

Today

- Recap Elias-Bassalygo Bound
- Johnson Radius
- Reed-Muller Code.

CSS.318.1

Coding Theory

Lecture 8 (2022-9-21)

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Recall from a few lectures ago

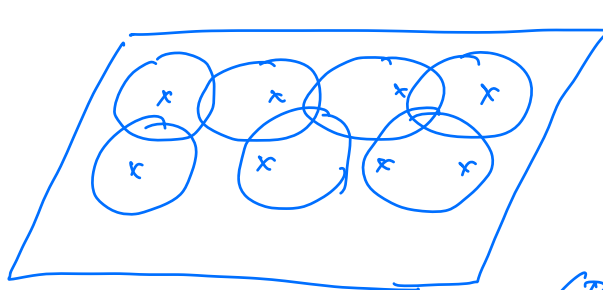
Elias-Bassalygo Bound:

$\forall \delta \in (0, \frac{1}{2})$  if  $C$  is  $[n, Rn, \delta n]_2$ -code then

$$R + h_2(\delta) \leq 1 + o(1).$$

$$\text{where } h_2(\delta) = \frac{1}{2} \log \frac{1}{1-2\delta}$$

(Strengthening of the Hamming/Packing Bd.)



$\{0,1\}^n$

$\forall x,$

$$|\text{Ball}(x, \frac{\delta n}{2}) \cap C| \leq 1$$

$$(\text{Ball}(x, r) = \{y \in \{0,1\}^n \mid \Delta(x,y) < r\})$$

Suppose  $C \subseteq \{0,1\}^n$  satisfied the following

$$\forall x, |\text{Ball}(x, r_n) \cap C| \leq L \quad \dots (*)$$

(\*)  $\Rightarrow$  Balls of radius  $r_n$  around codewords have a max overlap of  $L$  at any pt.

$$\sum_{c \in \mathcal{C}} |\text{Ball}(c, \tau n)| \leq L \cdot 2^n$$

$$2^k \cdot 2^{H_2(c)n} \leq 2^{n + \log_2 L}$$

$$\text{i.e., } R + H_2(c) \leq 1 + \frac{\log_2 L}{n} \quad \Bigg| \quad \begin{array}{l} \text{As long as } L = 2^{o(n)} \\ \text{it hardly affects} \\ \text{the bound} \end{array}$$

List-decoding: Given  $x$ , find all the codewords in  $\text{Ball}(x, \tau n)$ .

$$\text{Johnson Radius: } J_2(\delta) = \frac{1}{2}(1 - \sqrt{1 - 2\delta}) > \delta/2$$

Given any  $\delta \in (0, 1/2)$ , a  $\mathcal{C} \subseteq \{0, 1\}^n$  code w/ distance  $\delta$ , then for  $\tau = J_2(\delta)$

$$\forall x \in \{0, 1\}^n, |\text{Ball}(x, \tau n) \cap \mathcal{C}| \leq n+1$$

Proof: Similar to that of Plotkin Bd (using the Geometric Lemma).

Suppose  $\exists x \in \{0, 1\}^n \ni c_1, \dots, c_m \in \mathcal{C}$   
s.t.  $d(c_i, x) < \tau n \quad \forall i$

Hamming  $\mapsto$  Euclid.

$b \mapsto (1)^b$

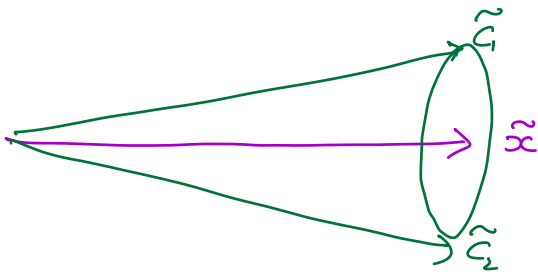
$x, c_1, \dots, c_m \mapsto \tilde{x}, \tilde{c}_1, \dots, \tilde{c}_m \in \{\pm 1\}^n$

