reductions:  $\Pi, \Gamma$ -promise problems

$\Pi \leq_{BPP} \Gamma$  if  $\exists$  a randomized alg A s.t.

$$x \in \Pi_{YES} \Rightarrow \Pr[A(x) \in \Gamma_{YES}] \geq \delta(n) + \epsilon$$

$$x \in \Pi_{NO} \Rightarrow \Pr[A(x) \notin \Gamma_{NO}] \leq \delta(n)$$

(4)

$s(n) = 0$ ; RP-reduction.

Theorem [Valiant-Vazirani]  $SAT \leq_{RP} USAT$

More specifically,  $\exists$  a rand Alg  $M$  s.t

$$\varphi \in SAT \Rightarrow \Pr[M(\varphi) \in USAT_Y] \geq \frac{1}{8n}$$

$$\varphi \notin SAT \Rightarrow \Pr[M(\varphi) \in USAT_N] = 0$$

$$(n = \# \text{vars}(\varphi))$$

Proof:

$$\varphi \xrightarrow[\text{randomized}]{\text{map}} \psi = \varphi \wedge \varphi'$$

$$\varphi' = [f(x) = 1]$$

Reduce possibly large  
# sat assign to a  
singleton set.

$$n = \# \text{vars}(\varphi)$$

$$2^{m-2} \leq \# \text{sat}(\varphi) \leq 2^{m-1} \text{ for some } m \in \{2, \dots, n+1\}$$

(true if  $\varphi \in SAT$ )

$$h: \{0,1\}^n \rightarrow \{0,1\}^m \quad \varphi' = [h(x) = \bar{0}]$$

$$\Pr_h \left[ \# \{x \mid h(x) = \bar{0} \wedge \varphi(x) = 1\} = 1 \right]$$

$$= \sum_{x \in \varphi^{-1}(1)} \Pr_h [x \text{ is the unique string in } \varphi^{-1}(1) \text{ s.t. } h(x) = \bar{0}]$$

⑤

$$\begin{aligned}
&\geq \sum_{x \in \varphi^{-1}(1)} \left( P_x[h(x) = \bar{0}] - \sum_{\substack{y \in \varphi^{-1}(1) \\ \{x\}}} P_x[h(x) = h(y) = \bar{0}] \right) \\
&= \frac{|\varphi^{-1}(1)|}{2^m} - \frac{|\varphi^{-1}(1)|(|\varphi^{-1}(1)| - 1)}{2^{2m}} \\
&\geq \frac{|\varphi^{-1}(1)|}{2^m} \left( 1 - \frac{|\varphi^{-1}(1)|}{2^m} \right) \geq \frac{1}{4} \cdot \frac{1}{2} = \frac{1}{8} \\
&\quad [2^{m-2} \leq |\varphi^{-1}(1)| \leq 2^{m-1}]
\end{aligned}$$

Alg A':

On input  $\varphi$

1. Pick a random  $m \in \{2, \dots, n\}$   
 $n = \# \text{var}(\varphi)$

2. Pick a  $h \in_{\mathcal{R}} \{h: \{0,1\}^n \rightarrow \{0,1\}^m\}$

3. Set  $\psi = \varphi \wedge [h(x) = \bar{0}]$

4. Output  $\psi$ .

Alg A' - (1) satisfies all requirements ✓

(2) Step 2 requires super poly to implement ✗

Qn: Do we need  $h$  to be fully random?

Obs:  $\mathcal{H}$  = family of low  $h: \{0,1\}^n \rightarrow \{0,1\}^m$  st

$$\forall x \neq y \in \{0,1\}^n, \quad \Pr[h(x) = a \wedge h(y) = b] = \frac{1}{2^{2m}}$$

$a, b \in \{0,1\}^m$

Defn:  $\mathcal{H} = \{h: \{0,1\}^n \rightarrow \{0,1\}^m\}$  is a pairwise independent family of hash fns if

$$\forall x, y \in \{0,1\}^n, x \neq y \wedge a, b \in \{0,1\}^m$$

$$\Pr_{h \in \mathcal{H}}[h(x) = a \wedge h(y) = b] = \frac{1}{2^{2m}}$$

Lemma:  $\forall n, m, \mathcal{H}_{n,m} = \{h_{A,\beta} \mid A \in \{0,1\}^{m \times n}, \beta \in \{0,1\}^m\}$

$$h_{A,\beta}(x) = Ax + \beta.$$

then  $\mathcal{H}_{n,m}$  is pairwise-independent family

Pf:  $\Pr_{A,\beta}[Ax + \beta = a; Ay + \beta = b]$

$$= \Pr_{A,\beta}[A(x-y) = a-b; \beta = a - Ax]$$

$$= \Pr_A[A(x-y) = a-b] \cdot \Pr_{\beta,A}[\beta = a - Ax \mid A(x-y) = a-b]$$

$$= \frac{1}{2^m} \cdot \frac{1}{2^m} = \frac{1}{2^{2m}} \quad \square$$

Alg A: Replace Step 2 w/

② Pick  $h \leftarrow$  efficiently computable pairwise independent family of hash fns.

⑦

## Complexity of Counting:

#P: (number-P vs string-P)

Defn:  $f: \{0,1\}^* \rightarrow \mathbb{N}$  is in #P if  $\exists$  a poly  $p$   
 $\exists$  a polynomial time alg  $M$  s.t  
$$f(x) = \#\{y \in \{0,1\}^{p(|x|)} \mid M(x,y) = 1\}$$

eg: ① #SAT =  $\varphi \mapsto \#SAT(\varphi)$

② #MATCHING:  $G \mapsto \#Matching(G)$

Easy: FP - set of fn  $f: \{0,1\}^* \rightarrow \mathbb{N}$  s.t

$\exists$  a poly time alg  $A$  s.t (FP-function  $P$ )  
 $\forall x, f(x) = A(x)$

Qn #P vs FP

Focus: #P (in next 3 lectures).