

## Computational Complexity: Lecture 11.

Recap:  $\Sigma_i^P$ ,  $\Pi_i^P$

- defn via "quantified verifier"
- defn via oracle TMs.
- defn via Alternating TMs.
- Alternating TMs:
  - ▷ Thm:  $\text{NSPACE}(s(n)) \subseteq \text{ATIME}(s(n)^2) \subseteq \text{DSPACE}(s(n)^2)$
  - ▷ Thm:  $\text{ASPACE}(s(n)) = \text{DTIME}(2^{O(s(n))})$ .
- Hierarchy theorems:  
TC  $f(n+1) = o(g(n)) \Rightarrow \Sigma_i\text{-TIME}(f(n)) \subsetneq \Sigma_i\text{-TIME}(g(n))$ .
- "No complementary speed-up"  
 $\Sigma_i\text{-TIME}(f(n)) \not\subseteq \Pi_i\text{-TIME}(o(f(n)))$   
for any  $i \geq 1$  and TC  $f(n)$ .

Agenda: - Time-space trade offs. for SAT ( $\text{NTIME}(n)$ ).  
- Introduction to Boolean circuits.

### Some annoying open questions

- ▷ Is  $\text{SAT} \in L$ ? We believe "no"
- ▷ Does SAT have an  $O(n)$  time algorithm? We believe "No".

[Forknow] We aren't wrong on both.

Thm: If  $\text{SAT} \in L$ , then there is an  $\epsilon > 0$  s.t.  
 $\text{SAT} \notin \text{TIME}(n^{1+\epsilon})$ .

A different kind of trade off:

Qn: Is  $SAT \in TISP(n, n^{o(1)})$ .  
↳ time      ↳ space?

[Fortnow, Fortnow-Lipton-vanMelkebeek-Viglas, Williams, Diehl-van Melkebeek].

Thm: [Williams]  $NTIME(n) \not\subseteq TISP(n^{1.8...}, n^{o(1)})$ .

We can't quite get there but we will try and get close to it.

Thm:  $NTIME(n) \not\subseteq TISP(n^\epsilon, n^{o(1)})$   
(we'll try and keep improving  $\epsilon$ ).

key ingredients:

▷ "No complementary speed-up".

$$\Sigma_k\text{-TIME}(f(n)) \not\subseteq \Pi_k\text{-TIME}(o(f(n)))$$

▷ "Alternation elimination"

▷ "Alternation trading".

Lemma: [Alternation elimination]. If  $NTIME(n) \subseteq TIME(n^c)$

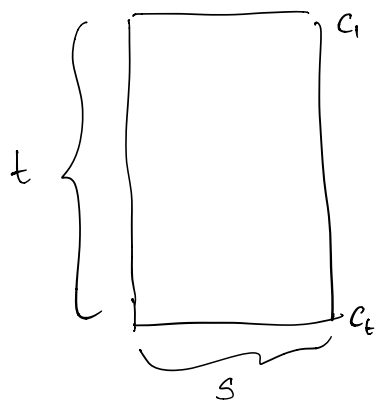
then  $\Pi_2\text{-TIME}(n) \subseteq \Pi_1\text{-TIME}(n^c)$ .

Pf:  $\forall \dots \forall \exists \dots \exists$  deterministic  $\rightsquigarrow \forall \dots \forall$   $TIME(n^c)$   
 $\Sigma_1\text{-TIME}(n)$   $\Pi_1\text{-TIME}(n^c)$ .  $\square$

Lemma: (Alternation trading)

$$\text{TISP}(t(n), s(n)) \subseteq \Sigma_2 \text{ or } \Pi_2 - \text{TIME}(\sqrt{t(n)s(n)})$$

Pf:



$$\triangleright \exists C_r, C_{2r}, \dots, C_{\frac{t}{r} \cdot r}$$

$$\triangleright \forall j \in \{1, 2, \dots, t/r\}$$

$$\triangleright C_{(j-1)r} \rightsquigarrow C_{j,r} \text{ in } r \text{ steps.}$$

$$\text{Total time: } O\left(\frac{t}{r} \cdot s\right) + O(\log(t/r)) + O(r).$$

Optimised at  $r = \sqrt{ts}$  to give total time  $O(\sqrt{ts})$ .  $\square$ .

