

Today (Part 4 out)

- Approximate Counting
- Interactive Proofs
- \* Graph Non-isomorphism

Lecture 20:

Computational Complexity

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## Approximate Counting

Thm:  $\forall f \in \#P$ , there is an algorithm that on input  $x$ ,  $\epsilon \geq \delta$  outputs  $A(x)$  st

$$\Pr_A \left[ (1-\epsilon)f(x) \leq A(x) \leq (1+\epsilon)f(x) \right] \geq 1-\delta$$

in time  $\text{poly}(|x|, \frac{1}{\epsilon}, \log \frac{1}{\delta})$  using an NP oracle (ie SAT oracle)

Last time: Simplifying Observations

1. Suffices to prove for  $f = \#SAT$
2. Sufft to give an alg that yields the following weaker approximation  
$$\frac{1}{c} \cdot \#SAT(\varphi) \leq A(\varphi) \leq c \cdot \#SAT(\varphi)$$
 for some constant  $c \geq 1$ .
3. If  $\#SAT(\varphi) = O(1)$  & this is promised then can compute  $\#SAT(\varphi)$  exactly in  $P^{NP}$ .

(1)

Consider the following gap problem

$$a\text{-comp}(\varphi, k) = \begin{cases} \text{YES} & \text{if } \#\text{SAT}(\varphi) \geq 2^{k+1} \\ \text{NO} & \text{if } \#\text{SAT}(\varphi) \leq 2^k \\ \text{Don't care} & \text{otherwise} \end{cases}$$

Use  $a\text{-comp}$  to obtain 2-approx.

A: On input  $\varphi$   
 $a\text{-comp}(\varphi, 0)$   
 $a\text{-comp}(\varphi, 1)$   
 $a\text{-comp}(\varphi, 2)$   
 $\vdots$   
 $a\text{-comp}(\varphi, n)$  — NO

If ans is NO, then use NP oracle to figure out  $\#\text{SAT}(\varphi)$  exactly

else, suppose ans is YES of  $i=0, \dots, i-1$   
 $\rightarrow$  NO  $i$  onwards  
output  $2^i$ .  $\square$

Suppose  $a\text{-comp}$  of  $\varphi$  YES  $(\varphi, i-1) \dots (a)$   
 $\rightarrow$  NO on  $(\varphi, i) \dots (b)$

(a)  $\Rightarrow \#\text{SAT}(\varphi) > 2^{i-1}$   
(b)  $\Rightarrow \#\text{SAT}(\varphi) < 2^{i+1}$  } Hence the ans  $2^i$  is a 2-approx.

Hence, sufft to design an alg for  $a\text{-comp}$ .

(2)

## Constructing a-comp:

Ingredient: Pairwise Independent family of hash fn's

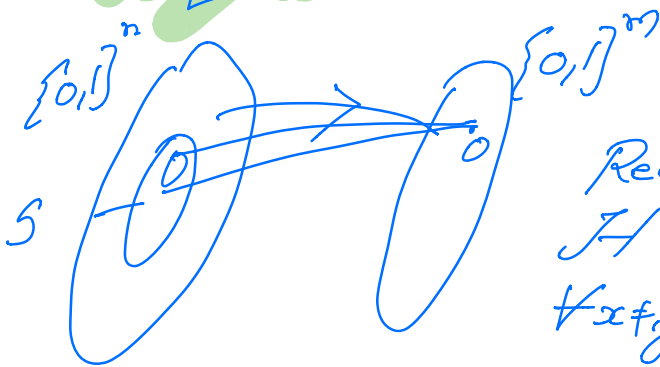
(similar to Valiant-Vazirani seed)

Lemma [Left-over hash Lemma]  
Impagliazzo-Levin-Luby.

$\mathcal{H}$  - be a family of p.w ind hash fn's.  
 $h: \{0,1\}^n \rightarrow \{0,1\}^m$ ;  $S \subseteq \{0,1\}^n$ ,  $|S| \geq \frac{4 \cdot 2^m}{\epsilon^2}$

Then

$$\Pr_{h \in \mathcal{H}} \left[ \left| \left\{ a \in S \mid h(a) = \bar{0} \right\} - \frac{|S|}{2^m} \right| > \frac{\epsilon |S|}{2^m} \right] \leq \frac{1}{4}$$



Recall.

$\mathcal{H}$  is p.w ind family  
 $\forall x, y \in \{0,1\}^n \neq a, b \in \{0,1\}^m$

$$\Pr_{h \in \mathcal{H}} [h(x) = a \wedge h(y) = b] = \frac{1}{2^{2m}}$$

(eg:  $h(x) = Ax + b$ ;  $A \in \{0,1\}^{m \times n}$ ;  $b \in \{0,1\}^m$ )  
(3) works

a-comp: (uses a SAT-oracle).

On input  $(\varphi, k)$

①. If  $k \leq 5$ , then use SAT-oracle to check if  $\varphi$  has at least  $2^k$  sat assign if so, output YES else output NO.

② If  $k \geq 6$

Pick  $h: \{0,1\}^n \rightarrow \{0,1\}^m$  where  $m = k-5$  from a pw. ind family  $\mathcal{H}$ .

$\Rightarrow$  output YES if there are at least 48 satisfying assign to  $\varphi \wedge h(a) = 0$ .

