

Computational Complexity - Lecture 19.

Agenda: Toda's Theorem.

Recap: - $\#P = \{f: \Sigma^* \rightarrow \mathbb{N} : f(x) = \# \text{acc. witnesses for } M \text{ on } x\}$.
- $\#SAT$, Perm are $\#P$ -complete.

Some arithmetic with $\#SAT$.

Say $\#SAT(\varphi) = m$ & $\#SAT(\varphi') = m'$.

▷ Can we build a formula with $m \cdot m'$ sat. assignments.

$$\text{"}\varphi \times \varphi'\text{"} = \varphi(x) \wedge \varphi'(y)$$

▷ What about a formula with $m + m'$ assignments?

$$\text{"}\varphi + \varphi'\text{"} = [(z=0) \wedge \varphi(x)] \vee [(z=1) \wedge \varphi'(x)]$$

▷ What about $m + c$ for a constant c ?

$$\text{"}\varphi + c\text{"} = [(z=0) \wedge \varphi(x)] \vee [(z=1) \wedge (x < c)]$$

How powerful is $\#P$?

$$\#P = FP \Rightarrow PH = P.$$

A finer question: What can you do in $P^{\#P}$?

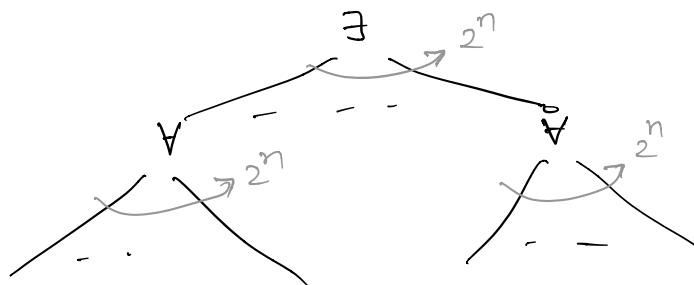
(Recall: $P = NP \Rightarrow PH = P$ but $P^{NP} \subseteq \Sigma_2^P$ and not PH).

Thm [Toda]: $PH \subseteq P^{\#P}$

In fact, only one query is made to the $\#P$ oracle.

↳ Agenda for this class

$\exists x. \forall y. \phi(x,y).$

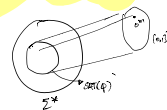


Valiant-Vazirani Lemma:

There is a randomised algo that, on input 1^n , returns a formula $\tau(x_1, \dots, x_n)$ such that for every $\phi(x_1, \dots, x_n)$

$$\phi \in \text{SAT} \Rightarrow \Pr[\#\text{SAT}(\phi \wedge \tau) = 1] \geq 1/8n$$

$$\phi \in \overline{\text{SAT}} \Rightarrow \Pr[\#\text{SAT}(\phi \wedge \tau) = 0] = 1.$$



(τ doesn't care about what ϕ was: $\tau(x) = (h(x) = 0)$)

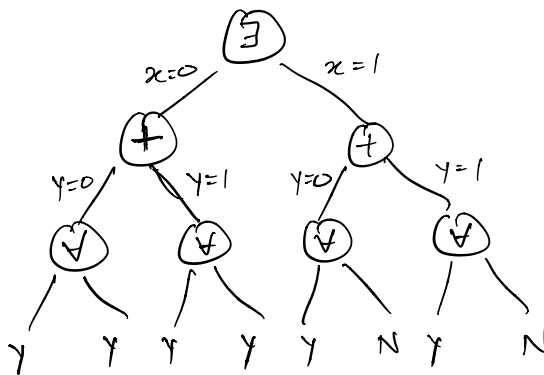
Issue: $1/8n$ is way too small a probability... can we amplify it somehow?

Idea: Move from none vs one to even vs odd!

