

Today

- Arthur-Merlin Proof Systems
- GI - NP-complete?
- Public coins vs Private Coins

CSS.203.1

Computational Complexity

- Lecture # 25
- Instructor: (17 May 21)
- Prabhatkumar Harsha

Public Coins Interactive Proofs

Arthur-Merlin Proofs

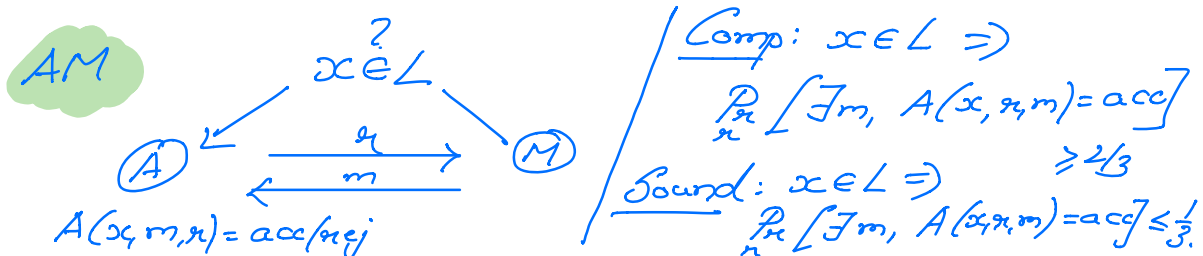
$AM[k(n)] = \{L \mid L \text{ has a public coins IP protocol w/ at most } k(n) \text{ rounds}\}$

$MA[k(n)]$ - Merlin (i.e. prover) starts the protocol.

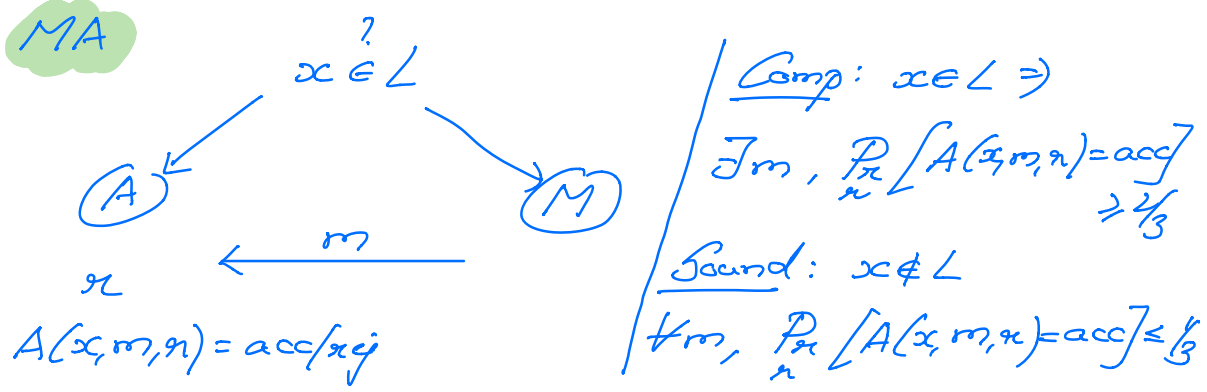
$AM = AM[1]$; $MA = MA[1]$

AM, MA - public coins interactive proofs w/ 1 round

(Difference - who speaks first).



MA



MA - exactly like NP, except that the det verifier is replaced by a randomized verifier.

