

# The Log-Approximate-Rank Conjecture is False

Arkadev Chattopadhyay<sup>1</sup>   Nikhil Mande<sup>2</sup>   **Suhail Sherif**<sup>1</sup>

<sup>1</sup>Tata Institute of Fundamental Research, Mumbai

<sup>2</sup>Georgetown University

June 24, 2019

# Communication Complexity

- ▶ How much do parties need to communicate in order to complete a task?

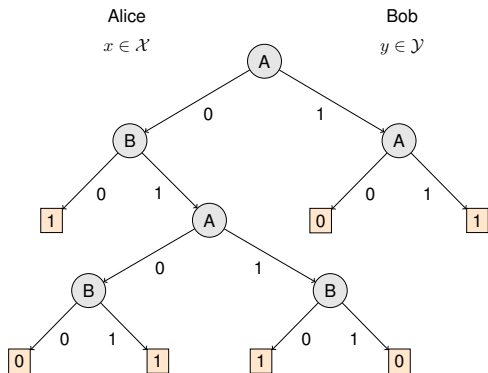
# Communication Complexity

- ▶ How much do parties need to communicate in order to complete a task?
- ▶ Pops up everywhere. Streaming algorithms, extension polytopes, data structures and more.

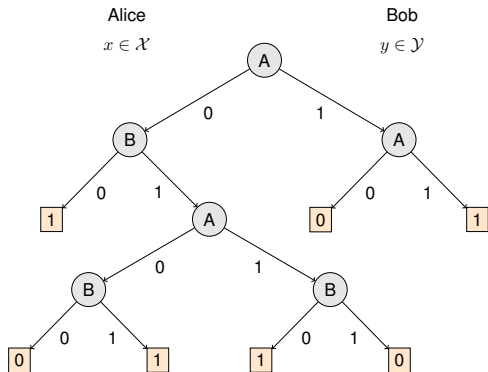
# Communication Complexity

- ▶ How much do parties need to communicate in order to complete a task?
- ▶ Pops up everywhere. Streaming algorithms, extension polytopes, data structures and more.
- ▶ In this talk, we focus on two parties (Alice and Bob) computing a Boolean function.

# A Communication Protocol

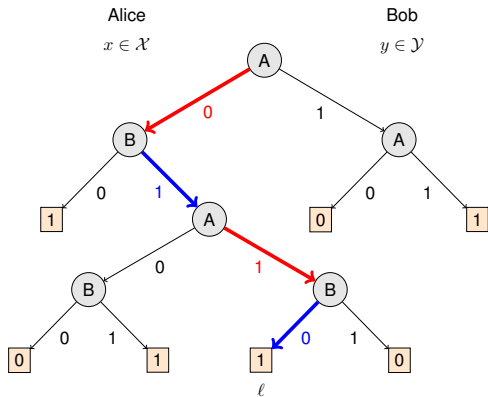


# A Communication Protocol



$(x, y)$  is accepted  
 $\Leftrightarrow$   
 $(x, y)$  reaches a 1-leaf.

# A Communication Protocol



$(x, y)$  is accepted

$\Leftrightarrow$

$(x, y)$  reaches a 1-leaf.

---

Inputs that reach  $\ell$

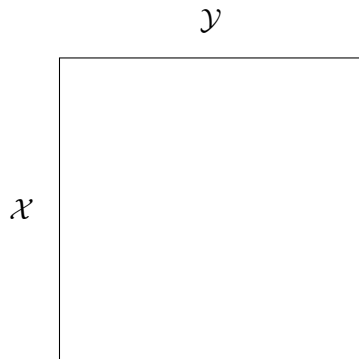
=

$\{x : x \text{ answers red}\}$

$\times$

$\{y : y \text{ answers blue}\}.$

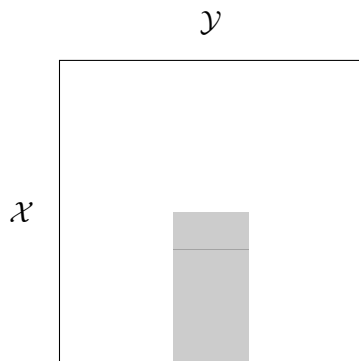
# Rank



Building the truth table for the function computed by the protocol.

