## Algebraic Circuit Complexity

## **Problem Set 3**

Due date: November 12<sup>th</sup>, 2017

## INSTRUCTIONS

- The problem set has 6 questions with a total score of 100 points.
- You are expected to work independently.
- Solutions are expected as a LATEX document.
- The deadline is 12th November 2017. You can also submit answers to some (or all) of the questions **any time after the deadline** (a little before the course ends, of course; they need to be graded) for **half the credit**.

This is to encourage you to solve all the question in these problem sets, even if it is past the deadline.

## QUESTIONS

**Question 1. (10 points)** Let  $f(\mathbf{x}) \in \mathbb{Q}[\mathbf{x}]$  is an *n*-variate degree *d* polynomial. Suppose you are told that dim  $\{\partial^{=*}(f)\} \leq r$  (the dimension of partial derivatives of all orders). Prove that for any partition  $\mathbf{x} = \mathbf{y} \sqcup \mathbf{z}$ , the partial derivative matrix with respect to this partition has rank at most  $\operatorname{poly}(n, d, r)$ .

What can you say about the converse?

**Question 2.** (10 points) Suppose you are given two sparse *n*-variate polynomials  $f(\mathbf{x})$  and  $g(\mathbf{x})$  and you are promised the individual degree of f and g with respect to each variable is at most 42. Construct a deterministic polynomial time algorithm to check if f and g have a non-trivial gcd.

**Question 3.** (10 points) *Say we have an* algebraic formula (*possibly non-homogeneous*) *of size s computing a homogeneous n-variate degree d polynomial*  $f(\mathbf{x})$ . *Show that*  $f(\mathbf{x})$  *can also be computed by a* homogeneous algebraic formula *of size at most* 

poly 
$$\left(s, \begin{pmatrix} d+\log s \\ d \end{pmatrix}\right)$$
.

Conclude that the polynomial  $\text{ESYM}_d(\mathbf{x})$  for  $d = O(\log n)$  has a polynomialsized homogeneous algebraic formula computing it.

**Question 4.** (10 points) Suppose you are given a blackbox that computes an *n*-variate degree  $\leq d$  polynomial  $f(x_1, \ldots, x_n) \in \mathbb{Q}[\mathbf{x}]$ . You are told that this polynomial has at most s monomials.

Using just poly(s,d,n) evaluations, reconstruct the polynomial  $f(x_1,...,x_n)$  (*i.e. figure out each non-zero monomial of f and its coefficient*).

**Question 5. (20 points)** In class, we looked briefly at Kayal's lower bound for  $\Sigma \bigwedge \Sigma \Pi^{[t]}$  circuits computing a monomial  $x_1 \dots x_n$ . For this, we worked with the dimension of shifted partial derivatives,

$$\Gamma_{k,\ell}(f) := \dim \left\{ \mathbf{x}^{=\ell} \partial^{=k}(f) \right\}$$

and proved in class that for any  $k, \ell$  we have:

$$\begin{split} \Gamma_{k,\ell}(Q^d) &\leq \binom{n+\ell+(t-1)k}{n} \quad , \quad \text{if } \deg(Q) = t, \\ \Gamma_{k,\ell}(x_1^{e_1} \dots x_n^{e_n}) &\geq \binom{n}{k} \binom{n-k+\ell}{n-k} \quad , \quad \text{if } e_1, \dots, e_n \geq 1. \end{split}$$

1. (10 points) Prove that if  $\ell = tn$ , there we can choose  $k = \epsilon n/t$  for suitably small constant  $\epsilon > 0$  such that

$$\frac{\binom{n}{k}\binom{n-k+\ell}{n-k}}{\binom{n+\ell+(t-1)k}{n}} = 2^{\Omega(n/t)}$$

You might want to use the fact that  $(n-b)^{a+b} \leq \frac{(n+a)!}{(n-b)!} \leq (n+a)^{a+b}$  for any  $a, b \geq 0$ .

2. (10 points) Formally prove that if  $0 \neq f = Q_1^d + \cdots + Q_s^d$  with deg  $Q_i = t$  for all *i*, then there must be some non-zero monomial of *f* of support-size at most  $O(t \log s)$ .

**Question 6. (40 points)** In this problem, we'll extend the previous problem from  $\Sigma \wedge \Sigma \Pi^{[t]}$  circuits to circuits of the form

$$C = \sum_{i=1}^{s} m_i \cdot Q_i^d$$

Prior to this result, it was conjectured that ESYM<sub>d</sub> cannot have polynomialsized homogeneous formulas for any non-constant d. where each  $m_i$  is a monomial, and  $\deg(Q_i) = t$ . These are also referred to as  $\Sigma m \wedge \Sigma \Pi^{[t]}$  circuits.

For any  $i \in [n]$ , define the operator  $\Delta_i : \mathbb{F}[\mathbf{x}] \to \mathbb{F}[\mathbf{x}]$  as  $\Delta_i(f) = x_i \cdot \frac{\partial f}{\partial x_i}$ . We shall use  $\Delta^{=k}$  to refer to the span of k-th order operators using these  $\Delta_i$ 's. That is,

$$\Delta^{=k}(f) = \operatorname{span}\left\{\Delta_{i_1} \circ \cdots \circ \Delta_{i_k}(f) : i_1, \dots, i_k \in [n]\right\}$$

For parameters k,  $\ell$ , define  $\tilde{\Gamma}_{k,\ell}(f) = \dim \left\{ \mathbf{x}^{=\ell} \Delta^{=k}(f) \right\}$ .

1. (15 points) Suppose Q is a homogeneous polynomial of degree t, and m is an arbitrary monomial. Show that for any  $d \ge 0$ ,

$$\tilde{\Gamma}_{k,\ell}(m \cdot Q^d) \le \binom{n+\ell+kt}{n}.$$

Also show that if  $f = (x_1 + 1)^{e_1} \cdots (x_n + 1)^{e_n}$  where  $e_1, \ldots, e_n \ge 1$ , then

$$\tilde{\Gamma}_{k,\ell}(f) \ge \binom{n}{k} \binom{n-k+\ell}{n-k}.$$

- (5 points) Construct an explicit hitting set of size poly(n, d, s)<sup>O(t log s)</sup> for the class of Σm ∧ ΣΠ<sup>[t]</sup> circuits.
- 3. (20 points) Show that the task of checking if a given degree t polynomial  $Q(\mathbf{x})$  divides a given sparse polynomial  $f(\mathbf{x})$  reduces to PIT of circuits as above.

Formally, suppose you are given a polynomial  $f(\mathbf{x})$  that has at most s monomials, and a polynomial  $Q(\mathbf{x})$  that has degree at most t. Construct a circuit C of the form  $\Sigma m \wedge \Sigma \Pi^{[t]}$ , in polynomial time, such that  $C \equiv 0$  if and only if Q divides f.

(Hint: Strassen)