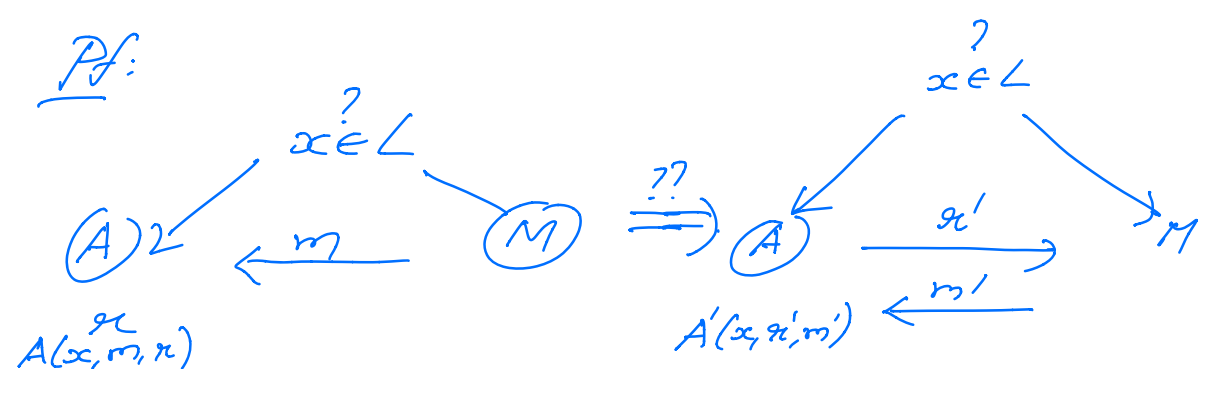
Recall $BPP \subseteq \Sigma_2^P \cap \Pi_2^P$ R quantifier
 \downarrow
 $\exists \forall, \forall \exists$

$MA \subseteq EFA = \Sigma_2^P$
 $AM \subseteq FEA \subseteq \Pi_2^P$

MA vs AM.

Proposition: $MA \subseteq AM$

Pf:

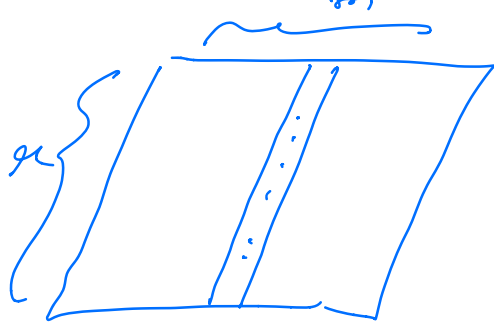


Comp: $x \in L \Rightarrow$

$$\exists m \quad \Pr_n [A(x, m, r) = \text{acc}] \geq \frac{2}{3}$$

Bound: $x \notin L \Rightarrow$

$$\forall m; \Pr_n [A(x, m, r) = \text{acc}] \leq \frac{1}{3}$$



Amplify

$$\exists m, \Pr_n [A(x, m, r) = \text{acc}] \geq 1 - \frac{1}{2^{l+2}}$$

where $m \in \{0, 1\}^l$

$$\forall m, \Pr_n [A(x, m, r) = \text{acc}] \leq \frac{1}{2^{l+1}}$$

$$\begin{aligned} \text{C: } x \in L \Rightarrow \exists m \quad \Pr_n [A(x, m, r) = \text{acc}] &\geq 1 - \frac{1}{2^{l+2}} \\ \Rightarrow \Pr_n [\exists m, A(x, m, r) = \text{acc}] &\geq 1 - \frac{1}{2^{l+2}} \end{aligned}$$

$$\text{B: } x \notin L \Rightarrow \forall m, \Pr_n [A(x, m, r) = \text{acc}] \leq \frac{1}{2^{l+2}}$$

$$\Pr_n [\forall m, A(x, m, r) = \text{acc}]$$

$$= 1 - \Pr_n [\exists m, A(x, m, r) \neq \text{acc}]$$

$$\leq 1 - \sum_m \Pr_n [A(x, m, r) \neq \text{acc}]$$

$$\leq 1 - \sum_m \frac{1}{2^{l+1}} \leq 1 - 2^l \cdot \frac{1}{2^{l+2}} = \frac{1}{2}$$