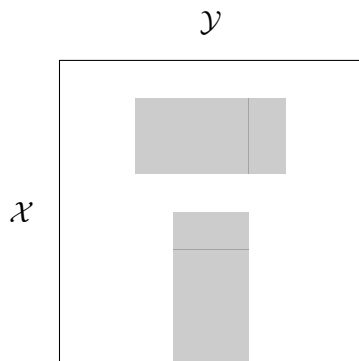


# Rank



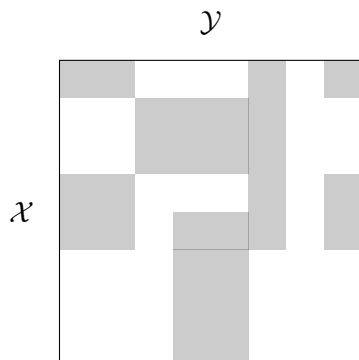
Inputs that reach leaf  $\ell$  contribute a rank 1 matrix.

# Rank



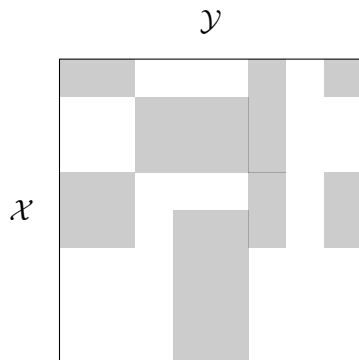
Inputs that reach leaves  $l_1$  or  $l_2$  form a rank  $\leq 2$  matrix.

# Rank



Inputs that reach any 1 leaf form a rank  $\leq 2^c$  matrix.

# Rank



Cost  $c$  protocol for  $F$

$\implies$

$M_F$  has rank  $\leq 2^c$ .

# Protocol-Rank Equivalence?

Conjecture (Lovász Saks '88)

$$\exists \text{ constant } \alpha \text{ s.t. } D(F) \leq \log^\alpha \text{rank}(F)$$

# Protocol-Rank Equivalence?

Conjecture (Lovász Saks '88)

$$\exists \text{ constant } \alpha \text{ s.t. } D(F) \leq \log^\alpha \text{rank}(F)$$

- ▶ Connects comm comp measure with algebraic measure.

# Protocol-Rank Equivalence?

## Conjecture (Lovász Saks '88)

$$\exists \text{ constant } \alpha \text{ s.t. } D(F) \leq \log^\alpha \text{rank}(F)$$

- ▶ Connects comm comp measure with algebraic measure.  
Known analogous connections have been useful.

# Protocol-Rank Equivalence?

## Conjecture (Lovász Saks '88)

$$\exists \text{ constant } \alpha \text{ s.t. } D(F) \leq \log^\alpha \text{rank}(F)$$

- ▶ Connects comm comp measure with algebraic measure. Known analogous connections have been useful.
- ▶ Has connections to graph colouring, low degree polynomials.



# Protocol-Rank Equivalence?

## Conjecture (Lovász Saks '88)

$$\exists \text{ constant } \alpha \text{ s.t. } D(F) \leq \log^\alpha \text{rank}(F)$$

- ▶ Connects comm comp measure with algebraic measure. Known analogous connections have been useful.
- ▶ Has connections to graph colouring, low degree polynomials.

**For:** [Lovett '13] showed that  $D(F) \lesssim O\left(\sqrt{\text{rank}(F)}\right)$ .

# Protocol-Rank Equivalence?

## Conjecture (Lovász Saks '88)

$$\exists \text{ constant } \alpha \text{ s.t. } D(F) \leq \log^\alpha \text{rank}(F)$$

- ▶ Connects comm comp measure with algebraic measure. Known analogous connections have been useful.
- ▶ Has connections to graph colouring, low degree polynomials.

**For:** [Lovett '13] showed that  $D(F) \lesssim O\left(\sqrt{\text{rank}(F)}\right)$ .

**Against:** [Göös Pitassi Watson '15] showed that  $\alpha \geq 2$ .

# Protocol-Rank Equivalence?

## Conjecture (Lovász Saks '88)

$$\exists \text{ constant } \alpha \text{ s.t. } D(F) \leq \log^\alpha \text{rank}(F)$$

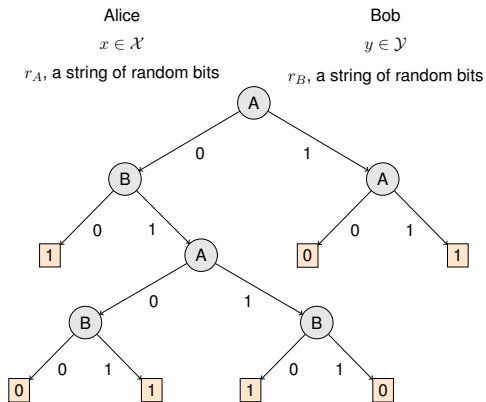
- ▶ Connects comm comp measure with algebraic measure. Known analogous connections have been useful.
- ▶ Has connections to graph colouring, low degree polynomials.