$\oplus x: \phi(x) = \begin{cases} \text{True} & \text{if } \phi(x) \text{ is true for odd number of } x\text{'s} \\ \text{False} & \text{if } \phi(x) \text{ is true for even number of } x\text{'s} \end{cases}$

$\exists x. \oplus y. \forall z. \phi(x,y,z).$

Rem: $\neg \oplus z: \phi(z) = \oplus z: \phi(z)+1$



Amplifying \forall 's

$$\exists \gamma : \varphi(\gamma) \Rightarrow \Pr_A \left[\bigoplus \gamma : \varphi \wedge \tau \text{ is true} \right] \geq 1/8n$$

$$\neg \exists \gamma : \varphi(\gamma) \Rightarrow \Pr_A \left[\bigoplus \gamma : \varphi \wedge \tau \text{ is true} \right] = 0.$$

$$\varphi \wedge \tau^{(1)}, \varphi \wedge \tau^{(2)}, \dots, \varphi \wedge \tau^{(k)}$$

$$\text{Amp-}W(\varphi, \tau^{(1)}, \dots, \tau^{(k)}) = \bigvee_{i=1}^k \left(\bigoplus (\varphi \wedge \tau^{(i)}) \right)$$

$$= \bigoplus z : \left((\varphi \wedge \tau^{(1)}) + 1 \right) \dots \left((\varphi \wedge \tau^{(k)}) + 1 \right) + 1$$

$$= \bigoplus z : \Gamma(z).$$

$$\circ \circ \quad \varphi \text{ satisfiable} \Rightarrow \Pr_z \left[\bigoplus z : \Gamma(z) = 1 \right] \geq 1 - (1 - 1/8n)^k \geq 1 - \frac{1}{2^t}$$

$$\varphi \text{-unsatisfiable} \Rightarrow \Pr_z \left[\bigoplus z : \Gamma(z) = 1 \right] = 0.$$

set $k = 8nt$
 \uparrow
 can make as small as we want

Step 1 of Toda's thm:

$$\Sigma_k \text{-SAT} \xrightarrow{\text{BPP}} \bigoplus \text{SAT}.$$

It will be useful to instead prove a stronger claim.

Lemma: (Relativised Toda-Step 1) There is a randomised algorithm that takes a quantified formula $\Phi(x) = \exists y^{(1)} \forall y^{(2)} \dots Q y^{(c)} \varphi(x, y)$, and returns a formula $\Gamma(x, z)$ s.t. $\Phi(x) \equiv \bigoplus z : \Gamma(x, z)$ w.h.p.

That is,

$$\Pr_A \left[\forall a \in \{0, 1\}^n : \Phi(a) = 1 \Leftrightarrow \bigoplus z : \Gamma(a, z) = 1 \right] \geq 1 - 1/2^t.$$

can make as small as we want -

Pf: Induction on # quantifier alternations.

Base case: $i=1$. $\Phi(x) = \exists y: \varphi(x, y)$.

$$\text{let } \Gamma(x, z) = \text{Amp-}\mathcal{W}(\varphi(x, y), \tau^{(1)}, \dots, \tau^{(k)}) \\ = "(\varphi(x, y) \wedge \tau^{(1)}(y) + 1) \dots (\varphi(x, y) \wedge \tau^{(k)}(y) + 1) + 1"$$

For any $a \in \{0, 1\}^n$, we know that

$$\mathbb{P}_0 \left[\exists y: \varphi(a, y) \not\equiv \bigoplus z: \Gamma(a, z) = 1 \right] \leq \frac{1}{2} t$$

$$\Rightarrow \mathbb{P}_0 \left[\text{For some } a \in \{0, 1\}^n \quad \exists y: \varphi(a, y) \not\equiv \bigoplus z: \Gamma(a, z) = 1 \right] \leq \frac{1}{2} t n.$$

$$\therefore \mathbb{P}_0 \left[\Phi(x) = \exists y: \varphi(x, y) \equiv \bigoplus z: \Gamma(x, z) \right] \geq 1 - \frac{1}{2} t$$

Inductive step:

Say $\Phi(x) = \exists w: \varphi(x, w)$

where φ is a formula with $c-1$ alternations.

By induction, we have some $\alpha(x, w, z)$ such that

$$\varphi(x, w) \equiv \underbrace{\bigoplus z: \alpha(x, w, z)}_{\beta(x, w)}. \quad \text{with prob } \geq 1 - \frac{1}{2} t_1. \\ (t_1 \text{ can be chosen by us}).$$

Consider $\Phi'(x) = \exists w: \beta(x, w)$.