— Hence, $MA \subseteq AM$

Extend the same proof, for any constant k

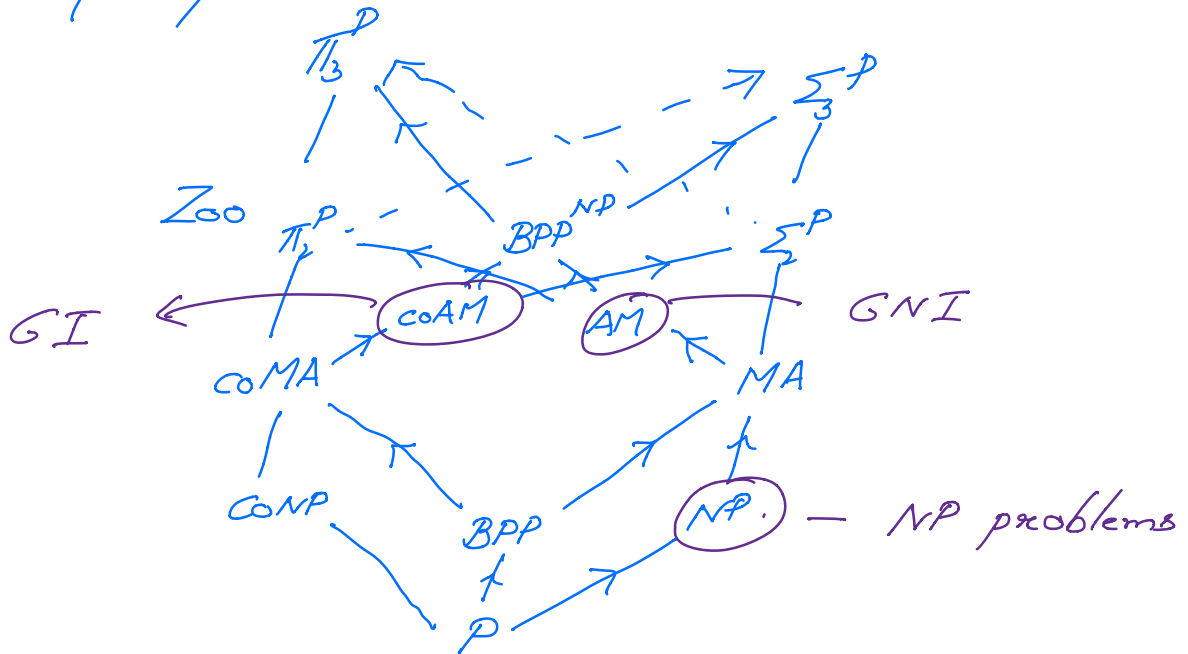
$$AM[k] \subseteq AM[1] = AM$$

Properties of AM proof systems

- (1) $PSPACE \subseteq AM[\text{poly}]$ (last lecture)
- (2) $IP[k(n)] \subseteq AM[k(n)+1]$ (later today)
private coins public
- (3) \forall constants k ,
 $AM[k] \subseteq AM[1] = AM$ (part 5)
- (4) $AM[k(n)]$ - has perfect completeness (part 6)

\rightarrow Cor. $ONI \in IP[1] \stackrel{(2)}{\subseteq} AM[2] \stackrel{(3)}{\subseteq} AM$

Complexity Zoo



Thm. If $NP \subseteq coAM$ (or equivalently $coNP \subseteq AM$) then $PH = AM \subseteq \Pi_2^P$

Pf. $\Sigma_2^P = \exists x \forall y \varphi(x,y)$ } MAM protocol for Σ_2^P
 where $\varphi(x,y)$ is a coNP statement $\hookrightarrow AM$

Any $L \in \Sigma_2^P \Rightarrow L \in MAM \subseteq AM$
 $coNP \subseteq AM \Rightarrow \Sigma_2^P \subseteq MAM \subseteq AM \subseteq \Pi_2^P$ (Item 3)

\neg If GI is NP-complete
 GNI - coNP complete

$$GNI \in IP[1] \subseteq AM[2] \subseteq AM[t] = AM.$$

Hence, $coNP \subseteq AM$

PH collapses to AM

Cor: GI is NP-complete, then $PH = \Sigma_1^P$
(in fact to AM)

(3 different proofs of corollary
all using IP)

① - above, ② - Arora-Barak ③ - part 5.

Recently

[Babai] GI has a quasi-poly time
algorithm.