**For:** [Lovett '13] showed that  $D(F) \lesssim O\left(\sqrt{\text{rank}(F)}\right)$ .

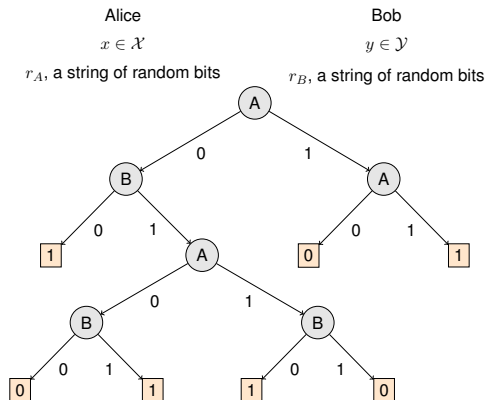
**Against:** [Göös Pitassi Watson '15] showed that  $\alpha \geq 2$ .

Fun fact: LRC is True if you restrict the rank decomposition to be nonnegative.

# A Randomized Communication Protocol

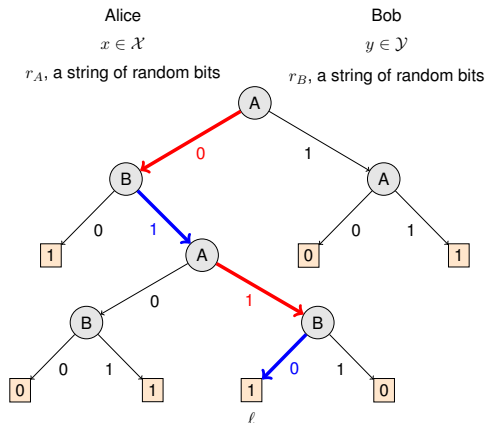


# A Randomized Communication Protocol



$$\Pr[(x, y) \text{ is accepted}] = \Pr[(x, y) \text{ reaches a 1-leaf}].$$

# A Randomized Communication Protocol



$$\Pr[(x, y) \text{ is accepted}] \\ = \\ \Pr[(x, y) \text{ reaches a 1-leaf}].$$

---

$$\Pr[(x, y) \text{ reaches } \ell] \\ = \\ \Pr_{r_A}[x \text{ answers red}] \\ \times \\ \Pr_{r_B}[y \text{ answers blue}].$$

# Small Approximate Rank

		$\Pr_{r_B}[y \text{ answers blue}]$			
		0	.5	0	.6
$\Pr_{r_A}[x \text{ answers red}]$	.5		.25		.3
	.8		.4		.48
	0				
	0				

$\Pr[(x, y) \text{ reaches } \ell]$  is a rank 1 matrix.

# Small Approximate Rank

		$\Pr_{r_B}[y \text{ answers blue}]$			
		0	.5	0	.6
$\Pr_{r_A}[x \text{ answers red}]$	.5		.25		.3
	.8		.4		.48
	0				
	0				

$\Pr[(x, y) \text{ reaches } \ell]$  is a rank 1 matrix.

$\Pr[(x, y) \text{ is accepted}]$  is a rank  $\leq 2^c$  matrix.



1	1	0	0
0	1	0	0
0	0	1	0
0	0	0	1

$M_F$

.8	.9	.1	.2
0	.9	.1	.1
0	.1	.8	0
.1	0	0	1

$M_{\text{Pr of accepting}}$

1	1	0	0
0	1	0	0
0	0	1	0
0	0	0	1

$M_F$

.8	.9	.1	.2
0	.9	.1	.1
0	.1	.8	0
.1	0	0	1

$M_{\text{Pr of accepting}}$

$\text{Rank} \leq 2^c$

1	1	0	0
0	1	0	0
0	0	1	0
0	0	0	1

$M_F$

Approx. Rank  $\leq 2^c$

.8	.9	.1	.2
0	.9	.1	.1
0	.1	.8	0
.1	0	0	1

$M_{\text{Pr of accepting}}$

Rank  $\leq 2^c$

1	1	0	0
0	1	0	0
0	0	1	0
0	0	0	1

$M_F$

Approx. Rank  $\leq 2^c$

.8	.9	.1	.2
0	.9	.1	.1
0	.1	.8	0
.1	0	0	1

$M_{\text{Pr of accepting}}$

Rank  $\leq 2^c$

$$\log \text{rank}_{1/3}(F) \leq c.$$

# Protocol-Rank Equivalence?

Conjecture (ForgeGod '05, Lee Shraibman '07)