Proof of correctness:

Case 1:  $\#SAT(\varphi) \geq 2^{k+1}$

$$S = \{a \mid \varphi(a) = 1\} \quad |S| \geq 2^{k+1}$$

$$|S| > 2^{k+1} = 2^{m+6} = \frac{4 \cdot 2^m}{(\frac{1}{4})^2}$$

Now setting  $\epsilon = \frac{1}{4}$ .

$$\text{By LHL} \quad \Pr_h \left[ \left| \frac{|\{a \in S \mid h(a) = 0\}|}{2^m} - \frac{|S|}{2^m} \right| \leq \frac{1}{4} \cdot \frac{|S|}{2^m} \right] \geq \frac{3}{4}$$

$$\text{Hence, } \Pr_h \left[ |\{a \in S \mid h(a) = 0\}| \geq 48 \right] \geq \frac{3}{4}$$

④

Case  $\#SAT(\varphi) = |S| < 2^k$ .

$$S' \supseteq S, \quad |S'| = 2^k$$

$$\Pr_h[a\text{-comp YES}] = \Pr_h[|\{a \in S \mid h(a) = 0\}| \geq \epsilon 2^k]$$

$$\leq \Pr_h[|\{a \in S' \mid h(a) = 0\}| \geq \epsilon 2^k]$$

$$\leq \Pr_h[|\{a \in S' \mid h(a) = 0\}| - \frac{|S'|}{2^m} | > \frac{\epsilon |S'|}{2 \cdot 2^m}]$$

$$\leq \frac{1}{4}, \quad (\epsilon = \frac{1}{2})$$

Proof of Left Over Hash Lemma:

$$S = \{a_1, \dots, a_n\}$$

$$X_i = \begin{cases} 1 & \text{if } h(a_i) = 0 \\ 0 & \text{o.w.} \end{cases}$$

$$X = \sum X_i, \quad \mathbb{E}X_i = \frac{1}{2^m}, \quad \mathbb{E}X = \frac{n}{2^m}$$

$$\Pr_h[|X - \mathbb{E}X| \geq \epsilon \cdot \mathbb{E}X] \dots \text{qty to be computed.}$$

$$\text{Var}[X] = \sum_i \text{Var}[X_i] \quad (\text{pairwise ind.})$$

$$= n \cdot (\mathbb{E}X_i^2 - (\mathbb{E}X_i)^2)$$

$$\leq n \left( \frac{1}{2^m} - \left(\frac{1}{2^m}\right)^2 \right) \leq \frac{n}{2^m}$$

$$\text{Prob: } \Pr_h[|X - \mathbb{E}X| \geq \epsilon \mathbb{E}X] \leq \frac{\text{Var}[X]}{\epsilon^2 (\mathbb{E}[X])^2}$$

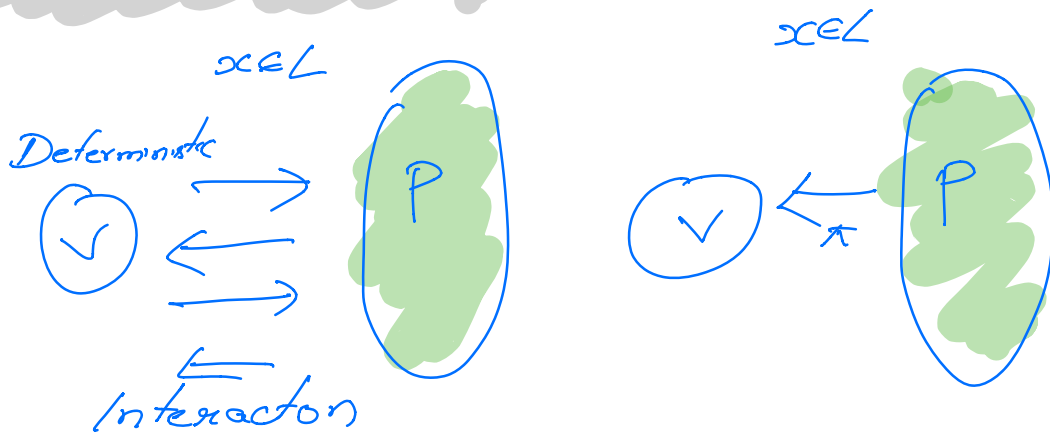
(6)

$$\leq \frac{\epsilon/2^m}{\epsilon^2 (\epsilon/2^m)^2} \leq \frac{2^m}{\epsilon^2 \cdot \epsilon}$$

$$\leq \frac{1}{4} \quad (\text{since } \epsilon \geq \frac{4 \cdot 2^m}{\epsilon^2})$$

◻

## Interactive Proofs:



Does interaction increase the power?

Not really, the prover can give the transcript

However, not true if verifier is randomized.

Example

Graph Non-Isomorphism

$$GNI = \{(G_1, G_2) \mid G_1 \neq G_2\}$$

$$GNI \in NP, \quad GNI \in coNP$$

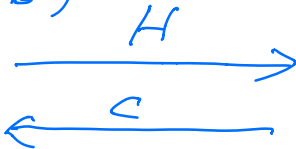
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$V \leftarrow (G_0, G_1) \leftarrow P$

1.  $b \in_R \{0,1\}$

2.  $\sigma \in_R \Sigma_n$ ;  $n = |V(G_1)|$   
 $= |V(G_0)|$

3.  $H = \sigma(G_b)$



Accept if  $b=c$

$G_1 \cong G_2, \forall \text{ provers}$   
 $P_n[\text{succeeds}] = \frac{1}{2}$ 

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 $G_1 \not\cong G_2, \exists \text{ provers}$   
 $P_n[\text{succeeds}] = 1$