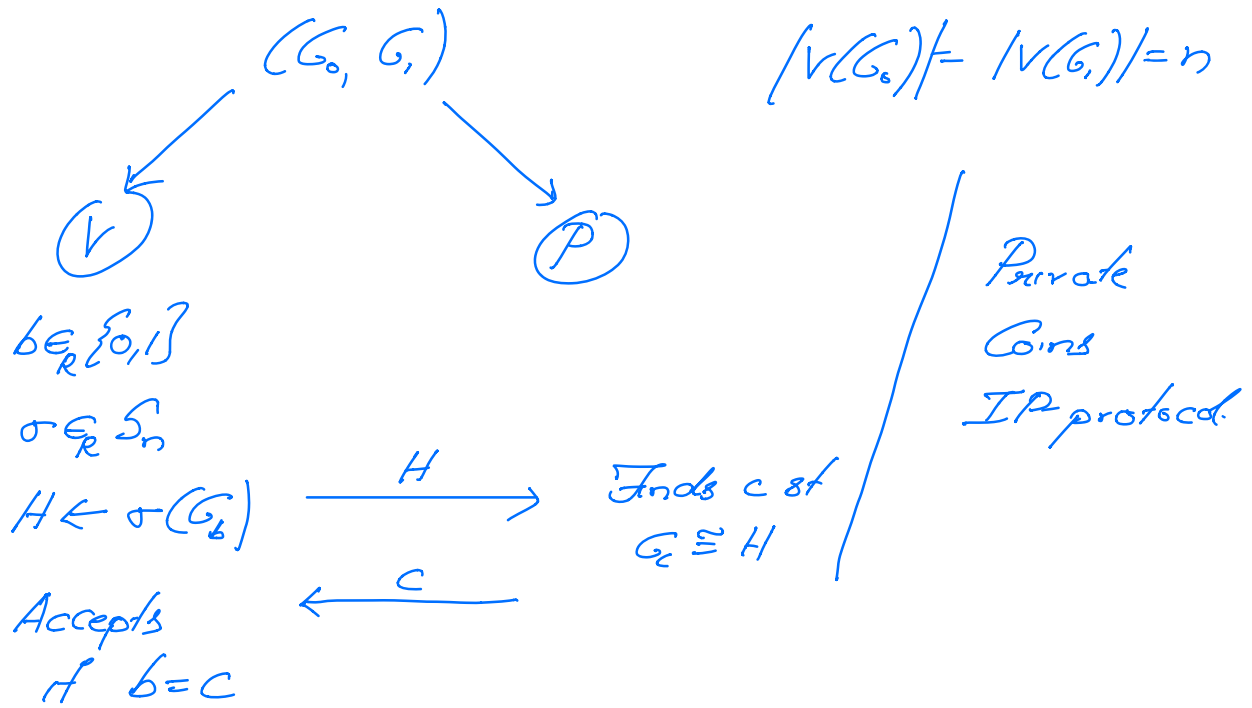
Rest of lecture

Goldwasser-Sipser: Public Coins = Private Coins

Thm [GS] $IP[k] \subseteq AM[k+1]$

Special case: $GNI \in AM[2]$ protocol.

Recall private coins protocol for GNI



$$S = \{H \mid H \cong G_0, \text{ or } H \cong G_1\}$$

- set of messages sent by V to P in first message.

For starters, let us assume both G_0 & G_1 do not have any automorphisms (relabeling of G is identical to relabeled graph)

$$G_0 \not\cong G_1 \Rightarrow |S| = 2(n!)$$

$$G_0 \cong G_1 \Rightarrow |S| = n!$$

Remove the assumption, redefine

$$S = \{(H, \pi) \mid \exists b \in \{0,1\}, H \cong G_b \\ \wedge \pi \in S_n, \pi(H) = H\}$$

$$\left. \begin{array}{l} G_0 \not\cong G_1 \Rightarrow |S| = 2(n!) \\ G_0 \cong G_1 \Rightarrow |S| = n! \end{array} \right\}$$

Remarks:

① Size of S is different in YES
 \wedge NO cases

(in particular, large YES
 small NO

\wedge a gap between the
 2 cases)

② Membership in S can be checked
 w/ a proof

$$(H, \pi) \in S \Rightarrow \underbrace{b, \sigma}_{\text{NP-proof}} \text{ s.t. } \sigma(G_b) = H \\ \pi(H) = H$$

$U =$ Graphs on n -vertices $\times S_n$ (set of permutations)
 $= \{0,1\}^{n^2} \times \{0,1\}^{n \log n}$



$$\begin{array}{l} \underline{\text{YES}}: |S| \geq k \\ \underline{\text{NO}}: |S| \leq k/2. \end{array} \quad \left| \quad k = 2n! \right.$$

" $(H, \pi) \in S$ " has an NP-proof.