$$\exists \text{ constant } \beta \text{ s.t. } R(F) \leq \log^\beta \text{rank}_{1/3}(F)$$

# Protocol-Rank Equivalence?

Conjecture (ForgeGod '05, Lee Shraibman '07)

$$\exists \text{ constant } \beta \text{ s.t. } R(F) \leq \log^\beta \text{rank}_{1/3}(F)$$

For a randomized protocol, the number of bits exchanged in the worst case,  $R(f)$ , is conjectured to be polynomially related to the following absurd formula:

$$\min\{\text{rank}(M'_f) : M'_f \in \mathbb{R}^{2^n \times 2^n}, (M_f - M'_f)_\infty \leq 1/3\}.$$

**Figure:** Screenshot from “Communication complexity - Wikipedia” (Dec '05)

# Protocol-Rank Equivalence?

Conjecture (ForgeGod '05, Lee Shraibman '07)

$$\exists \text{ constant } \beta \text{ s.t. } R(F) \leq \log^\beta \text{rank}_{1/3}(F)$$

Implies the LRC! [Gavinsky Lovett '13]

# Protocol-Rank Equivalence?

Conjecture (ForgeGod '05, Lee Shraibman '07)

$$\exists \text{ constant } \beta \text{ s.t. } R(F) \leq \log^\beta \text{rank}_{1/3}(F)$$

Implies the LRC! [Gavinsky Lovett '13]

Set Disjointness shows that  $\beta \geq 2$ . [Kalyanasundaram  
Schnitger '92, Razborov '92]



# Protocol-Rank Equivalence?

Conjecture (ForgeGod '05, Lee Shraibman '07)

$$\exists \text{ constant } \beta \text{ s.t. } R(F) \leq \log^\beta \text{rank}_{1/3}(F)$$

Implies the LRC! [Gavinsky Lovett '13]

Set Disjointness shows that  $\beta \geq 2$ . [Kalyanasundaram  
Schnitger '92, Razborov '92]

[Göös Jayram Pitassi Watson '17] showed that  $\beta \geq 4$ .

# Protocol-Rank Non-Equivalence

## Theorem (Chattopadhyay Mande S '19)

*There is a function  $F : \{0, 1\}^n \times \{0, 1\}^n \rightarrow \{0, 1\}$  such that  $\text{rank}_{1/3}(F) \leq O(n^2)$ , but  $R(F) \geq \Omega(\sqrt{n})$ .*

# Protocol-Rank Non-Equivalence

## Theorem (Chattopadhyay Mande S '19)

*There is a function  $F : \{0, 1\}^n \times \{0, 1\}^n \rightarrow \{0, 1\}$  such that  $\log \text{rank}_{1/3}(F) \leq O(\log n)$ , **but**  $R(F) \geq \Omega(\sqrt{n})$ .*

# Protocol-Rank Non-Equivalence

## Theorem (Chattopadhyay Mande S '19)

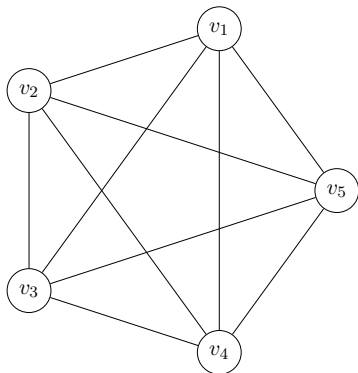
*There is a function  $F : \{0, 1\}^n \times \{0, 1\}^n \rightarrow \{0, 1\}$  such that  $\log \text{rank}_{1/3}^+(F) \leq O(\log n)$ , but  $R(F) \geq \Omega(\sqrt{n})$ .*