What do we know

$$\langle \tilde{C}_i, \tilde{C}_j \rangle \leq n(1-2\epsilon) \quad , \quad \forall i \neq j$$

$$\langle \tilde{C}_i, \tilde{C}_i \rangle = n$$

$$\langle \tilde{C}_i, \tilde{x} \rangle > n(1-2\epsilon) \quad , \quad \forall i$$



Can we find an  $\alpha \in \mathbb{R}$

$$v_i = \tilde{C}_i - \alpha \tilde{x}$$

st

$$\forall i \neq j \quad \langle v_i, v_j \rangle < 0$$

then can conclude

$$m \leq n+1$$

$i \neq j$

$$\begin{aligned} \langle v_i, v_j \rangle &= \langle \tilde{C}_i - \alpha \tilde{x}, \tilde{C}_j - \alpha \tilde{x} \rangle \\ &= \langle \tilde{C}_i, \tilde{C}_j \rangle - \alpha (\langle \tilde{x}, \tilde{C}_i \rangle + \langle \tilde{x}, \tilde{C}_j \rangle) + \alpha^2 \langle \tilde{x}, \tilde{x} \rangle \\ &< n[(1-2\epsilon) - 2\alpha(1-2\epsilon) + \alpha^2] \\ &= n[(\alpha - (1-2\epsilon))^2 + (1-2\epsilon) - (1-2\epsilon)^2] \end{aligned}$$

$$\text{Set } \alpha = 1-2\epsilon \quad \text{st} \quad (1-2\epsilon) < (\alpha - (1-2\epsilon))^2$$

$$\text{ie } \epsilon < \frac{1}{2} (1 - \sqrt{1-2\epsilon})$$



## Reed-Muller Code:

RS: polynomial evaluation

message  $\longrightarrow$  codeword  
 coeffs of univariate poly  $\longrightarrow$  eval of poly on some set

Reed-Muller: Generalization to multivariate polynomials.

$m$ -variate  $x_1, x_2, \dots, x_m$ ;  $\mathbb{F} = \mathbb{F}_q$  ( $q = p^b$ )

$\mathbb{F}[x_1, x_2, \dots, x_m]$  - ring of polynomials

$\mathbb{F}_{\leq \kappa}[x_1, x_2, \dots, x_m]$  - vector space of  $m$ -variate poly of total degree  $\leq \kappa$ .

$$p(x_1, \dots, x_m) = \sum_{\substack{e_1, \dots, e_m \\ \sum e_i \leq \kappa \\ 0 \leq e_i \leq q-1}} p_{e_1, \dots, e_m} x_1^{e_1} x_2^{e_2} \dots x_m^{e_m}$$

( $\kappa < m(q-1)$ )

Evaluation Set =  $\mathbb{F}_q^m$  (the entire space)  
(most of the time)

$$RM_q(m, \kappa) = \left\{ \text{Eval}(p(x_1, \dots, x_m)) \Big|_{\mathbb{F}_q^m} \mid p \in \mathbb{F}_{\leq \kappa}[x_1, \dots, x_m] \right\}$$

$\mathbb{F}_q$ -linear

$$\text{dimension}_{\mathbb{F}_q(m, \kappa)} = \left| \left\{ (e_1, \dots, e_m) \in \mathbb{Z}_{\geq 0}^m \mid 0 \leq e_i \leq q-1, \sum e_i \leq \kappa \right\} \right|$$

Two Settings: (1) low-degree  $\kappa < q$

(2) Binary Setting  $q=2$ .

(1) Low-degree setting ( $q < q$ )

$$\sum e_i \leq \kappa \quad \text{implies} \quad 0 \leq e_i \leq q-1$$

$$K_q(m, \kappa) = \binom{m+\kappa}{\kappa}$$

Distance of code:

Lemma (SZ).  $p \in \mathbb{F}_q[x_1 \dots x_m]$ ;  $q < q$ .  $p \neq 0$

$$P_a [p(a) \neq 0] \geq 1 - \frac{\kappa}{q}$$

Generalization of degree marbica  
 $m$  univariate case)