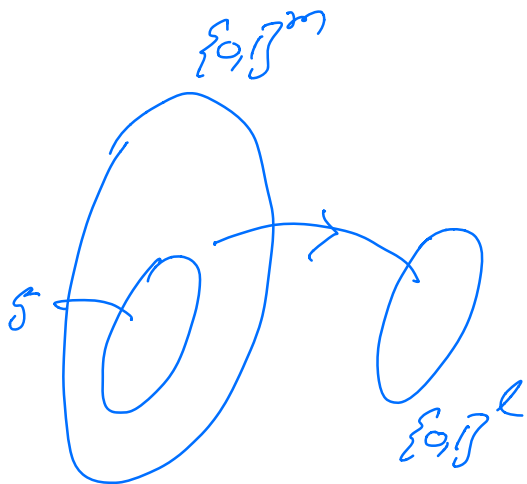
Prover wants to convince via a public coins protocol that S is large.

Set Lower Bound Protocol

Input: m ; $U = \{0,1\}^m$, $K \in [1, 2^m]$

$S \subseteq \{0,1\}^m$ - specified implicitly via an NP-oracle

Goal: Distinguish. $\begin{cases} |S| \geq K \\ \text{or} \\ |S| \leq K/2. \end{cases}$



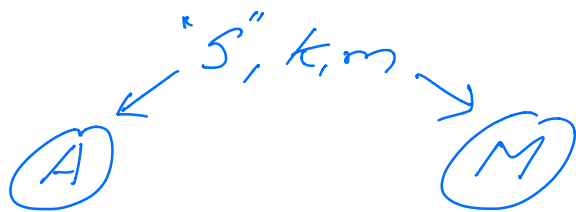
Idea: Pairwise independent hash fns.

Find l s.t.
 $2^{l-2} < K \leq 2^{l-1}$

$h: \{0,1\}^m \rightarrow \{0,1\}^l$

Key Observation: If S is large, most elts in $\{0,1\}^l$ have a preimage in S

② If S is small, most elts do not have a pre-image in S .



\mathcal{H} = pairwise
ind family
of hash fns
 $\{0,1\}^m \rightarrow \{0,1\}^l$

$h \in \mathcal{H}$
 $y \in \{0,1\}^l$

$\xrightarrow{h, y}$

Verifier
acc if $\leftarrow s, \text{proof}(s \in S)$

- ① $h(s) = y$
 - ② $\text{proof}(s \in S)$
- Accept

Soundness: $|S| \leq k/2 \Rightarrow \Pr_{h,y} [\exists s \in S, h(s) = y] \leq 1/4$

Pf: For every $h: \{0,1\}^m \rightarrow \{0,1\}^l$

$$\Pr_y [\exists s \in S, h(s) = y] \leq \sum_{s \in S} \Pr_y [h(s) = y]$$

$$= \sum_{s \in S} \frac{1}{2^l} \leq \frac{k/2}{2^l} \leq \frac{k}{2^{l+1}}$$

= ~~1/4~~

Completeness: $|S| \geq k \Rightarrow \Pr_{h,y} [\exists s, h(s) = y] \geq \dots$

Pf: $\exists x, y \in \{0,1\}^L$; $\exists x, s^* \in S$
 s.t. $s^* = k$

$$\Pr_h [\exists s \in S, h(s) = y] \geq \Pr_h [\exists s \in S^*, h(s) = y]$$

$$\geq \sum_{s \in S^*} \Pr_h [h(s) = y] - \sum_{\substack{s \in S \\ s \neq s^*}} \Pr_h [h(s) = y]$$

$$= \frac{|S^*|}{2^L} - \binom{|S^*|}{2} \frac{1}{2^{L-1}} \quad (\text{pairwise ind.})$$

$$\geq \frac{|S^*|}{2^L} \left(1 - \frac{|S^*| - 1}{2 \cdot 2^{L-1}}\right) \quad (|S^*| \geq k \geq 2^{L-2})$$

$$\geq \frac{|S^*|}{2^L} \left(1 - \frac{|S^*|}{2 \cdot 2^{L-1}}\right)$$

$$\geq \frac{3}{4} \frac{|S^*|}{2^L} \geq \frac{3}{4} \frac{k}{2^L}$$

YES: $\Pr[\text{acc}] \geq \frac{3}{4} \cdot \frac{k}{2^L}$

NO: $\Pr[\text{acc}] \leq \frac{1}{2} \cdot \frac{k}{2^L}$

$\overline{CNI} \in IP[1] \subseteq AM[1]$

Next: Zero-knowledge proof systems \square