# The Function

$$\text{SINK} : \{0, 1\}^{\binom{m}{2}} \rightarrow \{0, 1\}$$

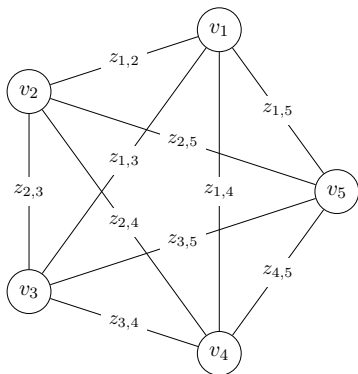
# The Function

$$\text{SINK} : \{0, 1\}^{\binom{m}{2}} \rightarrow \{0, 1\}$$



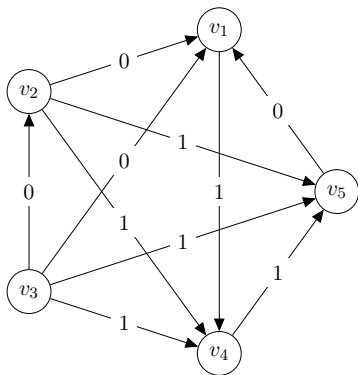
# The Function

$$\text{SINK} : \{0, 1\}^{\binom{m}{2}} \rightarrow \{0, 1\}$$



# The Function

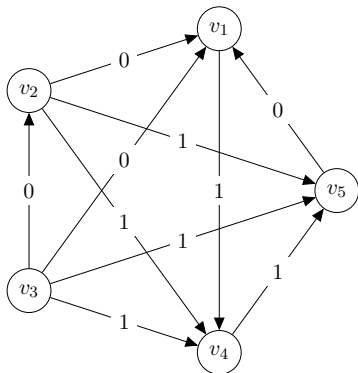
$$\text{SINK} : \{0, 1\}^{\binom{m}{2}} \rightarrow \{0, 1\}$$





# The Function

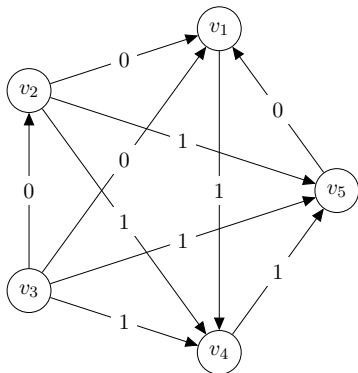
$$\text{SINK} : \{0, 1\}^{\binom{m}{2}} \rightarrow \{0, 1\}$$



$\text{SINK}(z) = 1$  iff there is a sink in the graph  $G_z$ .

# The Function

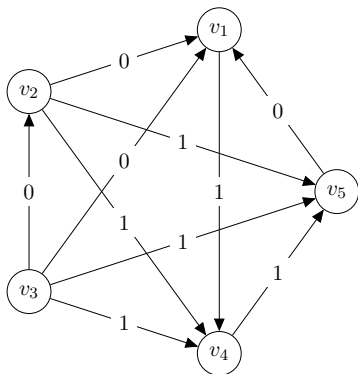
$$F := \text{SINK} \circ \text{XOR} : \{0, 1\}^{\binom{m}{2}} \times \{0, 1\}^{\binom{m}{2}} \rightarrow \{0, 1\}$$



$\text{SINK}(z) = 1$  iff there is a sink in the graph  $G_z$ .

# The Function

$$F := \text{SINK} \circ \text{XOR} : \{0, 1\}^{\binom{m}{2}} \times \{0, 1\}^{\binom{m}{2}} \rightarrow \{0, 1\}$$



$\text{SINK}(z) = 1$  iff there is a sink in the graph  $G_z$ .

