

Today

Spectral Methods (Part VII)

- Expander Graphs

Applications

CSS.205.1

Toolkit in TCS

- Lecture #26

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Recall:

Spectral Expansion

A graph  $G = (V, E)$  on  $n$ -nodes =  $d$ -regular

is called a spectral expander w/  
spectral expansion  $\gamma = 1 - \omega$  if

$$\omega \geq \max\{\omega_2, |\omega_n|\}$$

where  $\omega_2$  - are the values of  
the  $\pi$ -walk matrix  
induced by  $G$

$\gamma$ -spectral gap ( $G$  is  $[n, d, \gamma]$ -expander)

Vertex Expander

An  $n$ -node  $d$ -regular graph  $G = (V, E)$  is  
a  $(k, A)$ -expander if  $\forall S \subseteq V,$

$$|S| \leq k \Rightarrow |N(S)| \geq A|S|.$$

$$N_x(S) = N(S) \cup S$$

Theorem [Spectral Expander  $\Rightarrow$  Vertex Expansion]

$G$  is  $(n, d, \epsilon)$ -spectral expander  
then for all  $\alpha \in (0, 1)$

$G$  is  $(\alpha n, \frac{1}{\omega^2(1-\alpha)+\alpha})$ -vertex expander

where  $\omega = 1 - \epsilon$ .

Cor:  $\omega < 1 \Rightarrow G$  is  $(\frac{n}{2}, 1+\delta)$ -expander  
for some  $\delta \in (0, 1)$ .

Qn:

Do expanders exist?

Can we construct them explicitly?

— (Random graphs are very good vertex expanders)

Thm:  $\forall$  constants  $d, \exists \alpha > 0$

random  $d$ -regular graph on  $n$ -nodes  
is a  $(\alpha n, d - 1.01)$ -vertex expander  
w.h.p

What about spectral expansion?

Thm [Friedman].  $\forall d$ , random  $d$ -regular graph on  $n$  nodes satisfies

$$\omega \leq \frac{2\sqrt{d-1}}{d} + o_n(1) \text{ w/ prob } 1 - o_n(1)$$

where  $o_n(1) \rightarrow 0$  as  $n \rightarrow \infty$ .

Can we do better than the above random construction?

YES, for instance a complete graph on  $d$  vertices w/ self loops

Thm [Alon-Boppana]

For any family of  $d$ -regular graphs

$$\omega(G) \geq \frac{2\sqrt{d-1}}{d} - o_n(1) \text{ where } o_n(1) \rightarrow 0 \text{ as } n \rightarrow \infty$$

Explicit Constructions:

- mildly explicit - poly( $|G|$ )

- fully explicit. - there is a polylog time algo that given  $i \in [n]$ ,  $a \in [d]$  outputs the  $a^{\text{th}}$  neighbour of  $i$

①  $m$ -positive integers

$$V = \mathbb{Z}/m\mathbb{Z} \times \mathbb{Z}/m\mathbb{Z}$$

$$(x, y) \rightarrow (x, y), (x+1, y), (x, y+1), (x, x+y), (y, x) \\ (x-1, y), (x, y-1), (x, y-x), (y-x)$$

Margulis

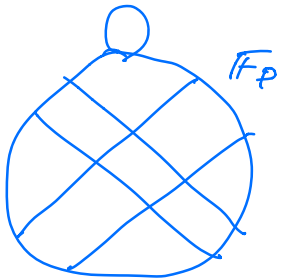
$G$  is  $(n^2, 9, r)$ -spectral expander  
for some  $r \in (0, 1)$

②  $V = \mathbb{Z}/p\mathbb{Z}$   $p$ -prime

3-regular.

$$x \mapsto x+1, x-1, 1/x$$

$$1/0 \rightarrow 0$$



$(p, 3, r)$ -spectral expander  
for some  $r \in (0, 1)$

- 3/6 - Selberg Theorem

③ Lubotzky - Philips - Sarnak [LPS]  
- Ramanujan graphs.