Let's now prove the time space trade off:

$$\text{AFSDC } \Sigma_1\text{-TIME}(n) \subseteq \text{TISP}(n^c, n^{o(1)})$$

$$\Pi_2\text{-TIME}(n) \stackrel{\text{Alt, elim}}{\subseteq} \Pi_1\text{-TIME}(n^c) \quad \cap \text{ (Assumption + padding)}$$

$$\Sigma_2\text{-TIME}(n^{c/2 + o(1)}) \supseteq \text{TISP}(n^{c^2}, n^{o(1)})$$

And will yield a contradiction if  $c^2 < 1$  i.e.  $c < \sqrt{2}$ .

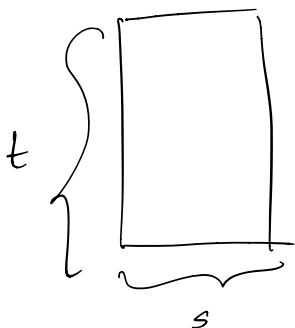
$\therefore \text{NTIME}(n) \not\subseteq \text{TISP}(n^c, n^{o(1)})$  for any  $c < \sqrt{2}$ .  $\square$ .

Suppose  $c > \sqrt{2}$ , what can we say?

"New lemma":  $\Pi_2\text{-TIME}(n) \subseteq \text{TISP}(n^{c^2}, n^{o(1)})$

"Better alt. elimination":  $\Sigma_3\text{-TIME}(n) \subseteq \Sigma\text{-TISP}(n^{c^2}, n^{o(1)})$   
 $\subseteq \Sigma \Sigma \Pi\text{-TIME}(n^{c^2/2 + o(1)})$

Revisiting alternation trading:



$$\exists C_{r, s}, C_{2r, s} \dots \rightarrow C_{t/2, r}$$

$$\forall j \in [t/2].$$

$$C_{(j-1)r, s} \xrightarrow{r} C_{j, s} \} \text{TISP}(r, s)$$

$$\therefore \exists \forall \forall \underbrace{\exists\text{-det}}_{\Pi_2\text{-TIME}(\sqrt{rs})}$$

$$\text{Overall, } O\left(\frac{ts}{r} + \sqrt{rs}\right) \rightarrow O(t^{1/3} s^{2/3})$$

$$\therefore \text{TISP}(t, s) \subseteq \Sigma_3\text{-TIME}(t^{1/3} s^{2/3})$$

$$\subseteq \Sigma_k\text{-TIME}(t^{1/k} s^{k-1/k}).$$

Back to time-space trade offs.

$$\text{AFSOC } \exists_1\text{-TIME}(n) \subseteq \text{TISP}(n^c, n^{o(1)})$$

$$\Sigma_3\text{-TIME}(n) \subseteq \Sigma_2\text{-TIME}(n^{c^2/2 + o(1)})$$

$$\subseteq \text{TISP}(n^{c^2/2 \cdot c^2 + o(1)}, n^{o(1)})$$

$$\subseteq \Pi_3\text{-TIME}(n^{c^4/6 + o(1)}).$$

∴ We get a contradiction if  $c^4 < 6$   
 ie  $c < \sqrt[4]{6} \approx 1.565\dots$

$$\hookrightarrow 2^{1/4} \cdot 3^{1/4}$$

If  $c \geq \sqrt[4]{6}$ , we get a "new facts".

$$\Sigma_3\text{-TIME}(n) \subseteq \text{TISP}(n^{c^{1/2} + o(1)}, n^{o(1)})$$

$$\Sigma_4\text{-TIME}(n) \subseteq \Sigma_3\text{-TIME}(n^{c^{1/6} + o(1)})$$

$$\begin{aligned} \circ \circ \Sigma_4\text{-TIME}(n) &\subseteq \Sigma_3\text{-TIME}(n^{c^{1/6} + o(1)}) \\ &\subseteq \text{TISP}(n^{c^{1/6} \cdot c^{1/2} + o(1)}, n^{o(1)}) \\ &\subseteq \Pi_4\text{-TIME}(n^{c^{8/12 \times 4} + o(1)}) \end{aligned}$$

We get a contradiction if  $c^8 < 48$  or  $c \approx 1.62$

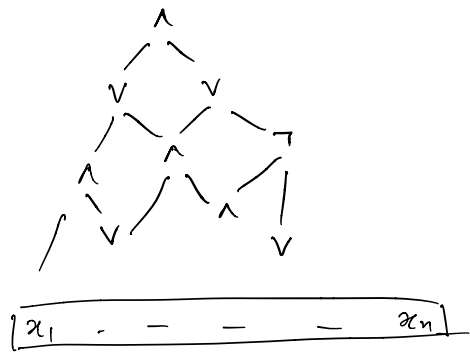
or we get "new facts" ... and so on!