Alice

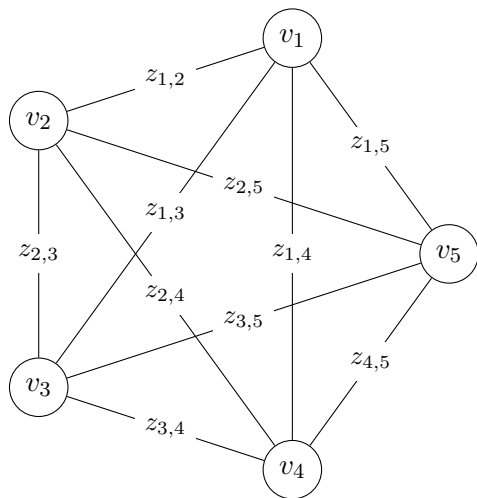
$$x \in \{0, 1\}^{\binom{m}{2}}$$

$$z = x \oplus y$$

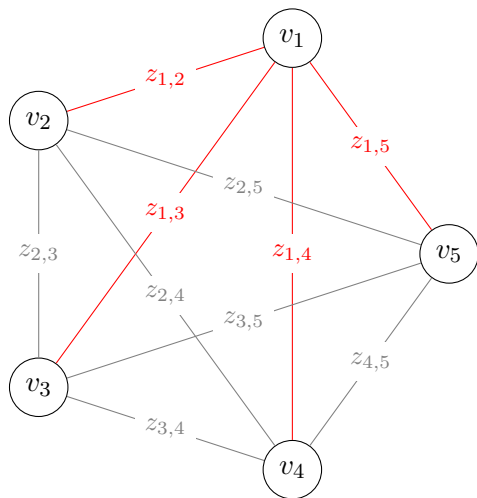
Bob

$$y \in \{0, 1\}^{\binom{m}{2}}$$

# Small Approximate Rank

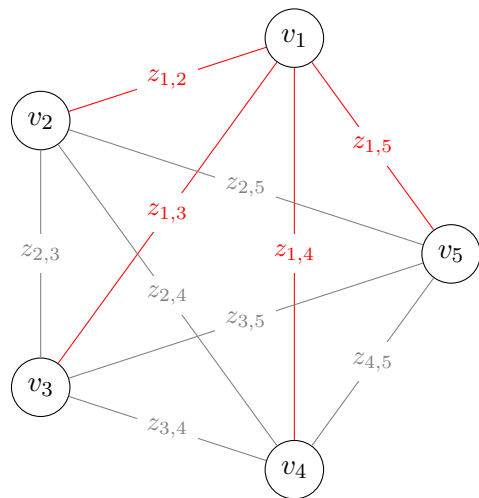


# Small Approximate Rank



Whether or not  $v_1$  is a sink is decided by the red variables,  $z_{v_1}$ .

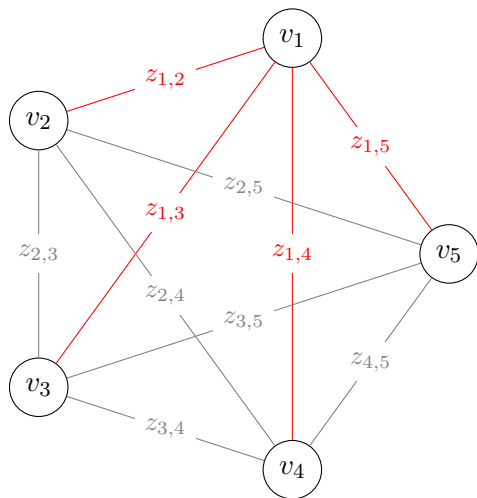
# Small Approximate Rank



Whether or not  $v_1$  is a sink is decided by the red variables,  $z_{v_1}$ .

$v_1$  is a sink iff  $x_{v_1} = y_{v_1}$ .

# Small Approximate Rank

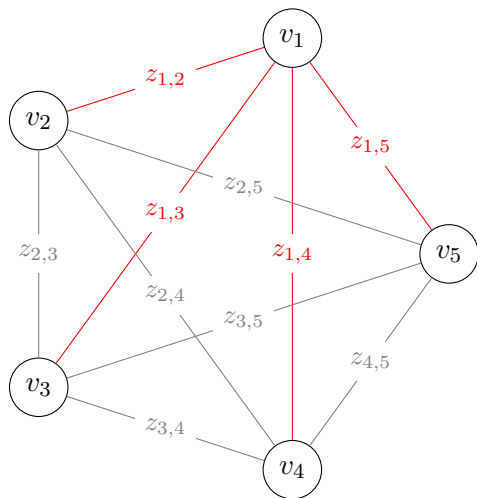


Whether or not  $v_1$  is a sink is decided by the red variables,  $z_{v_1}$ .

$v_1$  is a sink iff  $x_{v_1} = y_{v_1}$ .

$M_{v_1}$  is a sink has small approximate rank.

# Small Approximate Rank



Whether or not  $v_1$  is a sink is decided by the red variables,  $z_{v_1}$ .