$p$ - prime

$$q = p^k$$

$$q \equiv 1 \pmod{4}$$

$$\exists i \in \mathbb{F}_q, i^2 \equiv -1 \pmod{q}$$

$$V = \mathbb{F}_q = \mathbb{F}_{p^k}$$

$$z \in \mathbb{F}_q \mapsto \frac{(a_0 + ia_1)z + (a_2 + ia_3)}{(-a_2 + ia_3)z + (a_0 - ia_1)}$$

for  $(a_0, a_1, a_2, a_3)$  satisfying

$$a_0^2 + a_1^2 + a_2^2 + a_3^2 = p$$

$a_0$  - odd, positive  
 $a_1, a_2, a_3$  - even.

deg of LPS-graph =  $p+1$

$$\omega(\text{LPS}) \leq \frac{2\sqrt{d-1}}{d} = \frac{2\sqrt{p}}{p+1}$$

Ramanujan graphs: Family of expanders  
 $d$ -regular s.t.  $\omega(G) \leq \frac{2\sqrt{d-1}}{d}$

## Application of Expanders

Derandomization

Randomized Algorithms. (RP)

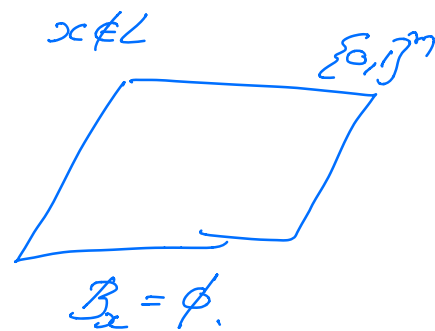
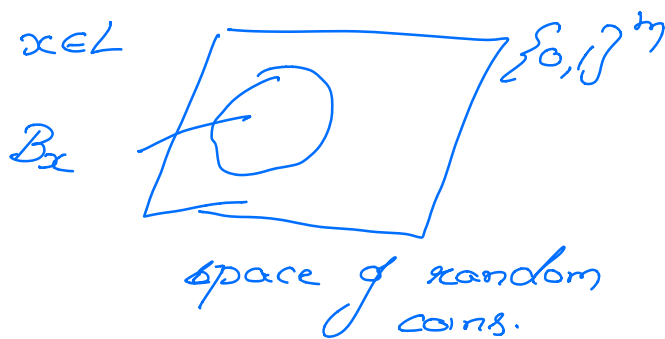
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$x \rightarrow \boxed{A} \rightarrow 0/1$

Prime / Composite:

$x$ -composite  $\Rightarrow \Pr[A(x, r) = \text{comp}] \geq \frac{1}{2}$

$x$ -prime  $\Rightarrow \Pr[A(x, r) = \text{prime}] = 1$



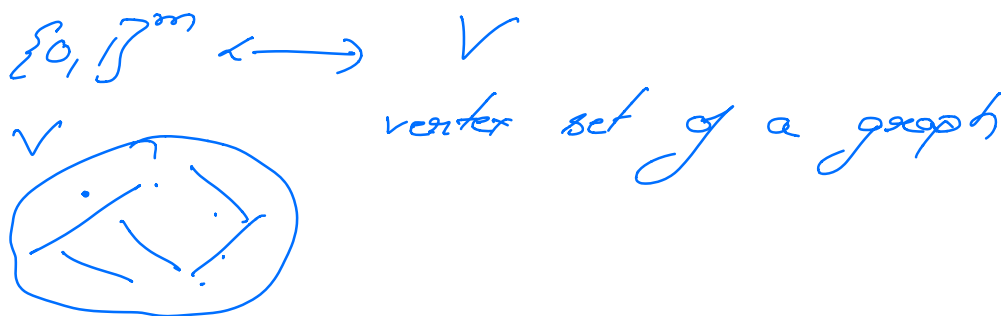
### Error Reduction

$\frac{1}{2} \rightarrow \delta$  by repeating independent runs of the Alg A  $O(\log(\frac{1}{\delta}))$  times.