Proof does not require eval set to be  $\mathbb{F}_q^m$

Any product set  $S^m$  will suffice

$$1 - \frac{\kappa}{|S|}$$

(2) Binary Setting :  $q=2$

$$K_2(m, \kappa) = |\{ (e_1 \dots e_m) \in \mathbb{Z}_{\geq 0}^m \mid e_i \in \{0,1\}, \sum e_i \leq \kappa \}|$$

$$= \sum_{i=0}^{\kappa} \binom{m}{i} = \binom{m}{\leq \kappa}$$

Lemma (SZ).  $p \in \mathbb{F}_2[x_1 \dots x_m]$ ;  $\mathbb{F} = \mathbb{F}_2$ ,  $p \neq 0$

$$P_a [p(a) \neq 0] \geq \frac{1}{2^{\kappa}} \quad (\text{tight case } \prod_{i=1}^{\kappa} x_i)$$

Common Generalization to the above 2 SZ Lemmas

Lemma (SZ)  $p \in \mathbb{F}_q[x_1, \dots, x_m]$ ;  $p \neq 0$

$$x = a(q-1) + b \quad \text{where } 0 \leq b < q-1$$

$$P_a[p(a) \neq 0] \geq \frac{1}{q^a} \left(1 - \frac{b}{q}\right)$$

right:  $p(x_1, \dots, x_m) = \prod_{j=1}^b (x_{a+1} - d_j) \left( \prod_{c=1}^a \prod_{\alpha \neq 0} (x_c - \alpha) \right)$

$$P_a[p(a) \neq 0] = \frac{1}{q^a} \left(1 - \frac{b}{q}\right)$$

Dual of RM code:

We know  $\sum_{\alpha \in \mathbb{F}_q} \alpha^i = \begin{cases} 0 & \text{if } 0 \leq i < q-1 \\ -1 & \text{if } i = q-1 \end{cases}$

$$\sum_{(\alpha_1, \dots, \alpha_m) \in \mathbb{F}_q^m} \alpha_1^{e_1} \alpha_2^{e_2} \dots \alpha_m^{e_m} = \prod_{c=1}^m \left( \sum_{\alpha \in \mathbb{F}_q} \alpha^{e_c} \right)$$

$$= 0 \quad \text{if } (e_1, \dots, e_m) \neq (q-1, q-1, \dots, q-1)$$

$f(x_1^{e_1}, \dots, x_m^{e_m})$   $g(x_1^{f_1}, \dots, x_m^{f_m})$

$$\sum e_i + \sum f_i < m(q-1)$$

$$\sum_{\vec{\alpha} \in \mathbb{F}_q^m} f(\vec{\alpha}) \cdot g(\vec{\alpha}) = 0$$

$$RM_q(m, \kappa)^{\perp} \cong RM_q(m, m(q-1) - \kappa - 1)$$

Matching dimensions

$$RM_q(m, \kappa)^{\perp} = RM_q(m, m(q-1) - \kappa - 1).$$

### Special Cases of $RM_q(m, \kappa)$

①  $q=2, \kappa=1, m$ -arbitrary

$$RM_2(m, 1) \quad [2^m, m+1, 2^{m-1}]_2\text{-code.}$$

#### Hadamard Code

$$H_{2^m} = \begin{bmatrix} 1 & & & \\ & \ddots & & \\ & & \ddots & \\ & & & \ddots \\ & & & & \ddots \\ & & & & & \ddots \\ & & & & & & \ddots \\ & & & & & & & \ddots \\ & & & & & & & & \ddots \\ & & & & & & & & & \ddots \\ & & & & & & & & & & \ddots \\ & & & & & & & & & & & \ddots \end{bmatrix}$$

$$H \cdot H^T = \mathbf{I} \cdot 2^m$$

Drop Eval at  $\bar{0}^m$

$$[2^m - 1, m+1, 2^{m-1}]_2\text{-code.}$$

(Simplex code)

What is the dual of  $RM_2(m, 1)$

$$= RM_2(m, m-2)$$

Drop the eval at origin  $\leftarrow [2^m, 2^{m-m-1}, 4]_2\text{-code.}$

Hamming Code.  $[2^m-1, 2^m-m-1, 3]_2$ -code