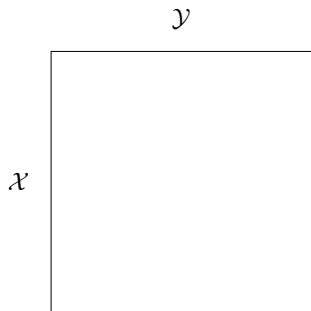
$v_1$  is a sink iff  $x_{v_1} = y_{v_1}$ .

$M_{v_1}$  is a sink has small approximate rank.

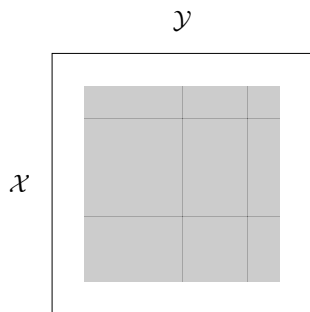
$M_F = \sum M_{v_i}$  is a sink has small approximate rank.



# Randomized Communication Lower Bound

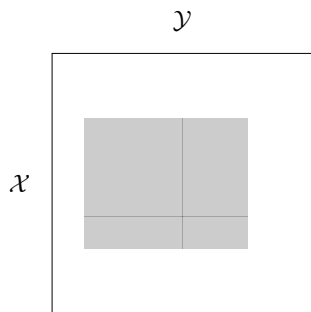


# Randomized Communication Lower Bound



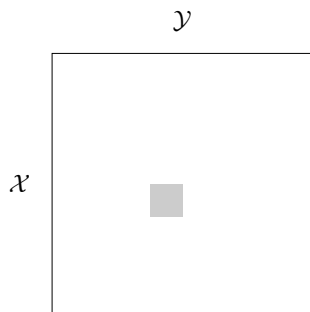
- ▶ A rectangle “biased” against  $v_1$  being a sink must be small.  
(Follows from [Gavinsky ‘16].)

# Randomized Communication Lower Bound



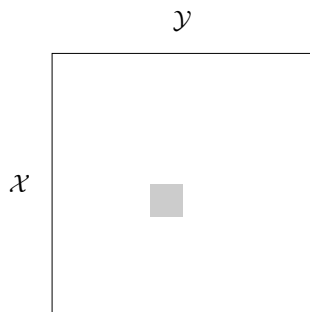
- ▶ A rectangle “biased” against  $v_1$  being a sink must be small. (Follows from [Gavinsky ‘16].)
- ▶ Each additional vertex one “biases” against shrinks it further. (Near independence of sinks, Shearer’s lemma)

# Randomized Communication Lower Bound



- ▶ A rectangle “biased” against  $v_1$  being a sink must be small. (Follows from [Gavinsky ‘16].)
- ▶ Each additional vertex one “biases” against shrinks it further. (Near independence of sinks, Shearer’s lemma)
- ▶ A rectangle “biased” against sinks must be tiny.