Once again, using \mathcal{W} , we have that if

$$\exists w: \beta(x, w) \stackrel{\text{w.h.p.}}{\equiv} \bigvee_{i=1}^{k_2} \left[\bigoplus w: \beta(x, w) \wedge \tau^{(i)}(w) \right]$$

$$\text{RHS} = \bigvee_{i=1}^{k_2} \left[\bigoplus w. \bigoplus z: \alpha(x, w, z) \wedge \tau^{(i)}(w) \right]$$

$$= \bigvee_{i \geq 1}^{k_2} \left[\bigoplus_{\omega, z} \alpha(x, \omega, z) \wedge \tau^{(i)}(\omega) \right]$$

$$= \bigoplus_{\tilde{\omega}, \tilde{z}} \left(\alpha(x, \omega, z) \wedge \tau^{(i)}(\omega) + 1 \right) \dots \left(\alpha(x, \omega, z) \wedge \tau^{(k_2)}(\omega) + 1 \right) + 1$$

$$\circ \circ \quad \Phi(x) = \exists \omega : \varphi(x, \omega) \stackrel{\text{w.h.p.}}{\equiv} \exists \omega : \beta(x, \omega)$$

||| w.h.p

$$\bigoplus_{\tilde{\omega}, \tilde{z}} \Gamma(x, \tilde{\omega}, \tilde{z}) \quad \square$$

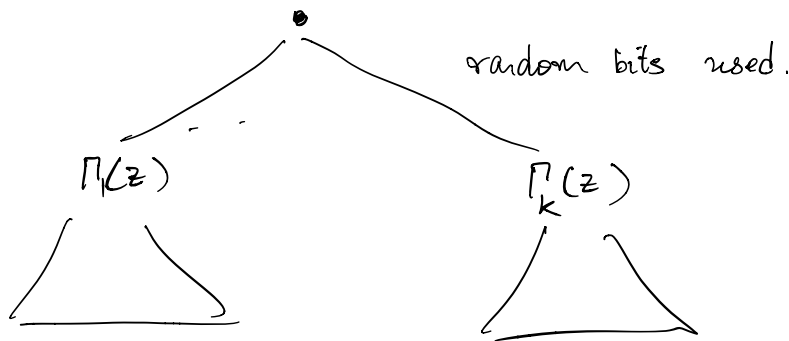
A super succinct version of writing this: (Disclaimer: I hate this...)

$$\exists. \text{BP} \cdot \bigoplus \text{P} \subseteq \text{BP} \cdot \bigoplus \text{P}$$

NP \subseteq BP \cdot \bigoplus P
 NP^{NP} \subseteq BP \cdot \bigoplus P^{NP} \subseteq BP \cdot \bigoplus P^{BP} = BP \cdot \bigoplus P
 (ugh!)

Step 2 of Toda's Thm:

$$\Phi = \exists x \forall y \exists z \dots \varphi(x, y, z, \dots) \xrightarrow{\text{w.h.p.}} \bigoplus z : \Gamma(z)$$



Odd vs even is too razor-thin... can we amplify this gap?

Lemma: (Modulus Amplification) There is a deterministic polytime algo $A(\mathbb{1}^m, \varphi)$ that transforms φ to Ψ s.t

$$\begin{aligned} \textcircled{+} x: \varphi(x) = 1 &\Rightarrow \#SAT(\varphi) = -1 \pmod{2^m} \\ \textcircled{+} x: \varphi(x) = 0 &\Rightarrow \#SAT(\varphi) = 0 \pmod{2^m}. \end{aligned}$$

Let's finish the pf of Toda's thm from this.

Say $\Phi = \exists x \forall y \exists z \dots \varphi(x, y, z, \dots)$ is the quantified formula with $O(1)$ -alternations.

Step 1 can be thought of as the output of a det algo $A(\Phi, r_1, \dots, r_m)$ where r_1, \dots, r_m are the random bits.