$$A(x, r_1), \dots, A(x, r_k), \quad k = O(\log \frac{1}{\delta})$$

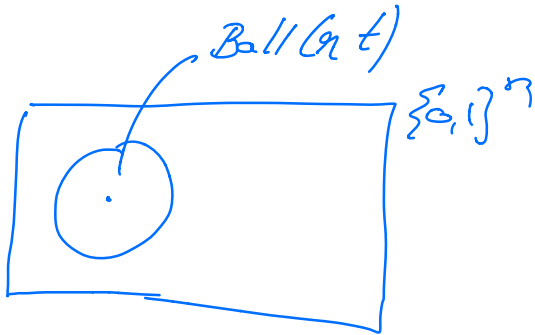
$\frac{1}{2} \mapsto \delta$ .  
 # random coins =  $O(\log(\frac{1}{\delta})) \cdot m = km$

Qn: How many additional random coins are needed to reduce error from  $\frac{1}{2}$  to  $\delta$ ?



Impose an  $d$ -regular expander  $G$  on  $V$  s.t.  $G$  is  $(\frac{n}{2}, A)$ -vertex expander for some  $A > 1$ , (independent of  $n$ ).

$n = \{0,1\}^m$



1. Pick a random vertex  $x \in \{0,1\}^m$
  2. Choose all vertices  $x_1, \dots, x_k$  within distance  $t$  of  $x$
  3. Run  $A$  for all  $x' \in \text{Ball}(x, t)$
- 2 accept if any of them accept.

Remarks:

If  $t = O(\log n)$   
 $k = \text{poly}(n)$   
 $\rightarrow$  alg is polytime alg.

Error of algorithm.

$$x \notin L \Rightarrow \Pr_x [A' = \text{correct}] = 1$$

$$x \in L \Rightarrow \Pr_x [A' \text{ is wrong}] = \Pr_x [\text{Ball}(x, t) \subseteq B_x]$$



$$\text{Ball}(x, t) = N_+^{(t)}(x) \geq N^{(t)}(x)$$

$$S_t = \{x\} \quad \left. \begin{array}{l} |N(S_t)| \geq A \cdot t \\ |N(N(S_t))| \geq A^2 \\ \dots \\ |N^{(t)}(S_t)| \geq A^t \end{array} \right\}$$

$$\text{Ball}(x, t) \supseteq N^{(t)}(S)$$

$$2^m \geq |\text{Ball}(x, t)| \geq |N^{(t)}(S)| \geq A^t$$

$$\text{Let } \text{BAD}(x) = \{x \mid B(x, t) \subseteq B_x\}$$

$$\begin{aligned} P_x [A\text{-wrong}] &= P_x [x \in \text{BAD}(x)] \\ &= \frac{|\text{BAD}(x)|}{2^m} = p \end{aligned}$$

$$\begin{aligned} 2^{m-t} &\geq |N^{(t)}(\text{BAD}(x))| \geq A^t \cdot |\text{BAD}(x)| \\ &\geq A^t \cdot p \cdot 2^m \end{aligned}$$

$$\begin{aligned} p &\leq \frac{1}{2A^t} & t &= O(\log n) \\ &= \frac{1}{\text{poly}(n)} \end{aligned}$$

$$\text{Thm. } t = O(\log n): P_x [\text{BALL}(x, t) \subseteq B_x]$$

$$\leq \frac{1}{\text{poly}(n)}.$$

[Karp-Pippenger-Spencer]



Next lecture

$$\frac{1}{2} \mapsto \delta \quad ; \quad \# \text{random coins} \\ \exp(-k) \quad \quad \quad = m \cdot O\left(\log \frac{1}{\delta}\right) \text{ [Name]}$$

Use expanders (spectral)  $\Theta(mk)$

$$\# \text{random coins} \\ = m + O\left(\log \frac{1}{\delta}\right) \\ = m + O(k)$$