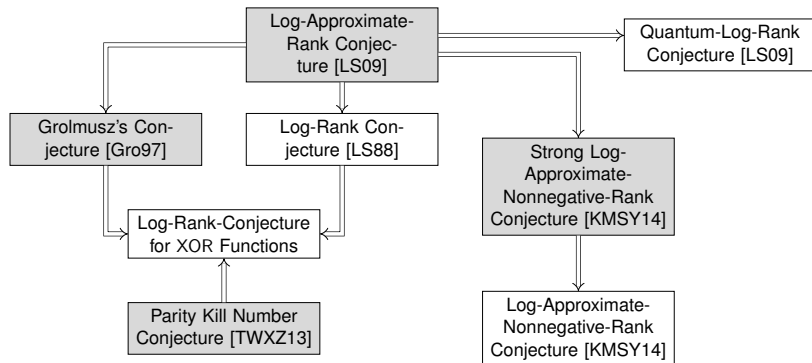
# Randomized Communication Lower Bound



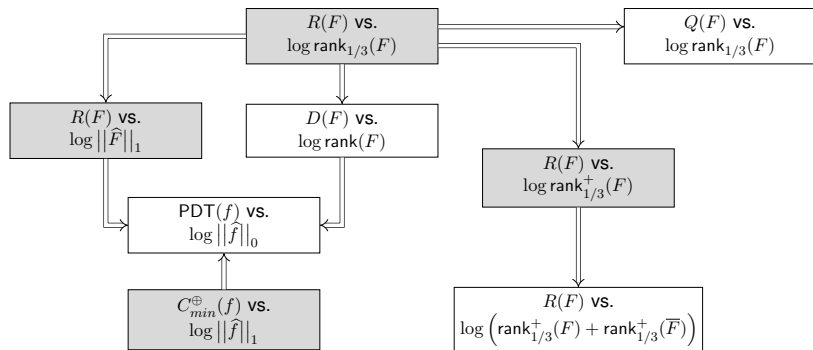
- ▶ A rectangle “biased” against  $v_1$  being a sink must be small. (Follows from [Gavinsky ‘16].)
- ▶ Each additional vertex one “biases” against shrinks it further. (Near independence of sinks, Shearer’s lemma)
- ▶ A rectangle “biased” against sinks must be tiny.

Any randomized protocol for  $F$  must be costly.

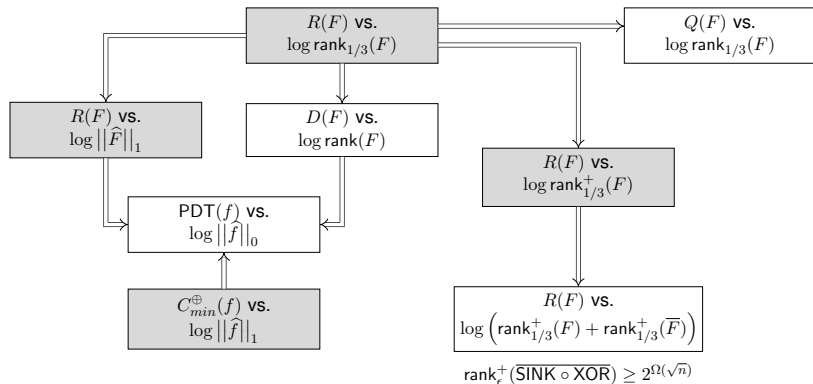
# Other Sunken Conjectures



# Other Sunken Conjectures



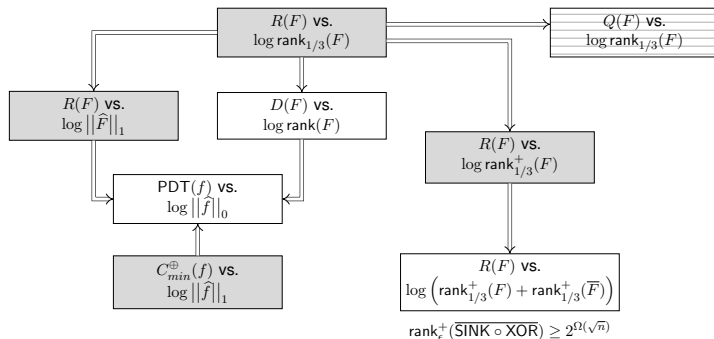
# Other Sunken Conjectures





# Other Sunken Conjectures

[Anshu Boddu Touchette '18, Sinha & de Wolf '18]



# So what now?

- ▶ ~~Quantum vs Log Approximate Rank?~~
- ▶ Can the Log Approximate Nonnegative Rank Conjecture be similarly refuted?
- ▶ What other functions refute the LARC?





Vince Grolmusz.

On the power of circuits with gates of low  $L_1$  norms.  
*Theor. Comput. Sci.*, 188(1-2):117–128, 1997.



Gillat Kol, Shay Moran, Amir Shpilka, and Amir Yehudayoff.  
Approximate nonnegative rank is equivalent to the smooth rectangle bound.

In *Automata, Languages, and Programming - 41st International Colloquium, ICALP 2014, Copenhagen, Denmark, July 8-11, 2014, Proceedings, Part I*, pages 701–712, 2014.



László Lovász and Michael E. Saks.

Lattices, möbius functions and communication complexity.  
In *29th Annual Symposium on Foundations of Computer Science, White Plains, New York, USA, 24-26 October 1988*, pages 81–90, 1988.



Troy Lee and Adi Shraibman.

Lower bounds in communication complexity.

*Foundations and Trends in Theoretical Computer Science*,  
3(4):263–398, 2009.



Hing Yin Tsang, Chung Hoi Wong, Ning Xie, and Shengyu Zhang.

Fourier sparsity, spectral norm, and the log-rank conjecture.

*In 54th Annual IEEE Symposium on Foundations of  
Computer Science, FOCS 2013, 26-29 October, 2013,  
Berkeley, CA, USA, pages 658–667, 2013.*