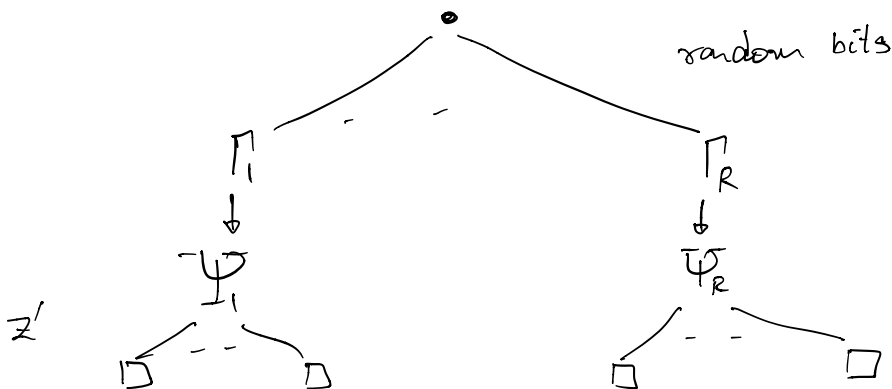
Consider the following machine :

- ▷ Guess r_1, \dots, r_m
- ▷ Compute $\Gamma(z) = A(\Phi, r_1, \dots, r_m)$.
- ▷ Apply modulus amp. to $\Gamma(z)$ to get $\Psi(z')$ such that

$$\textcircled{+} z: \Gamma(z) = 1 \Rightarrow \#SAT(\Psi(z)) = -1 \pmod{2^m}$$

$$\textcircled{+} z: \Gamma(z) = 0 \Rightarrow \#SAT(\Psi(z)) = 0 \pmod{2^m}$$

- ▷ Guess z' and accept if $\Psi(z') = 1$.



If Φ was false, then $\bigoplus z. \Pi_i(z) = 0$ for all i .

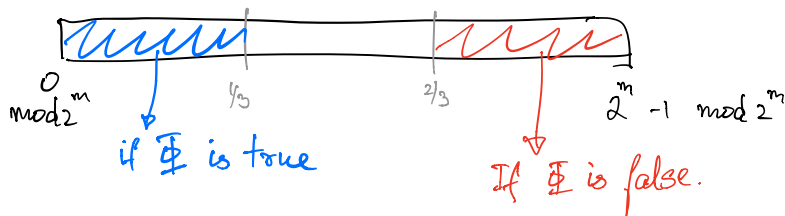
$\Rightarrow \#SAT(\Psi_i(z')) = 0 \pmod{2^m}$ for all i

$\Rightarrow \# \text{acc. runs of } M = 0 \pmod{2^m}$.

If Φ is true, then $\bigoplus z: \Pi_i(z) = -1 \pmod{2^m}$ for $\geq 2/3$ of i 's.
 $\bigoplus z: \Pi_i(z) = 0 \pmod{2^m}$ for $\leq 1/3$ of i 's.

If Φ is false, then $\bigoplus z: \Pi_i(z) = -1 \pmod{2^m}$ for $\leq 1/3$ of i 's.
 $\bigoplus z: \Pi_i(z) = 0 \pmod{2^m}$ for $\geq 2/3$ of i 's.

$\therefore \# \text{acc. paths of } M \pmod{2^m}$ is



The $\#P$ algo:

▷ Build the above machine M .

▷ Ask the $\#P$ oracle the number of acc. paths of M . (Or, conv. M to a formula using Cook-Levin and ask $\#P$ oracle for $\#SAT$).

▷ Compute the residue modulo 2^m .

If residue is "small" return True
 Else return False.

□.

How do you amplify modulus?

$$\begin{aligned} a &\rightsquigarrow f(a) \\ 0 \bmod k &\rightsquigarrow 0 \bmod k^2 \\ -1 \bmod k &\rightsquigarrow -1 \bmod k^2. \end{aligned}$$

What about a^3 ? $a = -1 + rk \Rightarrow a^3 = -1 + 3rk \bmod k^2$

$$\begin{aligned} a^3(a^3+2) &= (3rk-1)(3rk+1) \bmod k^2 \\ &= -1 \bmod k^2. \end{aligned}$$

$$f(\varphi) = \varphi^6 + 2\varphi^3.$$

How large is $f(\varphi)$? $\leq 20|\varphi|$.

∴ To go from $\varphi \equiv -1 \bmod 2$ \rightsquigarrow $\varphi \equiv -1 \bmod 2^m$,
size becomes $\text{poly}(m) \cdot |\varphi|$. □.