Eventually limits to  $2^{1/4} 3^{1/8} 4^{1/6} 5^{1/32} \dots \approx 1.6617\dots$

[William] : What is the best constant that can be obtained  
 via this "assumption + all-elimination + all-trading + no comp. speedup"  
 showed  $\text{NTIME}(n) \not\subseteq \text{TISP}(n^c, n^{o(1)})$   
 for all  $c < 2 \cos(\pi/7) \approx 1.8\dots$

## New topic: Boolean circuits

What is a circuit:?



A DAG made up of  $\wedge, \vee, \neg$   
with leaves labelled  $x_1, \dots, x_n$ .

Output computes  $f: \{0,1\}^n \rightarrow \{0,1\}$ .

Qn: Does this boolean circuit  
solve, say, SAT?

Huh? We only have length  $n$   
for input!

Defn: (Circuit family)  $\mathcal{C} = \{C_i\}_{i=1..n}$  is a circuit family  
if  $C_i$  has  $i$ -inputs.

We say  $\mathcal{C}$  is a family of size  $S(n)$  if  
 $|C_i| \leq S(i) \quad \forall i$ .

We say  $\mathcal{C}$  "computes"  $f: \{0,1\}^* \rightarrow \{0,1\}$  if  
 $\forall x \in \{0,1\}^* \quad |x|=i \Rightarrow f(x) = C_i(x)$ .

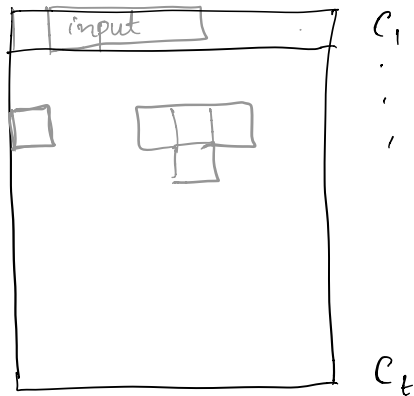
Fact: Every function  $f: \{0,1\}^n \rightarrow \{0,1\}$  can be computed by  
circuits of size  $O(n \cdot 2^n)$ . (In fact,  $O(2^n/n)$  is  
enough.)

P/poly = class of languages that can be decided by  
a poly-size circuit family.

=  $\bigcup_{c \geq 0} \underbrace{\text{SIZE}(n^c)}_{\rightarrow \text{languages dec. by } \dots}$

Thm:  $P \subseteq P/poly.$

Pf: Very similar to Cook-Levin.



Each local computation can be "encoded" by a constant sized circuit.

Composing all gives a circuit of size  $O(T(n)^2)$ .

□.

Claim:  $L$  is any unary language ie  $L \subseteq \{1^n : n \in \mathbb{N}\}$ .

Then,  $L \in P/poly.$

Pf: 
$$C_i = \begin{cases} \text{AND}(x_1, \dots, x_i) & \text{if } 1^i \in L \\ 0 & \text{if } 1^i \notin L \end{cases}$$

is clearly a circuit family deciding this language.

□.

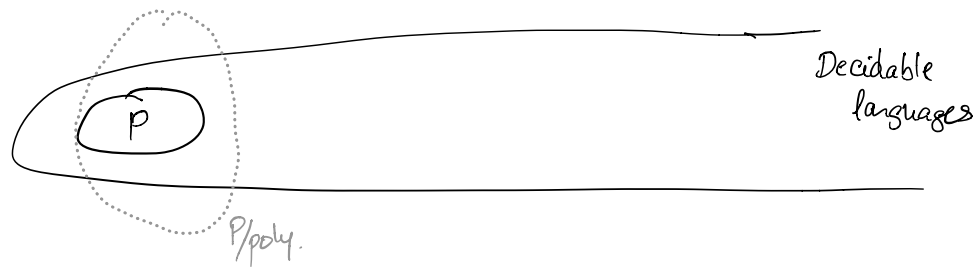
Cor:  $P/poly$  contains languages that are undecidable!

Pf: 
$$\text{UHALT} = \{1^n : \text{the } n^{\text{th}} \text{ machine halts}\}.$$

is unary

is undecidable.

□



Qn: Is  $NP \subseteq P/poly$ ? Probably no... but what if?

Thm: [Karp-Lipton] If  $NP \subseteq P/poly$ , then  $PH = \Sigma_2$ .

Next class:   
▷ Pf of the Karp-Lipton theorem + extensions   
▷ More on circuits & TMs with advice.